# Aromaticity & Electrophilic/Nucleophilic Aromatic Substitution

Alan Spivey a.c.spivey@imperial.ac.uk

Imperial College London

### Format and scope of presentation

#### • Aromaticity:

- Historical perspective (Kekulé)
- Characteristics, NMR ring currents
- Valence bond & molecular orbital representations (Hückel's rule)
- Anti-aromaticity

#### • Electrophilic aromatic substitution (S<sub>E</sub>Ar):

- Mechanism (Wheland intermediates, energy profile diagrams & kinetic isotope effects)
- Nitration, sulfonylation, halogenation, Friedel-Crafts alkylation and acylation, nitrosation (diazonium salt formation & diazo-coupling, Sandmeyer reactions),
- Directing effects (*ortho-/para-* ratios, *ipso-*substitution)

#### Nucleophilic aromatic substitution:

- S<sub>N</sub>Ar
- VNS (vicarious nucleophilic substitution)
- $S_N 1 \& S_{RN} 1$  (Sandmeyer reactions)
- Benzyne & arynes

# **Aromaticity: historical perspective**

- 'Aromatic' → 'aroma' → natural fragrances e.g. benzaldehyde (peaches)
- Unusual stability/unreactivity



• Late 1800's: benzene combustion analysis  $\rightarrow$  molecular formula  $C_6H_6$ 







Ladenburg

Dewar

Kekulé

• No-decolourisation of bromine water (addition of Br<sub>2</sub> across double bonds)





#### Kekulé and his dream of snakes...





- *'Facts are better than dreams'* Noe & Bader Chem Brit. **1993**, 126
- *'Waking up to the facts?'* Rocke *Chem. Brit.* **1993**, 401
- 'Crocker, Not Armit and Robinson, Begat the Six Aromatic Electrons' Rzepa Chem. Rev. 2005, 105, 3436 (DOI)

#### Aromaticity: stability and bond lengths

- Quantification of unusual stability: heats of hydrogenation (calorimetry)
  - see: Vollhardt J. Am. Chem. Soc. 2000, 122, 7819 (DOI)



- X-ray data show all bond lengths to be the same
  - 1.39Å cf. ave C-C 1.54Å & ave C=C 1.34Å



### **Aromaticity: NMR ring currents**

- **Protons 'outside' ring experience deshielding (i.e**  $\rightarrow$  **low field)**
- **Protons 'inside' ring experience shielding (i.e.**  $\rightarrow$  high field)



- **BUT**: Schleyer Org. Lett. 2003, 5, 605 (DOI) '...there is no evidence for a special ring current influence'
- Zanasi Org. Lett. 2004, 6, 2265 (DOI) '...conventional interpretation...supported by large basis set QM calcs'

# **Aromaticity: NMR ring currents**

- Benzene gives a singlet at  $\delta$  7.27 ppm
  - *i.e.* deshielding due to ring current (*cf.*  $\delta$  4.5-6.5 ppm for ave. vinylic protons)
  - *i.e.* singlet because all H's are in identical environment ( $C_6$  symmetry)
- Higher aromatic systems have stronger ring currents resulting in dramatic shielding/deshielding:



18-annulene ( $18\pi$  electrons)

 $\delta$  -1.8 ppm inside  $\delta$  8.9 ppm outside



Vogel's hydrocarbon  $(10\pi \text{ electrons})$ 

 $\delta$  -0.7 ppm inside  $\delta$  7.1 ppm outside



dimethyldihydropyrene ( $14\pi$  electrons)

 $\delta$  -5.5 (Me) inside

# Benzene – Valence Bond and Molecular Orbital Representations of Aromaticity

#### • VALENCE BOND (VB) THEORY

- resonance hybrids imaginary structures which differ only in position of electrons (atoms/nuclei do not move)
- Not all resonance structures contribute equally 'real' structure is weighted average of resonance structures



