# UNIVERSITY of LOUIST ON CULLEN COLLEGE of ENGINEERING





#### Federated Learning and Analysis In Mobile Edge Computing

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Thanks to Dawei Chen, Latif U. Khan, Choong Seon Hong, Nguyen Tran, Lixin Li, Minh Nguyen, Tra Huong Thi Le, Chunxiao Jiang, Yue Yu, Zibo Wang, Wenbo Wang, Yifei Zhu, Siping Shi, Dan Wang

National Science Foundation, Toyota and Amazon

## Outline



- Background and Fundamentals
  - Background
  - Machine Learning and Optimization Point of Views
- Federated Learning for Wireless Networks
  - Toyota Example
  - Matching Theory Based Low-Latency Scheme for Multi-Task
     Federated Learning in MEC Networks
- From Federated Learning to Federated Analysis
  - Federated Skewness Analytics in Heterogeneous Decentralized
     Data Environments
  - Federated Anomaly Analytics for Local Model Poisoning Attack
- Open Problems and Conclusions

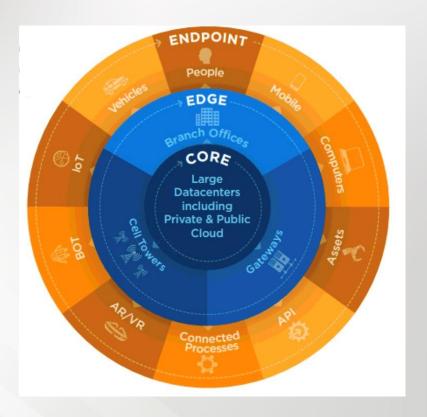
# Background



- Can data live at the edge?
  - Billions of phones & IoT devices constantly generate data
  - Data processing is moving on device:
    - > Improved latency
    - Works offline
    - Better battery life
    - Privacy advantages

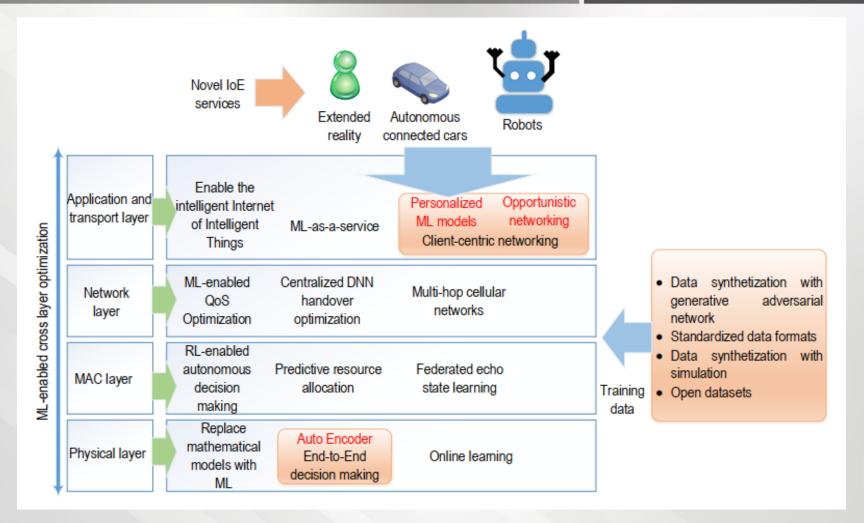
What about analytics?

What about learning?



# Background







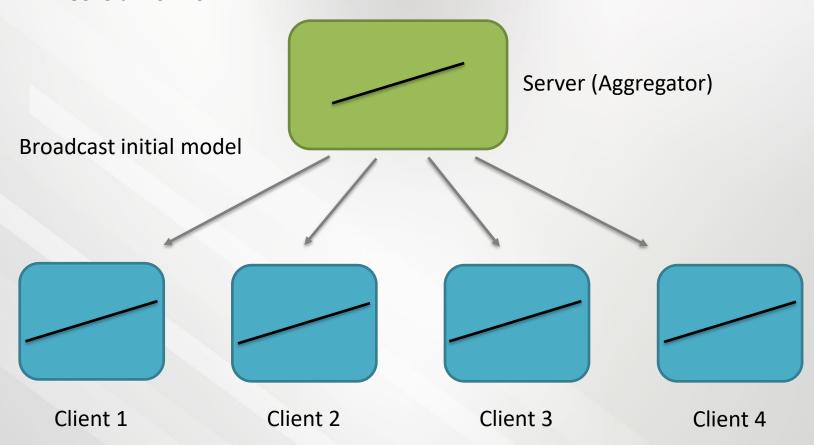
- What is Federated Learning?
  - General workflow





