

# Averaged Vorticity Alignment Dynamics

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## Collaborators and main references for this talk

JD Gibbon, DDH, RM Kerr & I Roulstone,  
*Quaternions and particle dynamics in the Euler fluid equations.*  
Nonlinearity **19** (2006) 1969-1983

JD Gibbon & DDH,  
*Lagrangian particle paths and ortho-normal quaternion frames.*  
<http://arxiv.org/abs/nlin.CD/0607020>

*Lagrangian analysis of alignment dynamics*  
<http://arxiv.org/abs/nlin.CD/0608009>

BJ Geurts, DDH and A Kuczaj,  
*Direct and large-eddy simulation of rotating turbulence.*  
In preparation.

## Notation: The 3D incompressible Euler fluid

The equations for **Eulerian fluid velocity**  $\mathbf{u}$  in 3D are

$$\frac{D\mathbf{u}}{Dt} = -\nabla p, \quad \text{with} \quad \frac{D}{Dt} = \frac{\partial}{\partial t} + \mathbf{u} \cdot \nabla \quad \text{and} \quad \text{div } \mathbf{u} = 0$$

Taking the curl yields the **vorticity stretching equation** ( $\boldsymbol{\varpi} = \text{curl } \mathbf{u}$ )

$$\frac{D\boldsymbol{\varpi}}{Dt} = \boldsymbol{\varpi} \cdot \nabla \mathbf{u} = S\boldsymbol{\varpi}$$

The **vortex stretching vector** is  $S\boldsymbol{\varpi}$  with  $S = \frac{1}{2}(\nabla \mathbf{u} + \nabla \mathbf{u}^T)$ , or

$$S_{ij} = \frac{1}{2}(u_{i,j} + u_{j,i})$$

and preservation of  $\text{div } \mathbf{u} = 0$  determines the **pressure**  $p$  as

$$-\Delta p = u_{i,j}u_{j,i} =: |\nabla \mathbf{u}|^2 = \text{Tr } S^2 - \frac{1}{2} \boldsymbol{\varpi}^2,$$

aka **Okubo-Weiss formula**

## The 3D **Rotating, Stratified, Compressible** Euler fluid

The equations for **Eulerian fluid velocity**  $\mathbf{u}$  and density  $\rho$  in 3D are

$$\frac{D\mathbf{u}}{Dt} - \underbrace{\mathbf{u} \times 2\boldsymbol{\Omega}}_{\text{Coriolis}} =: \mathcal{F} = \underbrace{-\rho^{-1}\nabla p - g\nabla z}_{\text{Pressure \& Gravity}} \quad \text{with} \quad \frac{D\rho^{-1}}{Dt} = \rho^{-1}\text{div } \mathbf{u}$$

Taking the curl yields the equation for **total vorticity**

$$\boxed{\text{Total Vorticity } \boldsymbol{\omega} := \rho^{-1}(\text{curl } \mathbf{u} + 2\boldsymbol{\Omega})}$$

$$\frac{D\boldsymbol{\omega}}{Dt} = \underbrace{\boldsymbol{\omega} \cdot \nabla \mathbf{u} + \mathcal{W}}_{\text{Vortex stretching}} \quad \text{with} \quad \boxed{\mathcal{W} := \rho^{-1}\text{curl } \mathcal{F}}$$

The extra vortex stretching vector vanishes ( $\mathcal{W} \equiv 0$ ) for

- (1) Barotropic compressible fluids ( $\mathcal{F} = -\nabla(h(\rho) + gz)$ ) and
- (2) Incompressible Euler fluids ( $\mathcal{F} = -\nabla p$ )

## Dynamics of Vorticity vs Relative Alignment

1) Even when  $\mathcal{W} \equiv 0$  the gradient  $\nabla \mathcal{F}$  still matters in the evolution of the total vorticity stretching term  $(\boldsymbol{\omega} \cdot \nabla \mathbf{u})$  with  $\boldsymbol{\omega} := \rho^{-1}(\text{curl } \mathbf{u} + 2\boldsymbol{\Omega})$ .

2) The orientation of total vorticity arises from **1st time derivative**  $D\boldsymbol{\omega}/Dt$

$$\frac{D\boldsymbol{\omega}}{Dt} = (\boldsymbol{\omega} \cdot \nabla \mathbf{u}) \quad \text{when } \mathcal{W} \equiv 0$$

3) The **alignment**  $(\boldsymbol{\omega} \cdot \nabla \mathbf{u})$  is governed by the **2nd time derivative**  $D^2\boldsymbol{\omega}/Dt^2$

$$\frac{D^2\boldsymbol{\omega}}{Dt^2} = \frac{D(\boldsymbol{\omega} \cdot \nabla \mathbf{u})}{Dt} = \boldsymbol{\omega} \cdot \nabla (\mathcal{F} + \mathbf{u} \times 2\boldsymbol{\Omega}) \quad \text{when } \mathcal{W} \equiv 0$$

4) We focus on the dynamics of relative alignment or vortex stretching  $(\boldsymbol{\omega} \cdot \nabla \mathbf{u})$ , in addressing questions such as, **“How long does the vorticity stay aligned?”**

## Ertel's Theorem (1942)

**Theorem:** (Ertel 1942) *If  $\boldsymbol{\omega}$  satisfies the 3D vortex stretching equation, then an arbitrary differentiable function  $\mu$  satisfies*

$$\frac{D}{Dt}(\boldsymbol{\omega} \cdot \nabla \mu) = \boldsymbol{\omega} \cdot \nabla \left( \frac{D\mu}{Dt} \right) .$$

**Proof:** In characteristic (Lie-derivative) form, vorticity stretching is

$$\frac{D}{Dt} \left( \boldsymbol{\omega} \cdot \frac{\partial}{\partial \mathbf{x}} \right) = \left( \frac{D\boldsymbol{\omega}}{Dt} - \boldsymbol{\omega} \cdot \nabla \mathbf{u} \right) \cdot \frac{\partial}{\partial \mathbf{x}} = 0 \quad \text{along} \quad \frac{d\mathbf{x}}{dt} = \mathbf{u}(\mathbf{x}, t)$$

So  $\boldsymbol{\omega} \cdot \frac{\partial}{\partial \mathbf{x}}(t) = \boldsymbol{\omega} \cdot \frac{\partial}{\partial \mathbf{x}}(0)$  (Cauchy 1859) and the derivatives **commute**

$$\left[ \frac{D}{Dt}, \boldsymbol{\omega} \cdot \nabla \right] = 0$$

Hence, Ertel's theorem follows.

**Corollary:**  $D\mu/Dt = 0$  implies  $D(\boldsymbol{\omega} \cdot \nabla \mu)/Dt = 0$  (e.g. PV in GFD).

## Some Ertel references

- Ertel; *Ein Neuer Hydrodynamischer Wirbelsatz*, Met. Z. **59**, 271-281, (1942).
- Hoskins, McIntyre, & Robertson; *On the use & significance of isentropic potential vorticity maps*, Quart. J. Roy. Met. Soc., **111**, 877-946, (1985).
- Ohkitani; *Eigenvalue problems in 3D Euler flows*, Phys. Fluids, **A5**, 2570, (1993).
- Viudez; *On the relation between Beltrami's material vorticity and Rossby-Ertel's Potential*, J. Atmos. Sci. (2001).