#### • MOLECULAR ORBITAL (MO) THEORY

- Linear Combination of Atomic Orbitals (LCAO)
- $\sigma$ -bonding framework formed from sp<sup>2</sup> hybridised carbons
- · leaves p-orbital on each C atom orthogonal to ring
- 6 atomic p-orbitals (AOs)  $\rightarrow$  [LCAO maths]  $\rightarrow$  6 MOs
- each MO capable of containing 2 electrons
- 6 electrons available to occupy the 6 MOs
- placed in 3 molecular orbitals of lowest energy: bonding orbitals
- 3 anti-bonding orbitals remain vacant





### **Benzene - Molecular Orbital Description**

• Molecular orbital theory rationalises reactions and properties of benzene:



### Musulin-Frost diagrams: MO diagrams without the maths

- Graphical device for constructing MO energy diagrams:
  - Frost & Musulin J. Chem. Phys. 1953, 21, 572 (DOI) & Zimmerman J. Am. Chem. Soc. 1966, 88, 1564 (DOI)
  - Draw appropriate regular polygon within a circle (with atoms touching circumference)
  - Ensure one atom is at lowest point  $\rightarrow$  ring atom positions represent energy levels
  - Centre of circle is zero energy level (*i.e.* bonding orbitals below, anti-bonding above)



# Hückel's rule

#### • Empirical rule for aromaticity:

- Hückel Z. Phys. 1931, 70, 204; Review: Berson Angew. Chem. Int. Ed. Engl. 1996, 35, 2750 (DOI)
- For compounds which are planar & have a contiguous, cyclic array of p-orbitals perpendicular to plane of ring:
  - Those with 4n+2 p electrons display special stabilisation: *i.e.* aromatic
  - Those with 4n p electrons display special instability: *i.e.* anti-aromatic:



## **Evidence for anti-aromaticity**

• Deprotonation of cycloprop(en)yl ketones



Silver assisted solvolysis of cyclopent(adien)yl iodides



#### Vollhardt's cyclohexatriene

- Vollhardt J. Am. Chem. Soc. **1986**, 108, 3150 (**DOI**)
- Rouhi Chem. Eng. News 1996, April, 27 & Chem. Eng. News 2001, March, 55 (DOI)



- Destabilisation afforded by 3 x antiaromatic cyclobutadiene units apparently outweighs stabilisation of 1x aromatic benzenoid ring
- Vollhardt J. Am. Chem. Soc. 2000, 122, 7819 (DOI)

# Electrophilic Aromatic Substitution: S<sub>E</sub>Ar





#### <u>notes</u>

- Intermediates: energy minima
- Transition states: energy maxima
- Wheland intermediate is NOT aromatic but stabilised by delocalisation
- Generally under kinetic control



#### **Evidence for addition-elimination**

Kinetic Isotope Effects (KIE's)



### **Further evidence**

• Direct observation/isolation of Wheland intermediates:



- Detailed mechanistic studies on NITRATION:
  - Electrophilic vs charge-transfer processes: Tanaka J. Org. Chem. 2000, 65, 2972 (DOI)
  - Femtosecond UV of Wheland intermediates: Kochi J. Am. Chem. Soc. 2000, 122, 8279 (DOI)
  - Full energy profile for benzene nitration including full historical background and development of understanding – recommended reading:
  - Olah J. Am. Chem. Soc. 2003, 125, 4836 (DOI)



### Nitration

- Review: Albright ACS Symposium Series 1996, 623, 1
- **Typical conditions:** c.HNO<sub>3</sub>/c.H<sub>2</sub>SO<sub>4</sub> (1:1) or c.HNO<sub>3</sub> in AcOH