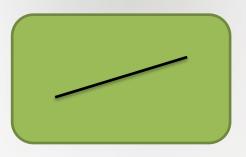


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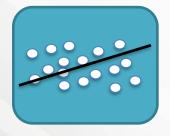


Server (Aggregator)

#### Clients generate local data



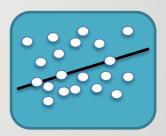
Client 1



Client 2



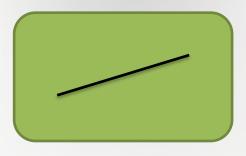
Client 3



Client 4



- What is Federated Learning?
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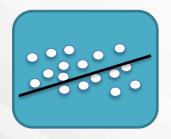


Server (Aggregator)

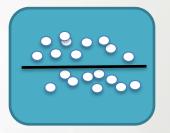
Clients train the initial model based on local dataset



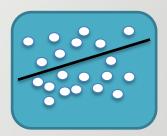
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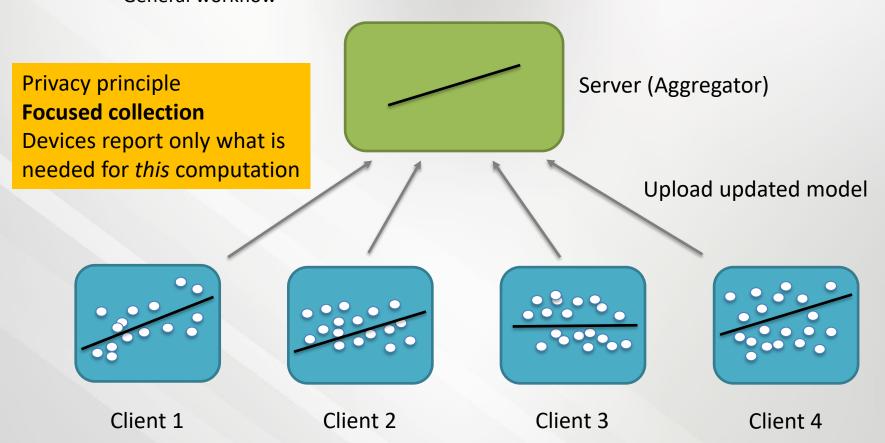
Client 3



Client 4

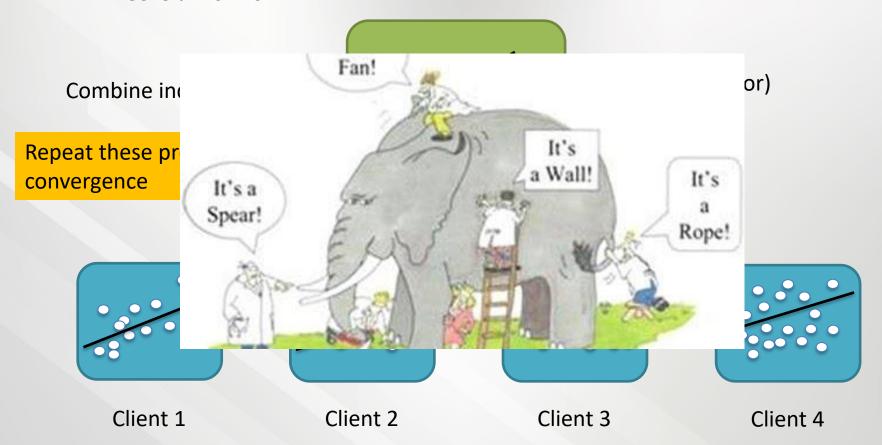


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## Optimization POV



#### Federated Averaging (FedAvg)

**Algorithm 1** FederatedAveraging. The K clients are indexed by k; B is the local minibatch size, E is the number of local epochs, and  $\eta$  is the learning rate.