## More about rotating Euler fluids

Applying  $\frac{D}{Dt}$  time derivative to  $\frac{D\boldsymbol{\omega}}{Dt} - \boldsymbol{\omega} \cdot \nabla \mathbf{u} = \mathcal{W} := \rho^{-1} \text{curl } \mathcal{F}$  yields

$$\frac{D^2\boldsymbol{\omega}}{Dt^2} = \boldsymbol{\omega} \cdot \nabla \left( \mathcal{F} + \underbrace{\mathbf{u} \times 2\boldsymbol{\Omega}}_{\text{Coriolis}} \right) + \left( \underbrace{\frac{D\mathcal{W}}{Dt} - \mathcal{W} \cdot \nabla \mathbf{u}}_{\mathcal{W} \text{ is also stretched}} \right)$$

For incompressible and barotropic rotating Euler flows  $\mathcal{W} \equiv 0$  and

$$\frac{D\boldsymbol{\omega}}{Dt} = \underbrace{\boldsymbol{\omega} \cdot \nabla \mathbf{u}}_{\text{Alignment \#1}} \quad \& \quad \frac{D^2\boldsymbol{\omega}}{Dt^2} = \frac{D(\boldsymbol{\omega} \cdot \nabla \mathbf{u})}{Dt} = \underbrace{\boldsymbol{\omega} \cdot \nabla (\mathcal{F} + \mathbf{u} \times 2\boldsymbol{\Omega})}_{\text{Alignment \#2}}$$

### Alignment Dynamics #1 & #2

Alignment #1 of  $\boldsymbol{\omega}$  with gradient  $\nabla \mathbf{u}$  is vortex stretching, which drives  $\boldsymbol{\omega}$

Alignment #2 of  $\boldsymbol{\omega}$  with gradient  $\nabla(\text{total force})$  drives  $\boldsymbol{\omega} \cdot \nabla \mathbf{u}$

**Alignment #2 is Ohkitani's relation** for  $\boldsymbol{\Omega} = 0$  &  $\mathcal{F} = -\nabla p$  (incompress)

## Rotation affects density dynamics in barotropic fluids

The Vortex Stretching and Ohkitani relations are the 1st & 2nd time-derivatives

**Vortex Stretching:**  $\frac{D\omega}{Dt} = \underbrace{\omega \cdot \nabla \mathbf{u}}_{\text{Alignment \#1}}$   $\omega := \rho^{-1}(\text{curl } \mathbf{u} + 2\Omega)$

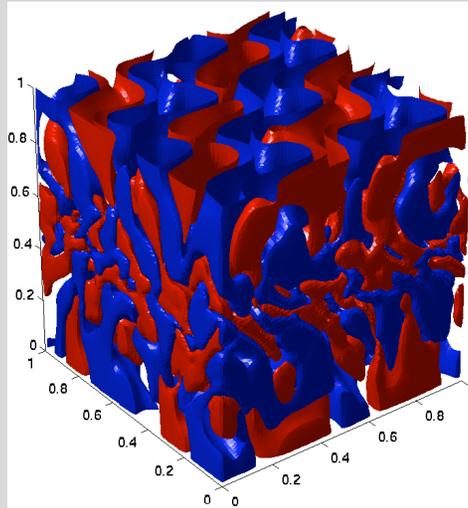
**Ohkitani relation:**  $\frac{D^2\omega}{Dt^2} = \frac{D(\omega \cdot \nabla \mathbf{u})}{Dt} = \underbrace{\omega \cdot \nabla (\mathcal{F} + \mathbf{u} \times 2\Omega)}_{\text{Alignment \#2}}$

Finally, for barotropic  $\mathcal{F} = \nabla(h(\rho) + gz)$  we need **density dynamics**

$$\frac{D\rho^{-1}}{Dt} = \rho^{-1} \text{div } \mathbf{u}$$

**Coriolis force affects the 2nd-time-derivative of specific volume  $\rho^{-1}$ ,**

$$\frac{D^2\rho^{-1}}{Dt^2} = \rho^{-1} \left( (\text{div } \mathbf{u})^2 - |\nabla \mathbf{u}|^2 + \text{div } \underbrace{(\mathcal{F} + \mathbf{u} \times 2\Omega)}_{\text{Rotation in } D^2\rho^{-1}/Dt^2} \right)$$



## Coriolis effects in compressible rotating shear layers

B. J. Geurts, DDH and A. K. Kuczaj, ECT11 2006

DNS show that **rotation can modulate turbulence** at low Mach number.

In rotating shear layers, 2D and 3D tendencies compete, thereby causing

- (1) Formation of **columns of vorticity that oscillate** and
- (2) **Nonmonotonic decay rate** of kinetic energy

## Dynamics of alignment of total vorticity with axis of rotation $\Omega = \Omega_0 \hat{z}$ in compressible shear layers

Use Ertel's theorem to find evolution of  $\omega_z := \hat{z} \cdot \boldsymbol{\omega}$  with  $\boldsymbol{\omega} = \text{curl } \mathbf{u} + 2\Omega$

$$\frac{D\omega_z}{Dt} - \boldsymbol{\omega} \cdot \nabla u_z = \mathcal{W}_z := \rho^{-1} \hat{z} \cdot \text{curl } \mathcal{F}$$

and hence

$$\frac{D^2\omega_z}{Dt^2} = \boldsymbol{\omega} \cdot \nabla \mathcal{F}_z + \left( \frac{D\mathcal{W}_z}{Dt} - \boldsymbol{\omega} \cdot \nabla u_z \right)$$

where

$$\mathcal{F} := -2\Omega_0 \hat{z} \times \mathbf{u} - (RoM^{-2}) \rho^{-1} \nabla p - \nu \Delta \mathbf{u} \quad \text{and} \quad \mathcal{F}_z = -(RoM^{-2}) \rho^{-1} \frac{\partial p}{\partial z} - \nu \Delta u_z$$

These alignment evolution equations govern the transition to columnar motion demanded by the **Taylor-Proudman theorem**.