- Can also use NO<sub>2</sub>BF<sub>4</sub>, NO<sub>2</sub>ClO<sub>4</sub>, NO<sub>2</sub>PF<sub>6</sub>, NO<sub>2</sub>CF<sub>3</sub>SO<sub>3</sub>, N<sub>2</sub>O<sub>4</sub>, or N<sub>2</sub>O<sub>5</sub> in organic solvents
- Useful method for introduction of nitrogen (e.g. Ar-NO<sub>2</sub>  $\rightarrow$  Ar-NH<sub>2</sub>  $\rightarrow$  Ar-N<sub>2</sub><sup>+</sup>  $\rightarrow$  etc.)
- The process is catalytic in sulfuric acid (or whichever acid used to protonate nitric acid)



- Recent developments:
  - Microwave assistance (NR<sub>4</sub>NO<sub>3</sub>-Tf<sub>2</sub>O): Shackleford J. Org. Chem. **2003**, 68, 267 (DOI)
  - Lanthanide(III) triflate catalysis: Waller Chem. Commun. 1997, 613 (DOI); Barrett Green Chemistry 2001, 26 (DOI)
  - In ionic liquids: Laali J. Org. Chem. 2001, 66, 35 (DOI)
  - Using Bi(NO<sub>3</sub>)<sub>3</sub>·5H<sub>2</sub>O: Yin J. Org. Chem. 2005, 70, 9071 (DOI)

#### **Nitration of activated aromatics**

• *Typical conditions:* dilute HNO<sub>3</sub> in water, acetic acid or acetic anhydride



- The nitrosonium ion is a less powerful electrophile than the nitronium ion
- Dilute nitric acid always contains traces of nitrous acid
- The intermediate nitrosoarene can sometimes be isolated without oxidation
- Autocatalytic: oxidation of the nitrosoarene to the nitroarene by nitric acid produces nitrous acid



# **Sulfonylation**

• **Typical conditions:** oleum (*c*.H<sub>2</sub>SO<sub>4</sub> saturated with SO<sub>3</sub>)



- The electrophile is either  $SO_3$  or  $HSO_3^+$  depending on the conditions
- Can also use  $SO_3$  in aprotic solvents (here, the electrophile is  $SO_3$ )
- Virtually all aromatic systems can be sulfonated under appropriate conditions
- Sulfonation is substantially reversible at high temperatures but essentially irreversible at 0 °C
- Useful entry to *sulfonamides* in medicinal chemistry:



- *NB*. recent use of pentafluorophenyl (pfp) sulfonates for sulfonamide construction:
- Caddick J. Am. Chem. Soc. 2004, 126, 1024 (DOI)

### **Halogenation**

• *typical conditions: Molecular halide* ±Lewis acid (LA) catalyst in the dark.



- **bromine and chlorine:** activated hetero-aromatics do NOT require LAs
  - hypohalous acids: HO-CI, HO-Br, & N-halosuccinimides (NBS & NCS) also useful
  - e.g. NCS in 2-propanol see: Zanka Synlett 1999, 1984 (DOI)
- *iodine:* requires oxidising promotors, *e.g.* I<sub>2</sub> -CAN: Antequera *Tetrahedron Lett.* **2001**, *42*, 863 (DOI)
  - iodine better introduced by (i) ICI, (ii) lithiation/I<sub>2</sub> quench, (iii) diazonium/KI, (iv) thallation/KI
  - review: Merkushev Russ. Chem. Rev. (Engl. Trans.) 1984, 53, 583
- fluorine: generally reacts explosively with aromatics:
  - fluoride best introduced by *Bälz-Schiemann* reaction:  $HBF_4$ -diazonium/ $\Delta$
  - Review: Silvester Aldrichchimica Acta 1991, 24, 31 (DOI); review: Moilliet Chim. Oggi 2001, 41



#### **Aromatic Finkelstein reactions**

#### • <u>Br $\rightarrow$ I exchange</u> - Cu catalysis:

- Buchwald J. Am. Chem. Soc. 2002, 124, 14844 (DOI)







• <u> $CI \rightarrow I$  exchange</u> via silylation:

- Buchwald Org. Lett. 2007, 9, 3785 (DOI)