#### Server executes:

```
initialize w_0

for each round t = 1, 2, ... do

m \leftarrow \max(C \cdot K, 1)

S_t \leftarrow (random set of m clients)

for each client k \in S_t in parallel do

w_{t+1}^k \leftarrow ClientUpdate(k, w_t)

w_{t+1} \leftarrow \sum_{k=1}^K \frac{n_k}{n} w_{t+1}^k
```

ClientUpdate(k, w): // Run on client k  $\mathcal{B} \leftarrow (\text{split } \mathcal{P}_k \text{ into batches of size } B)$ for each local epoch i from 1 to E do
for batch  $b \in \mathcal{B}$  do  $w \leftarrow w - \eta \nabla \ell(w; b)$ return w to server

#### Overall procedures:

- 1. At first, a model is randomly initialized on the central server.
- 2. For each round t:
  - i. A random set of clients are chosen;
  - ii. Each client performs local gradient descent steps;
  - iii. The server aggregates model parameters submitted by the clients.

How to handle our research group

## FL Advantages



- 1. Generally, the data generated by different users are non-i.i.d. data due to the various behavior characteristics. However, the task aims at obtaining a model that is suitable for each individual user. FL has been proved to be an effective way to tackle with non-i.i.d. data [1], which is perfectly suitable for multi-user scenario.
- 2. Communication cost can be easily relieved by FL because what are transmitted between edge devices and datacenter are the machine learning model or the model parameters, whose data size is greatly smaller than the original dataset [2].
- 3. In addition, because the original data will not be uploaded, FL is an effective way to reduce the probabilities of eavesdropping, which means the user's privacy can be ensured [3].

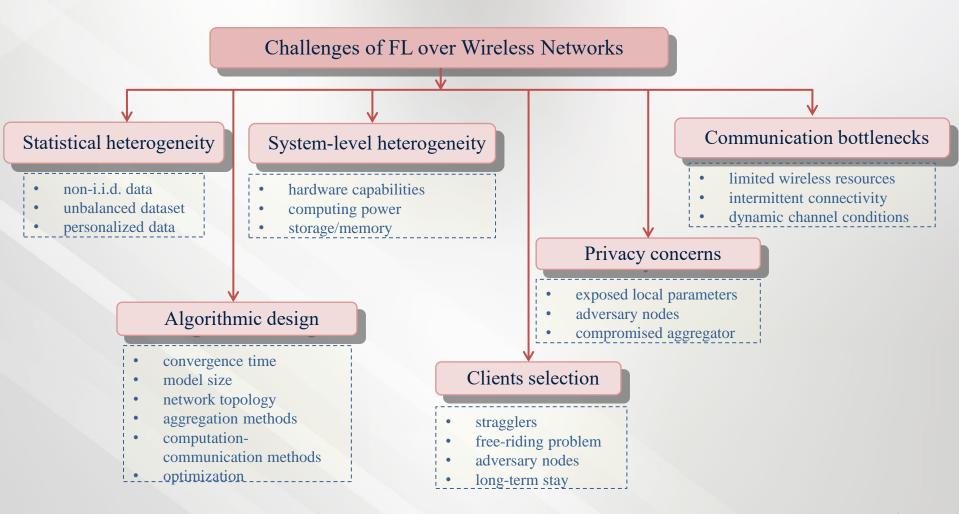
<sup>[1].</sup> Y. Zhao, M. Li, L. Lai, N. Suda, D. Civin, and V. Chandra, "Federatedlearning with non-iid data," arXiv preprint arXiv:1806.00582, 2018.

<sup>[2].</sup> J. Kone cn'y, H. B. McMahan, F. X. Yu, P. Richtárik, A. T. Suresh, and D. Bacon, "Federated learning: Strategies for improving communication efficiency," arXiv preprint arXiv:1610.05492, 2016.

<sup>[3].</sup> R. C. Geyer, T. Klein, and M. Nabi, "Differentially private federatedlearning: A client level perspective," inthe 31st Conference on NeuralInformation Processing Systems, Long Beach, CA, December 2017.