**The mechanism for transition to columnarity is likely to involve vortex merger along the axis of rotation.**

## Outline for the rest of the talk (Retreat back to Euler's equations)

1. Use **Ohkitani's relation** to derive **vorticity frame dynamics and alignment dynamics** for Euler's equations.
2. Use **Ertel's theorem** to derive **Lagrangian dynamics** of the **Frenet-Serret curvature and torsion of vortex lines**
3. Represent total vorticity alignments as **quaternionic products** denoted  $\circledast$

$$\hat{\omega} \cdot \nabla \mathbf{u} =: S\hat{\omega} = \alpha \hat{\omega} + \boldsymbol{\chi} \times \hat{\omega} = [\alpha, \boldsymbol{\chi}] \circledast [0, \hat{\omega}]$$

$$\hat{\omega} \cdot \nabla (\mathcal{F} + \mathbf{u} \times 2\boldsymbol{\Omega}) =: P\hat{\omega} = \alpha_p \hat{\omega} + \boldsymbol{\chi}_p \times \hat{\omega} = [\alpha_p, \boldsymbol{\chi}_p] \circledast [0, \hat{\omega}]$$

4. Derive **dynamics of quaternions**  $\zeta = [\alpha, \boldsymbol{\chi}]$  driven by  $\zeta_p = [\alpha_p, \boldsymbol{\chi}_p]$

$$\boxed{\frac{D\zeta}{Dt} + \zeta \circledast \zeta + \zeta_p = 0 \quad (\text{Ricatti equation})}$$

5. Apply this structure to Lagrangian-averaged models of rotating fluid turbulence

## Define vorticity growth rate ( $\alpha$ ) and swing rate ( $\chi$ )

Euler's equations imply material rates of change of  $|\boldsymbol{\omega}|$  and  $\hat{\boldsymbol{\omega}}$  given by

$$\frac{D\boldsymbol{\omega}}{Dt} = S\boldsymbol{\omega} \quad \text{with} \quad S\hat{\boldsymbol{\omega}} = \alpha\hat{\boldsymbol{\omega}} + \boldsymbol{\chi} \times \hat{\boldsymbol{\omega}} = (S\hat{\boldsymbol{\omega}})_{\parallel} + (S\hat{\boldsymbol{\omega}})_{\perp}$$

- The **scalar**  $\alpha = \hat{\boldsymbol{\omega}} \cdot S\hat{\boldsymbol{\omega}}$  is the **vorticity growth rate**

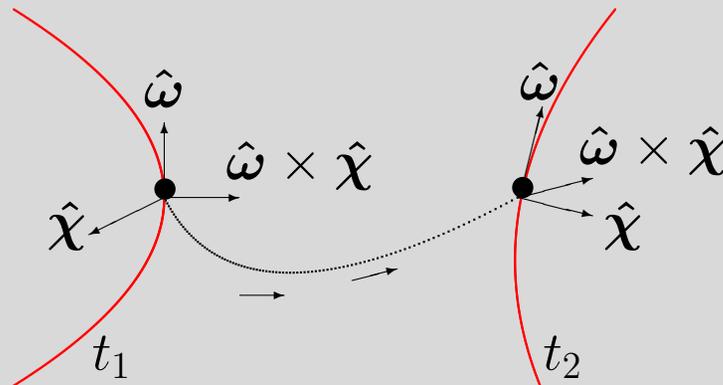
$$\frac{D|\boldsymbol{\omega}|}{Dt} = \alpha |\boldsymbol{\omega}| \quad \begin{array}{ll} \alpha > 0 & \text{stretching} \\ \alpha < 0 & \text{shrinking} \end{array}$$

- The **3-vector**  $\boldsymbol{\chi} = \hat{\boldsymbol{\omega}} \times S\hat{\boldsymbol{\omega}}$  is the **vorticity swing rate**

$$\frac{D\hat{\boldsymbol{\omega}}}{Dt} = \boldsymbol{\chi} \times \hat{\boldsymbol{\omega}}, \quad \hat{\boldsymbol{\omega}} \times \frac{D\hat{\boldsymbol{\omega}}}{Dt} = \boldsymbol{\chi} \quad (\text{frequency})$$

*Remark:* If  $\boldsymbol{\omega}$  aligns with an eigenvector  $S\hat{\boldsymbol{\omega}} = \lambda\hat{\boldsymbol{\omega}}$ , then  $\boldsymbol{\chi} = 0$ .  
For such alignment, the vorticity direction is **frozen** into the flow.

## Lagrangian frame dynamics: tracking the orientation of vorticity following a fluid particle



The figure shows a vortex line at two times  $t_1$  &  $t_2$ , the Lagrangian trajectory of one of its vortex line elements, and the orientations of the orthonormal frame  $\{\hat{\omega}, \hat{\chi}, (\hat{\omega} \times \hat{\chi})\}$  attached to it at the two times.

## The Trouble with pressure in Alignment Dynamics

- **Vorticity is driven by  $S$ –Alignment (Vorticity stretching)**

$$\frac{D\omega}{Dt} = S\omega = \alpha\omega + \chi \times \omega$$

- **$S$ –Alignment is driven by  $P$ –Alignment (Ohkitani)**

$$\frac{DS\omega}{Dt} = -P\omega = -\alpha_p\omega - \chi_p \times \omega$$

The same form holds for incompressible Euler fluids and

$$\mathbf{u} = \text{curl}^{-1}\boldsymbol{\omega}, \quad \text{tr } P = \Delta p = -|\nabla\mathbf{u}|^2.$$

- **Incompressibility summons the pressure Hessian  $P$ .**
- **The pressure solve is spatially nonlocal, not evolutionary.**
- **Dropping pressure would mean**  $\frac{D^2\omega}{Dt^2} = \frac{DS\omega}{Dt} = 0$ .
- **This would freeze alignment**  $S\omega = \lambda\omega$  **with**  $\frac{D\lambda}{Dt} = -\lambda\alpha$

$[||, \perp]$  Alignment variables  $[\alpha, \chi]$  &  $[\alpha_p, \chi_p]$



$S\hat{\omega}$  lies in the  $(\hat{\omega}, \hat{\omega} \times \hat{\chi})$  plane and  $P\hat{\omega}$  in the  $(\hat{\omega}, \hat{\omega} \times \hat{\chi}_p)$  plane

$$S\hat{\omega} = \alpha \hat{\omega} + \chi \times \hat{\omega}, \quad P\hat{\omega} = \alpha_p \hat{\omega} + \chi_p \times \hat{\omega}$$

where  $(\alpha, \chi)$  &  $(\alpha_p, \chi_p)$  define  $S\hat{\omega}$  &  $P\hat{\omega}$  as stretched & rotated  $\hat{\omega}$

$$\alpha = \hat{\omega} \cdot S\hat{\omega}, \quad \chi = \hat{\omega} \times S\hat{\omega},$$

$$\alpha_p = \hat{\omega} \cdot P\hat{\omega}, \quad \chi_p = \hat{\omega} \times P\hat{\omega} =: -c_1 \hat{\chi} \times \hat{\omega} - c_2 \hat{\chi}$$

## Evolution of vorticity alignment

For the Euler fluid, we have

$$\frac{D\boldsymbol{\omega}}{Dt} = S\boldsymbol{\omega} \quad \& \quad \frac{D^2\boldsymbol{\omega}}{Dt^2} = -P\boldsymbol{\omega}$$

$$\text{where } S\hat{\boldsymbol{\omega}} = \alpha\hat{\boldsymbol{\omega}} + \boldsymbol{\chi} \times \hat{\boldsymbol{\omega}} \quad \text{and} \quad P\hat{\boldsymbol{\omega}} = \alpha_p\hat{\boldsymbol{\omega}} + \boldsymbol{\chi}_p \times \hat{\boldsymbol{\omega}}$$

As we know,  $P$ -alignment drives  $S$ -alignment. That is,

$$\frac{DS\boldsymbol{\omega}}{Dt} = -P\boldsymbol{\omega} \quad \text{or} \quad \frac{D}{Dt}(\alpha\boldsymbol{\omega} + \boldsymbol{\chi} \times \boldsymbol{\omega}) = -(\alpha_p\boldsymbol{\omega} + \boldsymbol{\chi}_p \times \boldsymbol{\omega})$$

A direct calculation shows that  $P$ -parameters  $[\alpha_p, \boldsymbol{\chi}_p]$  drive  $S$ -parameters  $[\alpha, \boldsymbol{\chi}]$  in the following **alignment-parameter dynamics**

$$\frac{D\alpha}{Dt} + \alpha^2 - \chi^2 = -\alpha_p \quad \text{and} \quad \frac{D\boldsymbol{\chi}}{Dt} + 2\alpha\boldsymbol{\chi} = -\boldsymbol{\chi}_p$$

Later we'll interpret alignment-parameter dynamics as one quaternionic equation.

