

## Principles of Quantum Mechanics

- indistinguishability of particles: bosons & fermions

bosons:

total wavefunction is symmetric upon interchange of particle coordinates (space, spin)

fermions:

total wavefunction is antisymmetric upon interchange of particle coordinates (space, spin)

- spin: integer (bosons) & half-integer (fermions)

Spin-Statistics theorem (Pauli, Phys. Rev. 1940)

## Schroedinger Equation & Exchange

Problem of two electrons (He atom or H<sub>2</sub> molecule):

1)  $H f(x_1, x_2) = E f(x_1, x_2)$ , spatial part

2) no equation for spin part  $h(s_1, s_2)$

spin and space parts are inter-dependent through Pauli Exclusion Principle:

$$f(x_1, x_2) h(s_1, s_2) = - f(x_2, x_1) h(s_2, s_1)$$

consequence: if  $x_1 = x_2$  and  $s_1 = s_2$   $\rightarrow$  total wavefunction is zero

(I)  $f$  symmetric &  $h$  antisymmetric

(II)  $f$  antisymmetric &  $h$  symmetric

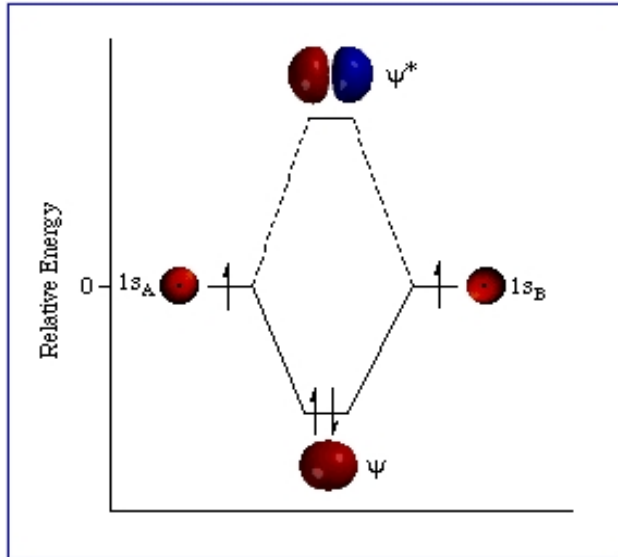
## H<sub>2</sub> molecule

(I) f symmetric & h antisymmetric

(II) f antisymmetric & h symmetric

f has no nodes

f has nodes



electron spin  $\frac{1}{2}$  : s =  $\uparrow \downarrow$

s<sub>1</sub>, s<sub>2</sub>: four possible combinations

singlet: S = s<sub>1</sub> + s<sub>2</sub> = 0

$1\uparrow 2\downarrow - 1\downarrow 2\uparrow$  antisymmetric

triplet: S = s<sub>1</sub> + s<sub>2</sub> = 1

$1\uparrow 2\uparrow \quad 1\downarrow 2\downarrow \quad 1\uparrow 2\downarrow + 2\uparrow 1\downarrow$   
symmetric

-> electrons in ATOMS:

Total Energy = electron K. E. + electron-ion Coulomb attraction  
+ electron-electron Coulomb repulsion

1s, 2s, 2p, 3s, 3p, 3d, etc.: orthogonal shells -> zero overlap  
-> electrons avoid each others

nodes in spatial wavefunction:

spin part is of triplet or higher spin nature

Hund's rule (ferromagnetism)

(atomic oxygen has spin 1)



## TRANSITION METALS (Fe, Co, Ni)

3d orbital of transition metal atoms:

more localised extent compared to 3s and 3p states,  
strong Hund's coupling

-> inter-atomic orbital overlap is weaker than intra-atomic  
ferromagnetic coupling

-> electrons preserve part of their atomic spin moment

-> solid is magnetic

-> depending on coupling between spins:

FERROMAGNETISM, ANTIFERROMAGNETISM, PARAMAGNETISM

to understand alignment ->

kinetic delocalisation (uncertainty principle) +  
Pauli exclusion principle

ferromagnet: alignment of atomic spin

3d shell of Fe: 5 up, 1 dn  
Co: 5 up, 2 dn  
Ni: 5 up, 3 dn

Fe ↓      Fe ↑      Fe ↓  
1up,5dn    5up,1dn    1up,5dn

-> no electron can delocalise: unfavoured configuration

antiferromagnet: antialignment of atomic spin

3d shell of Cr : 4 up  
Mn: 5 up

Mn ↑      Mn ↑      Mn ↑  
5up      5up      5up

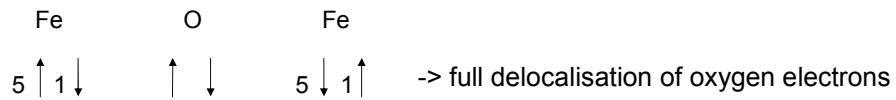
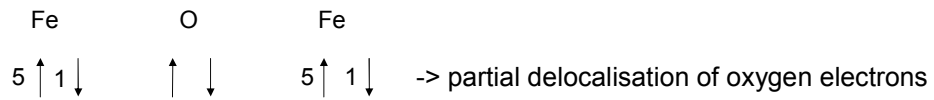
-> no electron can delocalise: unfavoured configuration

paramagnet: no coupling between atomic spins

## TRANSITION METAL OXIDES: FeO, NiO, CoO

Fe: 2+      O: 2-

-> kinetic delocalisation + Pauli principle



Fe-Fe 3d orbitals overlap is strongly reduced because of O presence -> insulator

## SELF-INTERACTION ERROR IN DFT

$$\text{Total Energy}[n(r)] = T[n(r)] + V_{\text{ext}}[n(r)] + \text{Hartree}[n(r)] + \text{Exchange-Correlation}[n(r)]$$

$$\text{Hartree}[n(r)] = \int dr dr' n(r)n(r') / |r-r'|$$

-> electron  $n(r)$  interact with itself through  $n(r')$

Hartree-Fock theory: no self-interaction since the error in Hartree is cancelled exactly by the Fock exchange

In DFT, exchange is approximated within the LDA which is not exact  
-> spurious Hartree contribution is not removed

## SIE and transition metal ferro- and antiferro-magnets

effect of SIE on orbitals: interaction of electron with itself causes the charge distribution  $n(r)$  to be more spread out, resulting in a non physical metallisation (electron delocalisation) of the system

\* s,p metals: LDA works well since the SIE is small

\* d metals: LDA still gives qualitatively good answers because of their metallicity

Fe,Co,Ni: ferromagnetic metals:  
electrons delocalise through interatomic d-orbitals overlap,  
SIE is not large

i

\* d insulators: FeO, CoO, NiO: antiferromagnetic insulators  
Electrons keep their d-orbital character,  
interatomic d-orbitals overlap is poor  
and therefore SIE is large

LDA exchange is wrong:  
it predicts them to be non-magnetic metals

to overcome this problem-> hybrid DFT

exchange is the problem.

B3LYP= 20 % HF + 80 % DFT(LDA, GGA)

% through fitting to large number of molecules

## SUMMARY

\* magnetism is due to: Spin + Pauli Exclusion Principle +  
Coulomb repulsion+ Uncertainty Principle

\*Fe,Co,Ni: ferromagnetic metals,  
Hund's coupling of d-orbitals+ electron delocalisation

\*FeO,CoO,NiO: antiferromagnetic insulators,  
Hund's coupling of d-orbitals + electron localisation (oxygen)

\*DFT works well for Fe, Co, Ni, but fails for FeO,CoO,NiO due to SIE

possible solution is hybrid DFT: B3LYP