## FL Challenges





### Outline

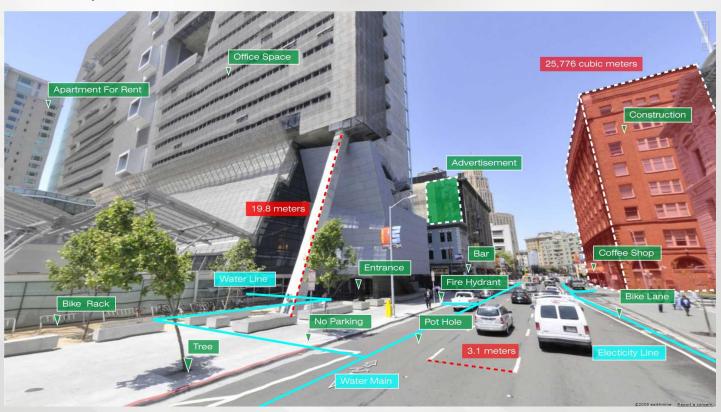


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## Toyota Example

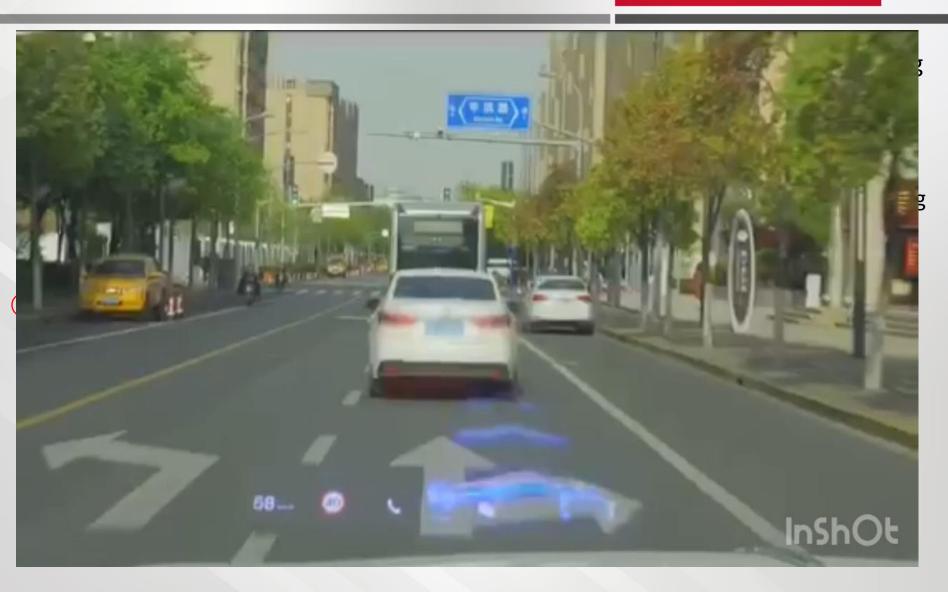


- What AR does is to implant 3-D virtual objects in a real-world context.
- Challenges:
  - ✓ Latency: Real-time interaction; Dizziness
  - ✓ Accuracy: Object recognition and matching



# Methodology





# Example 2: Matching Motivation



#### Challenges:

- Once the end devices are invited, they will unconditionally take part in the federated learning tasks which ignores their willingness.
  - Computation cost, remained energy...
- There are many available edge nodes in a MEC network, how to parallelly perform multiple federated learning tasks needs to be considered.
- Information exchanging cannot be done entirely in large scale loTs scenarios.
- Matching Game Framework with incomplete preference list

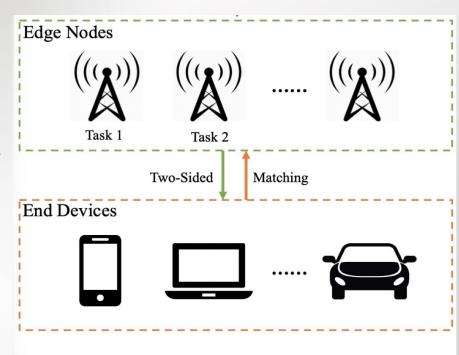


Fig. 2. The multi-task federated learning framework in MEC scenario.

Dawei Chen, Choong Seon Hong, Li Wang, Yiyong Zha, Yunfei Zhang, Xin Liu and Zhu Han, ``Matching Theory Based Low-Latency Scheme for Multi-Task Federated Learning in MEC Networks," IEEE Transactions on Mobile Computing, 2021.