## $[||, \perp]$ decomposition $\Leftrightarrow$ quaternionic multiplication

Seek alignment-parameter dynamics of growth rate ( $\alpha$ ) and swing rate ( $\chi$ ) in

$$S\hat{\omega} = \alpha \hat{\omega} + \chi \times \hat{\omega} = (S\hat{\omega})_{||} + (S\hat{\omega})_{\perp}$$

for a **combined** scalar and vector quantity denoted

$$\zeta = [\alpha, \chi] = [\hat{\omega} \cdot S\hat{\omega}, \hat{\omega} \times S\hat{\omega}]$$

Rewrite  $[||, \perp]$  decomposition of  $S\hat{\omega}$  as **quaternionic multiplication**  $\otimes$

$$[0, S\hat{\omega}] = [\alpha, \chi] \otimes [0, \hat{\omega}]$$

where the product  $\otimes : \Omega \times \Omega \rightarrow \Omega$  is defined in components by

$$\mathbf{p} \otimes \mathbf{q} = \left[ pq - \mathbf{p} \cdot \mathbf{q}, pq + \mathbf{p} \times \mathbf{q} \right] \quad \text{for } \mathbf{p} = [p, \mathbf{p}], \quad \mathbf{q} = [q, \mathbf{q}]$$

Check the  $\mathbf{p} \otimes \mathbf{q}$  multiplication with  $\mathbf{p} = [\alpha, \chi]$  and  $\mathbf{q} = [0, \hat{\omega}]$

$$[\alpha, \chi] \otimes [0, \hat{\omega}] = [\alpha 0 - \chi \cdot \hat{\omega}, \alpha \hat{\omega} + \chi 0 + \chi \times \hat{\omega}] = [0, \alpha \hat{\omega} + \chi \times \hat{\omega}] = [0, S\hat{\omega}]$$

## Quaternionic form of Euler's equations (Gibbon, 2002)

Define velocity & pressure quats  $\mathcal{U}$  &  $\mathcal{P}$  and the 4-derivative  $\nabla$  as

$$\mathcal{U} = [0, \mathbf{u}] \quad \mathcal{P} = [p, 0] \quad \nabla = [0, \nabla]$$

Then Euler's fluid equation is written in quaternionic form as

$$\frac{D\mathcal{U}}{Dt} = -\nabla \circledast \mathcal{P}$$

The **vorticity quat**  $\mathcal{Q}$  is formed from

$$\nabla \circledast \mathcal{U} = [-\operatorname{div} \mathbf{u}, \operatorname{curl} \mathbf{u}] = [0, \boldsymbol{\omega}] =: \mathcal{Q}$$

Operating with  $\nabla \circledast$  on Euler's equation above produces

$$[\Delta p, 0] = \left[ -|\nabla \mathbf{u}|^2, \underbrace{\frac{D\boldsymbol{\omega}}{Dt} - S\boldsymbol{\omega}}_{\text{Vortex stretching}} \right]$$

Identifying terms yields  $\Delta p = -|\nabla \mathbf{u}|^2$  and Euler's vorticity equation.

**Theorem:** The vorticity quat  $\Omega(\mathbf{x}, t) = [0, \boldsymbol{\omega}]$  satisfies

$$\frac{D\Omega}{Dt} = \zeta \circledast \Omega \quad (\text{Frozen-in quat field})$$

$$\frac{D^2\Omega}{Dt^2} + \zeta_p \circledast \Omega = 0 \quad (\text{Ohkitani's relation})$$

where  $\zeta = [\alpha, \boldsymbol{\chi}]$  and  $\zeta_p = [\alpha_p, \boldsymbol{\chi}_p]$ .

Consequently, the growth & swing rate quat  $\zeta(\mathbf{x}, t) = [\alpha, \boldsymbol{\chi}]$  satisfies

$$\boxed{\frac{D\zeta}{Dt} + \zeta \circledast \zeta + \zeta_p = 0}$$

**Remark:** The  $\zeta$ -equation is a Ricatti equation driven by  $\zeta_p$  which, in turn, depends on the other variables through the pressure Hessian  $P$ .

The growth/swing rate quat  $\zeta(\mathbf{x}, t) = [\alpha, \boldsymbol{\chi}]$  evolves by quadratic nonlinearity and is driven by the  $P$ -alignment quat  $\zeta_p = [\alpha_p, \boldsymbol{\chi}_p]$ .

Proof:

$$\frac{D\Omega}{Dt} = [0, \underbrace{\alpha\omega + \chi \times \omega}_{S\omega}] = [\alpha, \chi] \circledast [0, \omega] = \zeta \circledast \Omega.$$

$$P\omega = \alpha_p\omega + \chi_p \times \omega \quad \Rightarrow \quad [0, P\omega] = \zeta_p \circledast \Omega$$

Use Ertel's Theorem to express Ohkitani's relation as

$$\frac{D^2\Omega}{Dt^2} = \frac{D}{Dt}[0, S\omega] = -[0, P\omega] = -\zeta_p \circledast \Omega$$

Compare this relation with  $D^2\Omega/Dt^2 = D/Dt(\zeta \circledast \Omega)$  to find

$$0 = \frac{D\zeta}{Dt} \circledast \Omega + \zeta \circledast (\zeta \circledast \Omega) + \zeta_p \circledast \Omega$$

The Riccati equation for  $\zeta$  follows, because  $\circledast$  is associative. ■

## Quaternion alignment dynamics in components

The alignment equation for quats  $\zeta = [\alpha, \boldsymbol{\chi}]$  with  $\zeta_p = [\alpha_p, \boldsymbol{\chi}_p]$  is

$$\frac{D\zeta}{Dt} + \zeta \circledast \zeta + \zeta_p = 0$$

Recall the components of the quat **multiplication rule**

$$\mathbf{p} \circledast \mathbf{q} = [pq - \mathbf{p} \cdot \mathbf{q}, pq + q\mathbf{p} + \mathbf{p} \times \mathbf{q}]$$

So  $\zeta \circledast \zeta = [\alpha^2 - \chi^2, 2\alpha\boldsymbol{\chi}]$  in components & the alignment variables  $\alpha, \boldsymbol{\chi}$  are driven by  $\alpha_p, \boldsymbol{\chi}_p$  according to **alignment-parameter dynamics**

$$\frac{D\alpha}{Dt} + \alpha^2 - \chi^2 + \alpha_p = 0 \quad \text{and} \quad \frac{D\boldsymbol{\chi}}{Dt} + 2\alpha\boldsymbol{\chi} + \boldsymbol{\chi}_p = 0$$

where  $S\hat{\boldsymbol{\omega}} = \alpha\hat{\boldsymbol{\omega}} + \boldsymbol{\chi} \times \hat{\boldsymbol{\omega}}$  and  $P\hat{\boldsymbol{\omega}} = \alpha_p\hat{\boldsymbol{\omega}} + \boldsymbol{\chi}_p \times \hat{\boldsymbol{\omega}}$

$$\frac{D\boldsymbol{\omega}}{Dt} = S\boldsymbol{\omega} \quad \& \quad \boldsymbol{\omega} = \text{curl}\mathbf{u}, \quad \frac{DS\boldsymbol{\omega}}{Dt} = -P\boldsymbol{\omega} \quad \& \quad \text{tr} P = -|\nabla\mathbf{u}|^2$$

Ricatti equations also arise in velocity-gradient dynamics.