# **Friedel-Crafts alkylation**

• Typical conditions: alkyl halides in the presence of Lewis acid promotors



- Plagued by rearrangements (Wagner-Meerwein 1,2-proton shifts)
- Substantially reversible and therefore can de-alkylate!
- Products are activated relative to starting materials hence extensive poly-alkylation
- Alkyl halide-Lewis acid complex is a weak electrophile and deactivated aromatics do not react
- The Lewis acid is a catalytic promotor



NB. 1) useful method for introduction of *t*-Bu groups via DoM/sulfinylation: Clayden Chem.
 Commun. 2006, 1393 (DOI); 2) ionic liquids as solvents; Kantam Synlett 2008, 1449 (DOI)

### **Friedel-Crafts acylation**

- Reviews:
  - Stoichiometric classical LAs: Olah Friedel-Crafts & related reactions, Wiley, **1964**, vol 3, pt1
  - Catalytic lanthanide LAs: Collin Co-ord. Chem. Rev. 1998, 180, 117 (DOI)
- Typical conditions: acid chlorides or anhydrides (also sulfonyl chlorides) ± LA promotor :

$$AICI_{3} \longrightarrow AICI_{4} + \begin{bmatrix} O(+) \\ H \\ R \end{bmatrix} a cylium ion = E$$

- LA not required for activated aromatics
- stoichiometric LAs: AlCl<sub>3</sub> > FeCl<sub>3</sub> > BF<sub>3</sub> > TiCl<sub>3</sub> > ZnCl<sub>2</sub> > SnCl<sub>4</sub>
  - Generally can't be recycled via aqueous extraction
- *catalytic LAs:* lanthanide(III) halides/triflates *e.g.* GaCl<sub>3</sub>, InCl<sub>3</sub>, Hf(OTf)<sub>4</sub>; aqueous recycling possible
  - Mikami Synlett 1999, 1990 (DOI); Kobayashi Synlett 2000, 403 (DOI); Furstner Org. Lett. 2001, 3, 417 (DOI); Shiina Tetrahedron Lett. 2002, 43, 6391 (DOI); Marks J. Org. Chem. 2008, 73, 4004 (DOI)



- **Synthetic alternative** aryne insertion into an acid chloride  $\rightarrow$  ortho-chloroarylketone:
  - e.g. Yoshida Chem. Commun. 2007, 2405 (DOI)

# Formylation

• Review: Aldabbagh Comp. Org. Funct. Group Transform. Il 2005, 3, 99





- For a powerful alternative approach from (hetero)aryl bromides using Pd(0) cat. CO(g)/H<sub>2</sub>(g):
  - Beller Angew. Chem. Int. Ed. 2006, 45, 154 (DOI)

#### **Organocatalytic FC-type conjugate addition**

Ph



MacMillan J. Am. Chem. Soc. 2002, 124, 7894 (DOI) \_



### **Nitrosation**

• *Typical conditions:* sodium nitrite and hydrochloric acid



- Nitrosonium ion is weak electrophile: only ring nitrosates activated aromatics (*e.g.* phenols)
- N-Alkyl anilines give N-nitroso anilines (*i.e.* N-nitrosation not ring nitrosation)
- *N*-Nitroso anilines can undergo Fischer-Hepp rearrangement on heating to ring nitrosated products
- Anilines give diazonium salts *via* initial *N*-nitrosation



#### **Diazotisation & Sandmeyer reactions**

• Mechanism of formation:



• Nucleophilic ipso-substitution (Sandmeyer reactions):



### **Diazotisation & diazo-coupling**

- *Nucleophilic attack can also occur at the terminal nitrogen of diazonium ions* (*cf.* at the ipsocarbon in Sandmeyer reactions)
  - e.g. triazine synthesis using **amines** as nucleophiles:



- e.g. diazo-compound synthesis (dyes) using *phenols* as C-nucleophiles:



### **Aromatics as ambident nucleophiles**

• cf. Aryl diazonium ions as ambident electrophiles:



• Aromatics as ambident nucleophiles ('directing effects'):



# **Directing effects**

- Electrophilic substitution is under kinetic control i.e. fastest formed product predominates
- The fastest formed product will be formed via the lowest energy transition state:



- How can we estimate which transition state has lowest energy?
- HAMMONDS POSTULATE: 'energy of TS<sup>#</sup> will resemble that of Wheland intermediate more closely than the starting materials or products'
- We can estimate the energies of the Wheland intermediates from their resonance forms...

# meta-Directing groups (deactivating)

- **NR**<sub>3</sub><sup>+</sup>, **NH**<sub>3</sub><sup>+</sup> (deactivating by induction only)
- NO<sub>2</sub>, CN, SO<sub>3</sub>H, SO<sub>2</sub>R, CHO, COR, CO<sub>2</sub>R, CO<sub>2</sub>H (deactivating by induction and resonance)
- Triflates are meta-directing groups: Kraus Tet. Lett. 2002, 43, 7077 (DOI)



## ortho-/para-Directing (deactivating)

• I, Br, Cl, NO (deactivating by induction which overrides resonance)



# ortho-/para-Directing (activating)

- *NR*<sub>2</sub>, *NH*<sub>2</sub>, *OH*, *OR*, *NHCOR*, *OCOR* (activating by resonance which overrides induction)
- Alkyl (activating by hyperconjugation)
- Aryl (activating by resonance)



### ortho-/para-Ratios

+0.26

+0.30

- Statistically we expect ~2:1 ortho- : para-
- Theoretical charge density studies favour the para-:





- Complexation (chaperone) effects can favour the ortho-
  - Strazzolini J. Org. Chem. **1998**, 63, 952 (DOI)



Solvent effects are difficult to predict

# ipso-Substitution

- Proto-desulfonylation:  $\begin{array}{c} SO_{3}H\\ R^{+} \\ HSO_{4} \\ HSO_{4} \end{array} \qquad \left[ \begin{array}{c} H\\ R^{+} \\ HSO_{3} \\ H \\ HSO_{4} \\ H_{2}SO_{4} + SO_{3} \end{array} \right] \qquad \begin{array}{c} H\\ HSO_{4} \\ H_{2}SO_{4} + SO_{3} \\ H_{2}SO_{4} + SO_{3} \end{array} \qquad \begin{array}{c} H\\ HSO_{4} \\ H_{2}SO_{4} + SO_{3} \\ H_{2}SO_{4} + SO_{3} \end{array}$
- Utility of SO<sub>3</sub>H as temporary directing group



- Desilylation, degermylation & destannylation:
  - Review: Eaborn J. Organometal. Chem. 1975, 100, 43 (DOI)

M = Si, Ge, Sn, Pb, Tl, Hg

R

# Synthetic 'check list' for S<sub>E</sub>Ar

- Will E<sup>+</sup> react at ring carbon or elsewhere (e.g. at amine substituent)?
- Is the E<sup>+</sup> sufficiently reactive to react with a ring carbon?
- If reaction at a ring carbon is expected, what orientation relative to existing groups (i.e. directing effects)?
  - ortho-/para- or meta- or ipso-?
  - If ortho-/para- ... which?
  - Use a temporary directing group to get desired orientation?
- Mono- or multiple substitution?
  - Will introduction of E activate or deactivate the ring relative to the starting material?
  - Which directing effects dominate 'second' electrophilic substitution?