#### Stable Marriage Matching



- Basic elements (Stable Marriage):
  - Agents: A set of men, and a set of women;
  - Preference list: A sorted list of men/women based on her/his preferences;
  - Blocking pair (BP) (m,w):
    - 1). m is unassigned or prefers w to his current partner;
    - 2). w is unassigned or prefers m to her current partner;
  - Stable matching: A matching admit no BPs.
  - Gale-Shapley Algorithm: find a stable matching in SM.

## GS algorithm





Geeta, Heiki, Irina, Fran

Adam



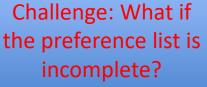
Irina, Fran, Heiki, Geeta

reach a stable marriage!



Geet

, Irin





Fran





Irina

### Simulation Results



Impact of user numbers and edge node numbers

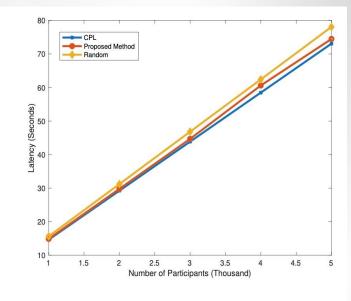


Fig. 4. Network latency with different number of users.

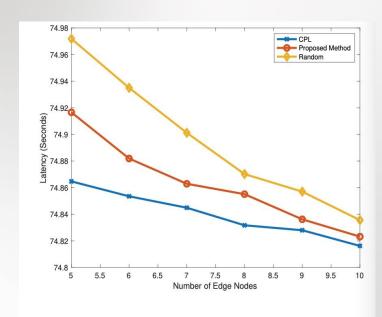


Fig. 5. Network latency with different number of edge nodes.

Evidently, the network latency is positively related to the number of participants while is negatively correlated with the number of edge nodes.

Our proposed matching with incomplete preference list method is close to the performance of complete preference list (CPL) case.

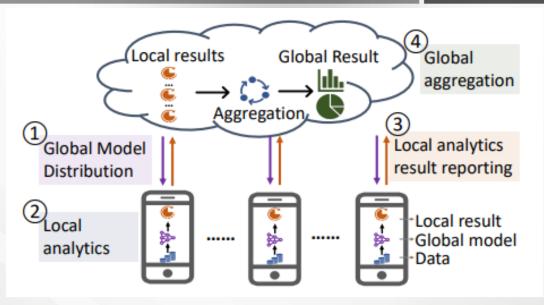
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# Beyond Federated Learning: Federated Analytics



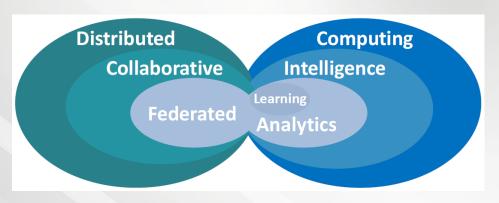


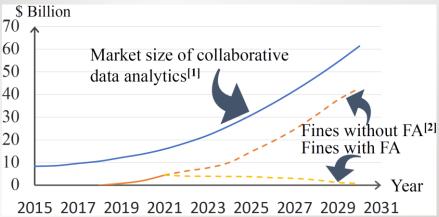
- Google proposed Federated Analytics in May 2020
  - Also for the Gboard application
  - Federated learning for model training
  - Federated analytics for model testing
- Google's definition on federated analytics:
  - Collaborative data science without data collection
  - https://ai.googleblog.com/2020/05/federated-analytics-collaborative-data.html
- My two examples of federated analytics

# What is Federated Analytics: Taxonomy



- Federated: how nodes collaborate
- Analytics: what the computing task is





Collaboration Model Computing Model

- Data analytics: to draw conclusions from data
- Federated analytics: A collaborative computing paradigm that performs data analytic computing tasks across multiple decentalized devices where the raw data should be kept local
- Market: Increasing demands on collaborative data analytics vs. Increasing concerns on privacy and confidentiality

# Federated Analytics vs. Others



#### To Federated Learning

	Federated Learning	Federated Analytics
Goal	Training ML models	Non-training tasks (data science)
Aggregation approach	FedAvg	Task dependent
		Tree   Bayesian   MPC   etc.
Local insights	Model weights	Task dependent
		Partial info   Distilled info   etc.