## Alignment dynamics in polar coordinates

In polar coordinates given by the stretching rate along  $\hat{\omega}$  as the radius  $r = (\alpha^2 + \chi^2)^{1/2} = |S\hat{\omega}|$  and the angle  $\theta = \tan^{-1} \chi/\alpha$  of rotation about the comoving  $\hat{\chi}$  axis from  $\hat{\omega}$  to  $S\hat{\omega}$ , the alignment dynamics derived from

$$\frac{DS\omega}{Dt} = -P\omega$$

becomes, upon using

$$S\hat{\omega} = \alpha \hat{\omega} + \chi \hat{\chi} \times \hat{\omega} = r(\cos \theta \hat{\omega} + \sin \theta \hat{\chi} \times \hat{\omega}),$$

the  $2 \times 2$  system in polar coordinates,

$$\frac{D}{Dt} \frac{\sin \theta}{r} + \cos 2\theta = \frac{\alpha_p}{r^2}$$

$$\frac{D}{Dt} \frac{\cos \theta}{r} - \sin 2\theta = \frac{\hat{\chi} \cdot \chi_p}{r^2}$$

where one recalls that  $\hat{\chi} \cdot \chi_p = -c_2$  and  $\theta = 0$  **is perfect alignment.**

## A simple solution: the Burgers vortex

The most elementary Burgers vortex solution is (with  $\gamma_0 = \text{const}$ )

$$\mathbf{u} = \left(-\frac{1}{2}\gamma_0 x + \psi_y, -\frac{1}{2}\gamma_0 y - \psi_x, z\gamma_0\right) \quad \Rightarrow \quad \boldsymbol{\omega} = (0, 0, \omega_3)$$

$$\omega_3(r, t) = e^{\gamma_0 t} \omega_0 \left(r e^{\frac{1}{2}\gamma_0 t}\right) \quad (\text{note exponential growth})$$

Thus, for the Burgers vortex one computes

$$\alpha = \gamma_0, \quad \boldsymbol{\chi} = 0, \quad \alpha_p = -\gamma_0^2$$

$$\boldsymbol{\zeta} = [\gamma_0, 0] \quad \boldsymbol{\zeta}_p = -[\gamma_0^2, 0]$$

**Conclusions:** Burgers tubes/sheets are scalar objects: they don't swing.

(In fact, they are steady solutions of the  $\boldsymbol{\zeta}$ -equation.)

When tubes & sheets bend then  $\boldsymbol{\chi} \neq 0$  and  $\boldsymbol{\zeta}$  becomes a full quat driven by  $\boldsymbol{\zeta}_p$  which is coupled back through the pressure Hessian  $P$ .

## When do $[\alpha, \chi]$ quat equations arise in fluids?

- The Euler equation  $\frac{D\mathbf{u}}{Dt} = \mathcal{F}$  with vorticity  $\boldsymbol{\omega} := \text{curl } \mathbf{u}$  implies

$$\frac{D\boldsymbol{\omega}}{Dt} = \boldsymbol{\omega} \cdot \nabla \mathbf{u} + \mathcal{W} \quad \text{with} \quad \mathcal{W} := \frac{1}{\rho} \text{curl } \mathcal{F}$$

- These produce an **Ertel Theorem** and **Ohkitani relation**

$$\left[ \frac{D}{Dt}, \boldsymbol{\omega} \cdot \nabla \right] = \mathcal{W}, \quad \text{so} \quad \frac{D^2 \boldsymbol{\omega}}{Dt^2} = \boldsymbol{\omega} \cdot \nabla \mathcal{F} + \left( \frac{D\mathcal{W}}{Dt} - \mathcal{W} \cdot \nabla \mathbf{u} \right)$$

- If  $\mathcal{W} = 0$ , then  $\boldsymbol{\omega} \cdot \nabla$  is a **Frozen-in Vector Field**
- In turn the frozen-in property produces orthonormal **Frame Dynamics** for  $\widehat{\boldsymbol{\omega}}$ , whose alignment parameters will satisfy **Quaternion equations**.
- **Other examples:**
  - (1) Rotating fluid flow (both incompressible and barotropic)
  - (2) Lagrangian Averaged Euler-alpha (LAE- $\alpha$ ) equations
  - (3) A surprise lurks in barotropic fluid dynamics – oscillations!

## Lagrangian Averaged Euler-alpha (LAE- $\alpha$ ) model

Lagrangian averaging preserves Kelvin's circulation theorem, which leads to a frozen-in vector field and thereby produces **Ertel's theorem**.

The LAE- $\alpha$  motion equation is

$$\frac{D\mathbf{v}}{Dt} + \nabla \mathbf{u}^T \cdot \mathbf{v} = -\nabla p \quad \text{for} \quad \mathbf{v} = \mathbf{u} - \alpha^2 \Delta \mathbf{u} \quad \text{and} \quad \nabla \cdot \mathbf{u} = 0$$

or, in Kelvin circulation form,

$$\frac{D}{Dt}(\mathbf{v} \cdot d\mathbf{x}) = -dp \quad \text{along} \quad \frac{D\mathbf{x}}{Dt} = \mathbf{u}$$

Stokes-ing (or taking  $d$ ) and  $\nabla \cdot \mathbf{u} = 0$  yield a **Frozen-in Vector Field**

$$\frac{D\boldsymbol{\varpi}}{Dt} = \boldsymbol{\varpi} \cdot \nabla \mathbf{u} \quad \text{for} \quad \boldsymbol{\varpi} = \nabla \times \mathbf{v}$$

## Ertel Theorem & Ohkitani relation for $\text{LAE}-\alpha$

The  $\text{LAE}-\alpha$  motion equation may also be written using  $\mathbf{u} = G * \mathbf{v}$  as

$$\frac{D\mathbf{u}}{Dt} = \mathcal{F} = -G * (\nabla p + 4\alpha^2 \nabla \cdot \Omega S)$$

where  $2\Omega = \nabla \mathbf{u} - \nabla \mathbf{u}^T$  and  $G* = (1 - \alpha^2 \Delta)^{-1}$  denotes convolution with the Greens function for the Helmholtz operator.