# Nucleophilic Aromatic Substitution: S<sub>N</sub>Ar

- *Mechanism:* addition-elimination
  - Rate = k[ArX][Y<sup>-</sup>] (bimolecular <u>but</u> rate determining step does NOT involve departure of LG (*cf.*  $S_N 2$ )
  - e.g. 4-fluoro nitrobenzene:



- only efficient for electron deficient benzene derivativess and azines
- relative rates for azines see: Shephard Adv. Het. Chem. 1965, 4, 145

#### <u>notes</u>

- Intermediates: energy minima
- Transition states: energy maxima
- Meisenheimer intermediate is NOT aromatic but stabilised by delocalisation
- Generally under kinetic control



# Leaving group influence: S<sub>N</sub>Ar

Fluorides are often difficult to prepare and unstable so chlorides are generally used

- Ionic liquid media: e.g. Welton Org. Lett. 2007, 9, 5247 (DOI)
- Microwave acceleration: e.g. Luo Tet. Lett. 2002, 43, 5739 (DOI)

*Halides:* ease of substitution follows the *element effect:* 

**NO<sub>2</sub> and OTMS groups:** also good leaving groups for  $S_NAr$ :

review: Vorbruggen Acc. Chem. Res. 1995, 28, 509 (DOI)

Sugiyama Chem. Lett., **1999**, 7, 691 (**DOI**)





### **Vicarious Nucleophilic Substitution: VNS**

- Nucleophilic replacement of **HYDROGEN** ortho and para to **NO**<sub>2</sub> groups
  - Review: Makosa Acc. Chem. Res. 1987, 20, 282 (DOI)
    - Exploits the fact that nucleophile addition to hydrogens @ C2 and C4 relative to a nitro group is more rapid even than to
      halogens at these positions (the addition is reversible, so in standard S<sub>N</sub>Ar reactions of these substrates S<sub>N</sub>Ar of the halogens
      eventually ensues)



# Aromatic S<sub>N</sub>1 & S<sub>RN</sub>1 reactions

- **Diazonium salts** do NOT react via  $S_N$ Ar but either by  $S_N$  or  $S_{RN}$  mechanisms:
- In absence of Cu salts  $S_N 1$ :



- Rate =  $k[ArN_2^+]$  (unimolecular)
- accelerated by photolysis: Albini J. Org. Chem. 2005, 70, 603 (DOI)
- Driving force is loss of N<sub>2</sub> ( $\Delta G = \Delta H T \Delta S$ )
- Aryl cation is still aromatic

#### • Using copper salts (i.e. Sandmeyer reactions) - S<sub>RN</sub>1

- Review: Bunnett Acc. Chem. Rev. 1978, 11, 413 (DOI)
- Single Electron Transfer mechanism see workshop
- VERY USEFUL SYNTHETIC REACTIONS SEE EARLIER SLIDE

#### **Benzynes and arynes**

• *Mechanism:* Elimination-addition:



- **Evidence:** <sup>13</sup>C labelling (see above) & 2 x ortho-substituents  $\rightarrow$  no reaction
- Benzyne is still aromatic but VERY reactive towards *e.g.* cycloadditions:



- Triflates suffer competitive thia-Fries rearrangement:
  - Lloyd-Jones Chem. Comm. 2003, 380 (DOI)



### **Arynes in synthesis**

• Review: Pellissier Tetrahedron 2003, 59, 701 (DOI)



# Summary

#### Aromaticity:

- Historical perspective (Kekulé)
- Characteristics, NMR ring currents
- Valence bond & molecular orbital representations (Hückel's rule)
- Anti-aromaticity

#### • Electrophilic aromatic substitution (S<sub>E</sub>Ar):

- Mechanism (Wheland intermediates, energy profile diagrams & kinetic isotope effects)
- Nitration, sulfonylation, halogenation, Friedel-Crafts alkylation and acylation, nitrosation (diazonium salt formation & diazo-coupling, Sandmeyer reactions),
- Directing effects (*ortho-/para-* ratios, *ipso-*substitution)

#### Nucleophilic aromatic substitution:

- $-S_NAr$
- VNS (vicarious nucleophilic substitution)
- $S_N 1 \& S_{RN} 1$  (Sandmeyer reactions)
- Benzyne & arynes