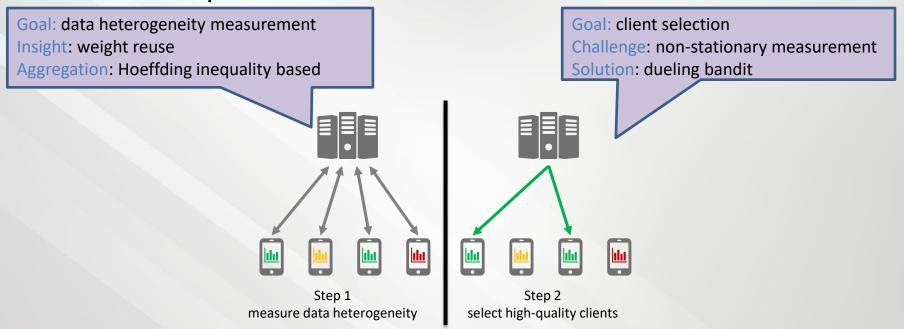
#### To Distributed Data Mining

	Distributed Data Mining	Federated Analytics
Raw data transmission	Redistribution assumed	Stay where it origins
Clients (nodes) and server	Trusted	Untrusted (privacy & Byzantine attack)
Data & device heterogeneity	Little concerned	Focused

#### FA Example1: FedACS

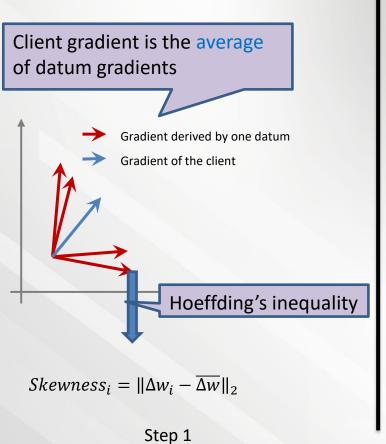


- FedACS: a stand-alone federated analysis instance assisting some other federated tasks
  - Goal: measuring data heterogeneity (skewness) and create a client-pool with low data skewness

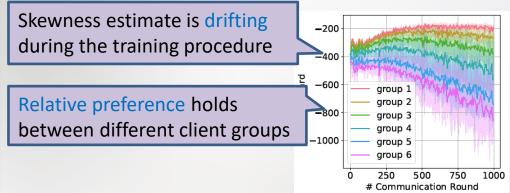


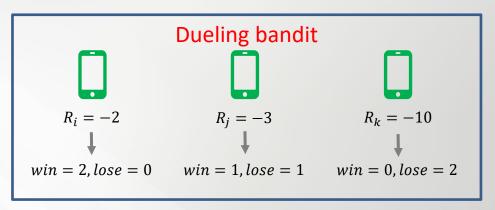
### FedACS: Design Overview





measure data heterogeneity





Step 2 select high-quality clients

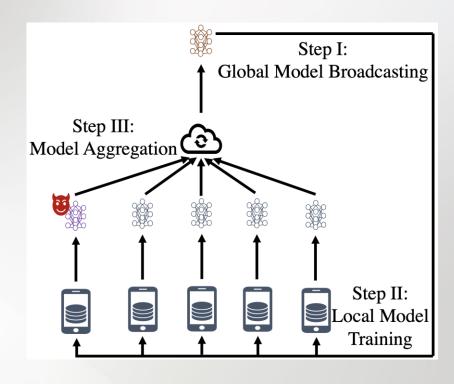
When assisting FL, FedACS reduces 65.6% of accuracy loss and speeds up for 2.4x

# Example 2: Local Model Poisoning Attack



#### FA is vulnerable to attacks

- Local model poisoning attack
  - A single malicious worker can arbitrarily manipulate the uploaded local models during the process of federated learning
- Harmful effect on the whole FL
  - Broadly slowing down the convergence rate<sup>[1]</sup>
  - Significantly degrading the prediction accuracy of the learned global model<sup>[2]</sup>



Shi, Siping, et al. "Federated anomaly analytics for local model poisoning attack." IEEE Journal on Selected Areas in Communications. 2021.