The **Ertel Theorem** and **Ohkitani relation** for  $\text{LAE}-\alpha$  are then

$$\left[ \frac{D}{Dt}, \boldsymbol{\varpi} \cdot \nabla \right] = 0, \quad \text{and} \quad \frac{D}{Dt}(\boldsymbol{\varpi} \cdot \nabla \mathbf{u}) = \frac{D^2 \boldsymbol{\varpi}}{Dt^2} = \boldsymbol{\varpi} \cdot \nabla \mathcal{F}$$

where  $\boldsymbol{\varpi} = \nabla \times \mathbf{v}$  and  $\mathbf{v} = (1 - \alpha^2 \Delta) \mathbf{u}$

**The rest** (Dynamics of Vorticity Frames and Quaternionic Alignment Parameters) follows the pattern of Euler fluids.

## Velocity gradients obey tensor Riccati equations

Hessian P drives a tensor Riccati equation for velocity gradients M

For velocity gradient ( $M = \nabla \mathbf{u}$ ) and pressure Hessian ( $P = \nabla \nabla p$ ) Euler implies

$$\frac{DM}{Dt} + M^2 = -P, \quad \text{and} \quad \text{div } \mathbf{u} = 0 = \text{tr } M \quad \Rightarrow \quad \text{tr } P = -\text{tr } (M^2)$$

We also found a Riccati equation for the alignment-parameter dynamics

$$\frac{D\alpha}{Dt} + \alpha^2 - \chi^2 = -\alpha_p \quad \text{and} \quad \frac{D\chi}{Dt} + 2\alpha\chi = -\chi_p$$

$$\text{where} \quad S\hat{\omega} = \alpha\hat{\omega} + \chi \times \hat{\omega} \quad \text{and} \quad P\hat{\omega} = \alpha_p\hat{\omega} + \chi_p \times \hat{\omega}$$

The alignment equation for quats  $\zeta = [\alpha, \chi]$  with  $\zeta_p = [\alpha_p, \chi_p]$  is

$$\frac{D\zeta}{Dt} + \zeta \circledast \zeta = -\zeta_p$$

for which determining the Hessian P was a limiting factor.

## Algebraic pressure closures may enhance quat equations

$$\frac{DM}{Dt} + M^2 = -P, \quad \text{and} \quad \text{div } \mathbf{u} = 0 = \text{tr } M \quad \Rightarrow \quad \text{tr } P = -\text{tr}(M^2)$$

Coarse-grained Lagrangian averaging requires closure for P.

The Restricted Euler & Tetrad model imposes algebraic pressure

$$\left[ P = -\frac{\mathbf{G}}{\text{tr } \mathbf{G}} \text{tr}(M^2) \right], \quad \text{then they model the evolution of } \mathbf{G} = \mathbf{G}^T.$$

The most general closure for the dynamics of G of this type is

$$P = -\left[ \sum_{\beta=1}^N c_{\beta} \frac{\mathbf{G}_{\beta}}{\text{tr } \mathbf{G}_{\beta}} \right] \text{tr}(M^2), \quad \text{with} \quad \sum_{\beta=1}^N c_{\beta} = 1, \quad \mathbf{G}_{\beta} = \mathbf{G}_{\beta}^T$$

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## Restricted Euler closure as a Riemannian metric

Under the Lagrangian flow map  $\phi_t : X \rightarrow x(t)$ , P transforms as a metric

$$ds^2 = P(t) dx(t) \otimes dx(t) = \phi_t \circ \left( P(0) dX \otimes dX \right).$$

A **Riemannian metric** in the Lagrangian reference configuration transforms as<sup>1</sup>

$$G(t) = D^{-1}(t)G(0)D^{-1}(t),$$

where  $D(X, t) = \partial x / \partial X$  with  $\det(D) = 1$  &  $[D^{-1}(t)dx(t)]^\cdot = [dx(0)]^\cdot = 0$ .

Set P proportional to the frozen-in metric  $G(t)$  as

$$P = - \frac{G(t)}{\text{tr } G(t)} \text{tr } (M^2),$$

(1)  $G(t) = \text{Id}$  recovers the restricted Euler equations of Vieillefosse, Cantwell, etc.

(2)  $G(0) = \text{Id}$  recovers the mean flow part of the Chertkov et al. tetrad model.

<sup>1</sup>The metric  $G(t)$  is called the **Finger tensor** in nonlinear elasticity.

## Alignment issues in rotating turbulence

- Dynamics of Euler velocity gradients (sym and antisym parts)
- Pressure Hessian
- Lagrangian orthonormal frames
- Ricatti equations
- Extra vortex stretching / forcing
- Dynamics of transition to Taylor-Proudman alignment?
- Approaches
  - Lagrangian coarse-graining / averaging
  - Algebraic pressure closures
- Next steps?

**Thank you!**

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Figure 1: The PDF of  $\cos(\theta)$  for DNS of NSeqns. The solid, dotted and the dash-dotted lines refer to maximum, middle and minimum eigenvalue, respectively.

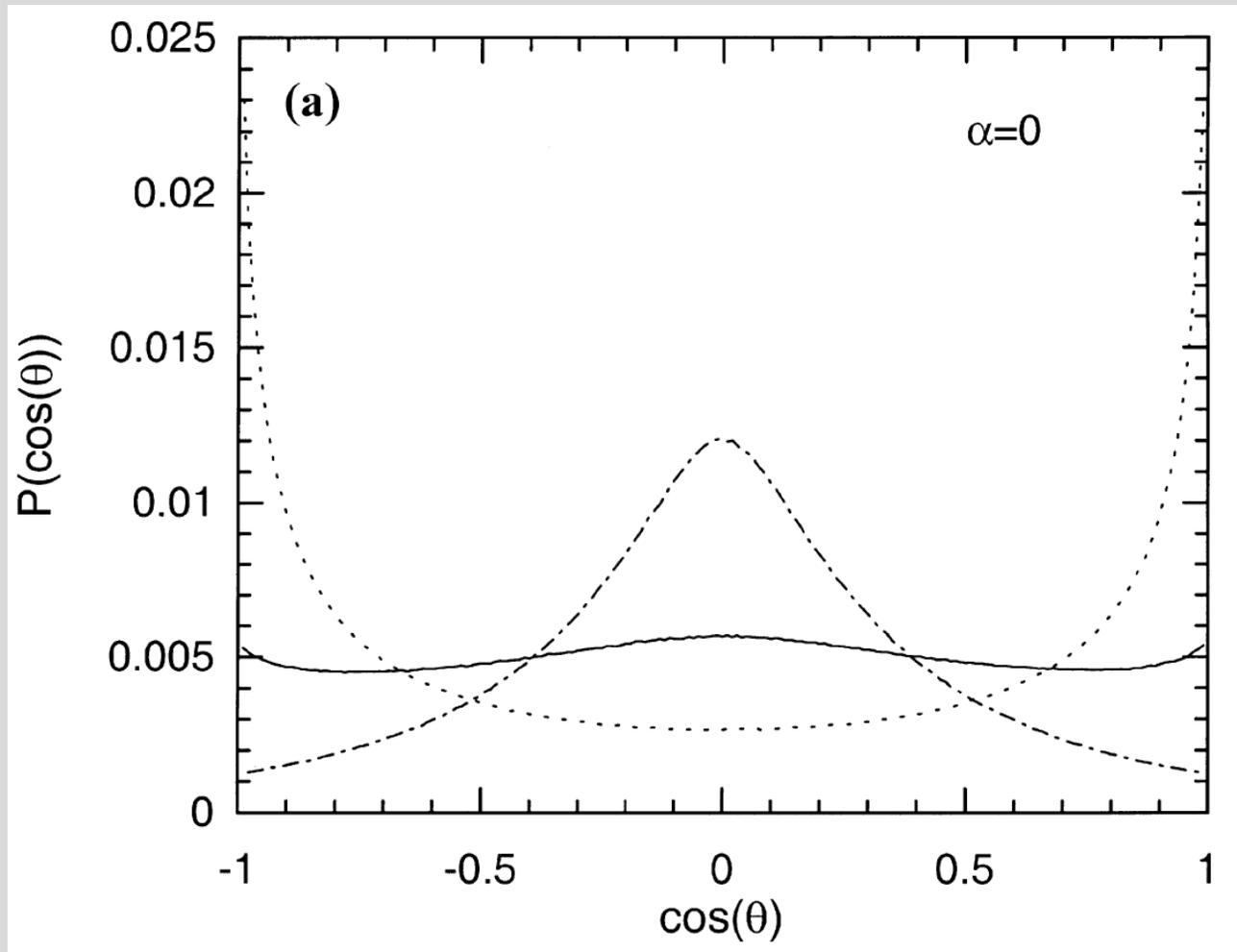


Figure 2: The PDF of  $\cos(\theta)$  for DNS with  $\alpha = 0$  (a) and  $1/32$  (b). The solid, dotted and the dash-dotted lines refer to maximum, middle and minimum eigenvalue, respectively.

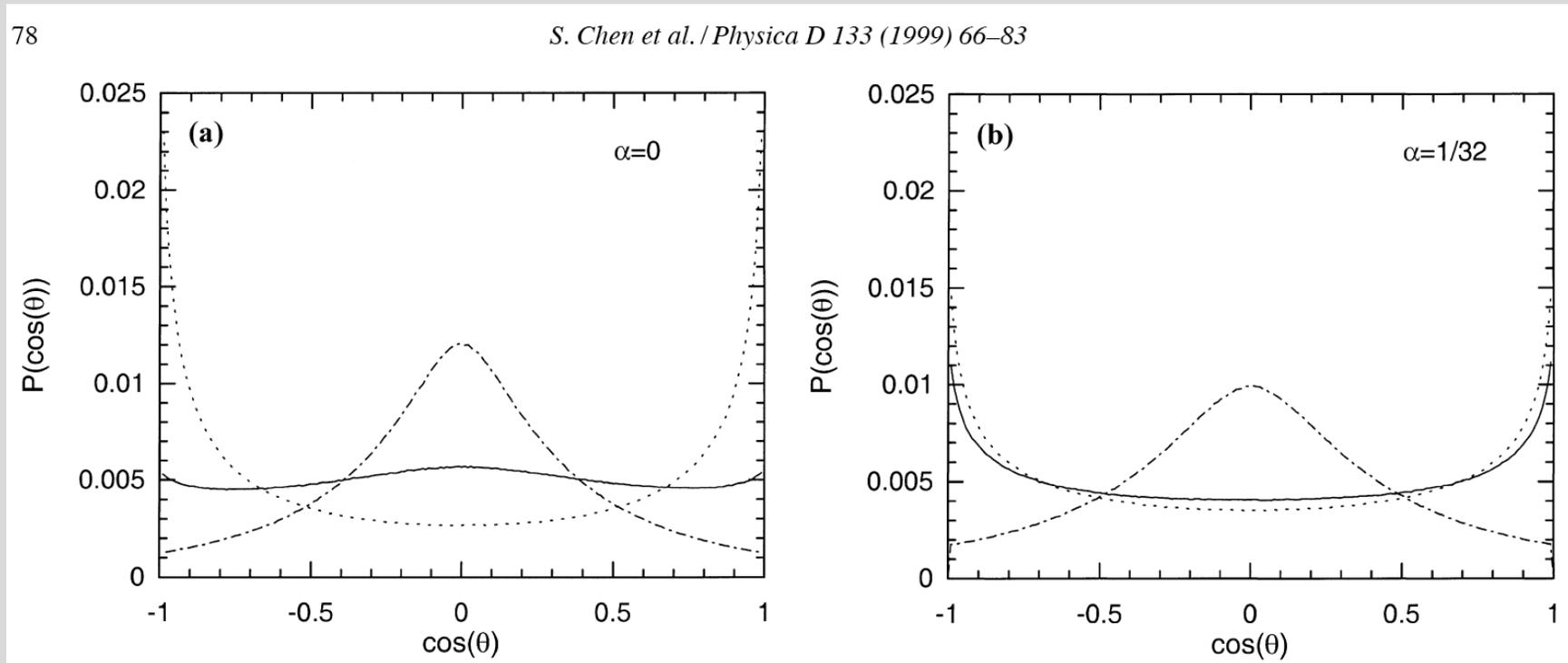


Figure 3: Snapshot of the vertical component of vorticity  $\omega_z = \omega \cdot \hat{z}$  in the developed regime at  $t = 90$  for a nonrotating flow ( $Ro = \infty$ ). Red ( $\omega_z > 0$ ), Blue ( $\omega_z < 0$ ). This is *turbulent mixing*.