<sup>[1] .</sup> Blanchard, et al, "Machine learning with adversaries: Byzantine tolerant gradient descent," NeurPIS 2017

<sup>[2] .</sup> Bagdasaryan, et al, "Howto backdoor federated learning," AISTATS 2020

# Motivation, Challenges and Methodology



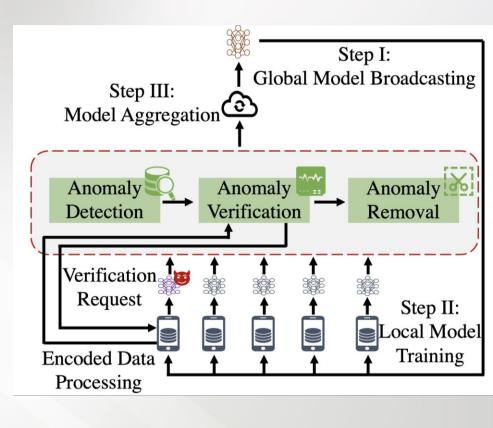
#### Motivation:



Everything Everywhere All at Once, Oscar 2023

#### Modules:

- Anomaly Detection Module
- Anomaly Verification Module
- Anomaly Removal Module



### Experiments



#### **Results:**

- FAA-DL outperforms other defense methods on the accuracy of the learned global model, with an accuracy improvement up to 2.03X
- The performance gap of FAA-DL is within 0.92% –2.48% of the ideal baseline across all tested attacks

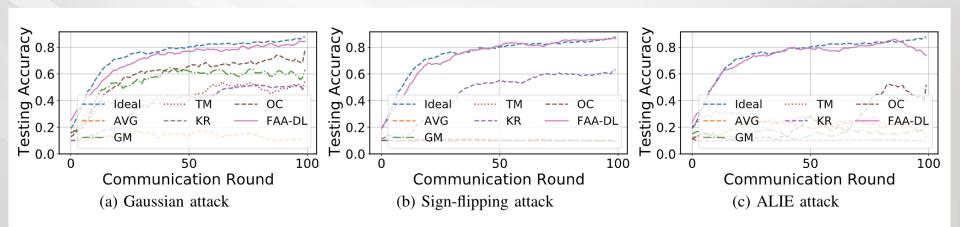


Fig. 4: The accuracy of defense to different attacks with different methods.

# Open Problems

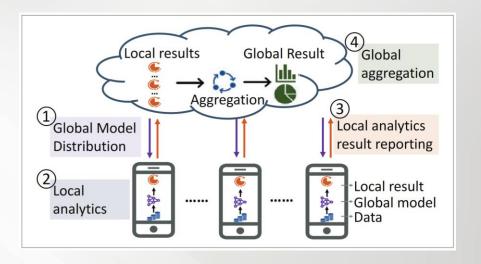


- ✓ Resource optimization
  - ✓ Optimization algorithms for FL, particularly communication-efficient algorithms tolerant of non-IID data
- ✓ Scalability
  - ✓ Approaches that scale FL to larger models, including model and gradient compression techniques
- ✓ Convergence improvement
  - ✓ There is a need to devise approaches that converge fast.
- ✓ Fairness-enabled FL
  - ✓ Bias and fairness in the FL setting (new possibilities and new challenges)
- ✓ Secure FL
  - ✓ Enhancing the security and privacy of FL, including cryptographic techniques and differential privacy

# Open Areas



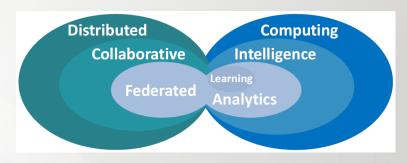
- ✓ Application/algorithm level: more applications call for redesign
  - ✓ Federated statistics
  - ✓ Federated visualization (e.g. histogram, heatmap)
  - ✓ Federated global/local model evaluation
  - ✓ Federated database query
  - ✓ Federated data sketching
  - ✓ Federated data publication
  - ✓ and more ...
- ✓ System level
  - ✓ Communication efficiency
  - ✓ Device heterogeneity
  - ✓ and more ...
- ✓ Privacy, incentive algorithm, and more...



### Conclusions



- Federated learning will be a major part of learning paradigm
  - Mobile massively decentralized, naturally arising (non-IID) partition
  - Availability of distributed clients
  - Address communication bottleneck
  - Privacy concern
- We explore different aspects and applications to integration of federated learning and wireless networks
  - Formulations
  - Problem specific solution
  - Link machine learning, computation,
     communication, networking, and OR
  - From federated learning to federate analysis



### Join or Visit Our Lab