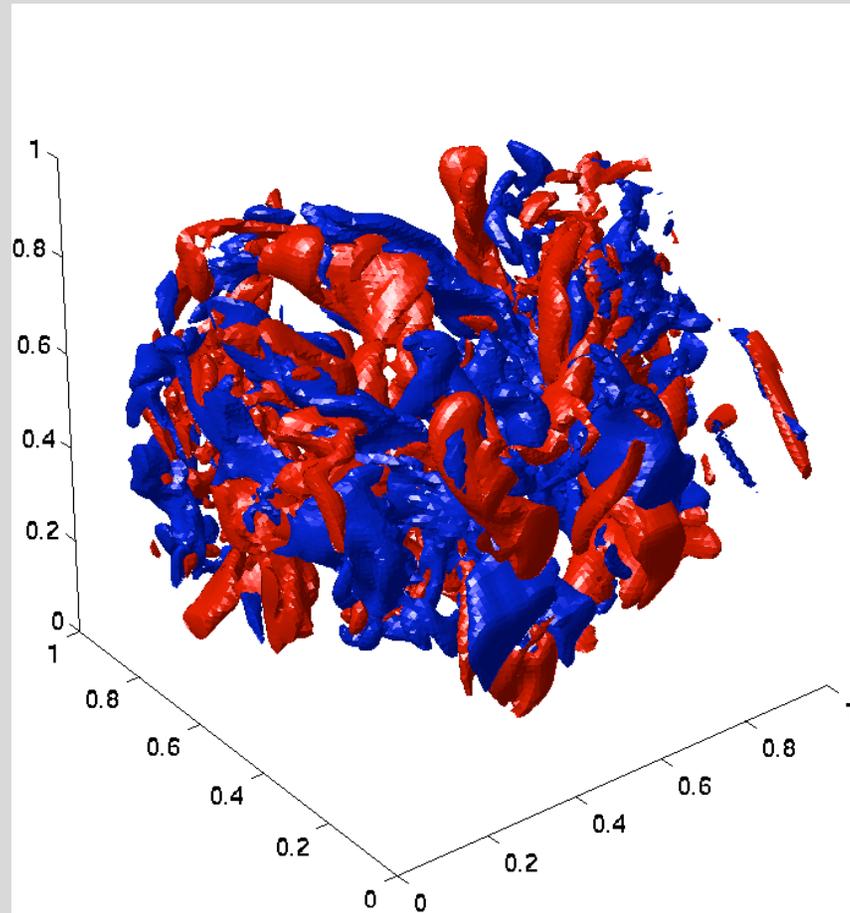
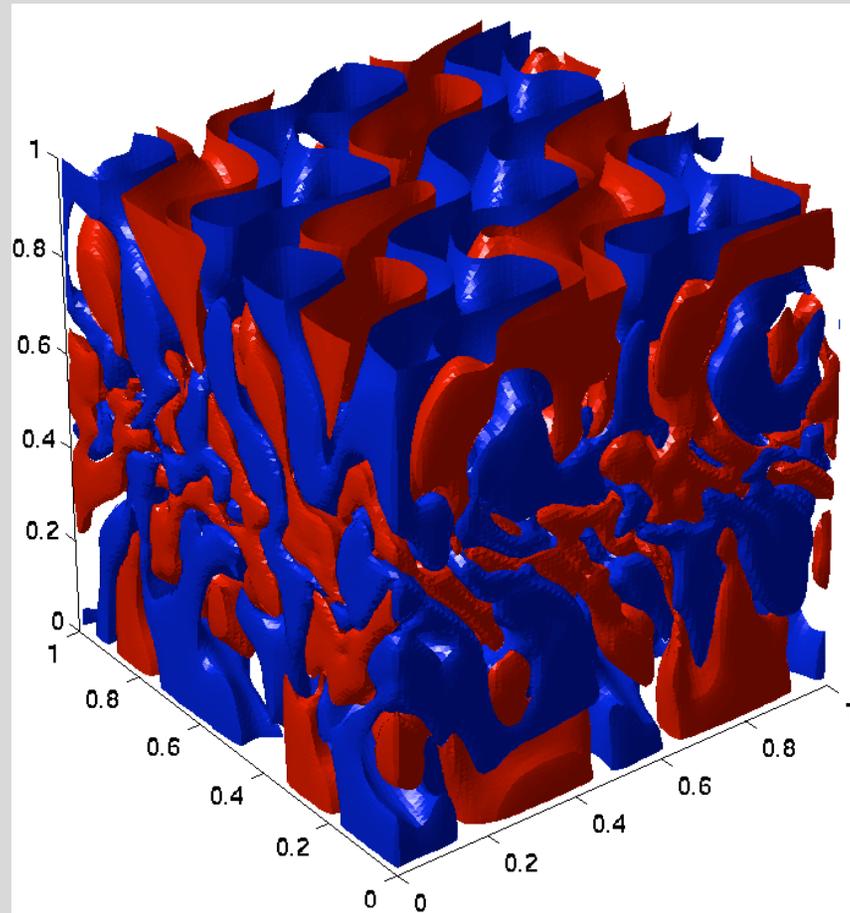


Figure 4: Snapshot of the vertical component of vorticity  $\omega_z = \omega \cdot \hat{z}$  in the developed regime at  $t = 90$  for a rotating flow at  $Ro = 1/10$ . Red ( $\omega_z > 0$ ), Blue ( $\omega_z < 0$ ). *The flow is nearly columnar.*



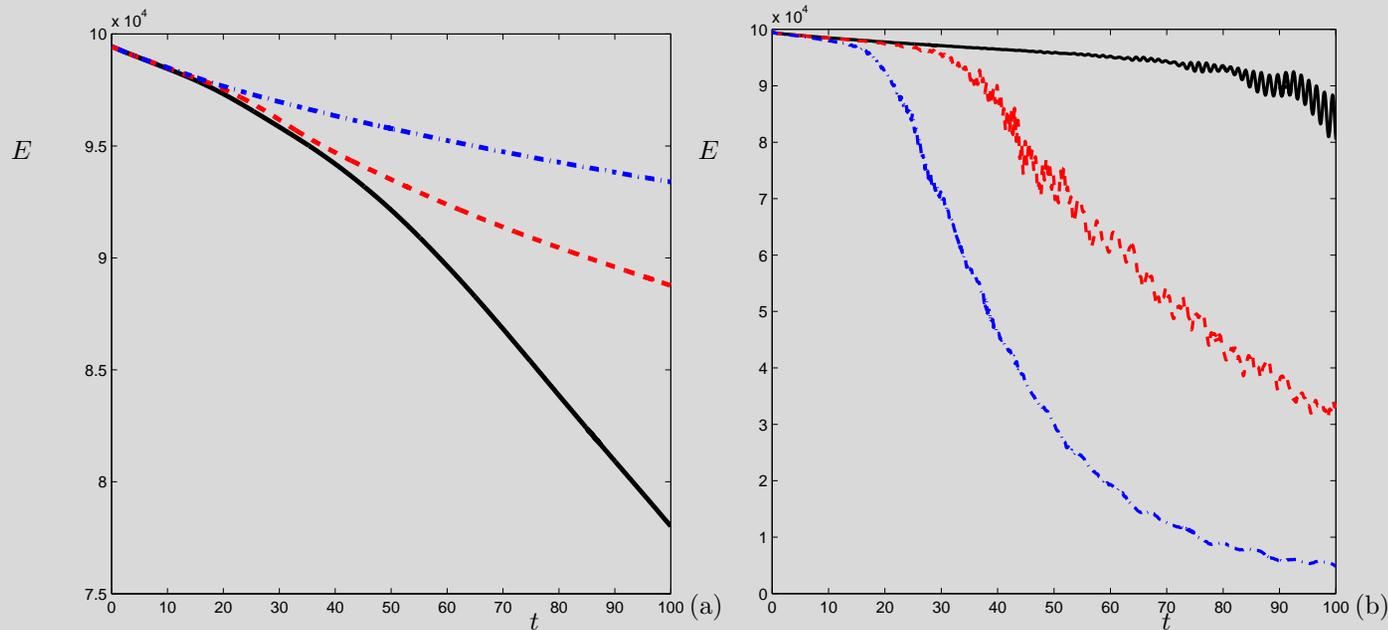


Figure 5: Decay of kinetic energy at low (a) and high (b) rotation rates. In (a)  $Ro = \infty$  (solid),  $Ro = 10$  (dashed),  $Ro = 5$  (dash-dotted); in (b)  $Ro = 1$  (solid),  $Ro = 0.5$  (dashed) and  $Ro = 0.2$  (dash-dotted).