

**Research Funding Stage – Due Diligence Declaration**

In accordance with Regulation (EU) 511/2014 the Imperial College of Science, Technology and Medicine will submit the information contained in this form to the Office for Product Safety and Standards. Some of the information within the form will be provided, and subsequently published by, to the Access and Benefit Sharing Clearing House (ABSCH). Information marked as confidential below will not be published, in line with the definition of confidentiality set out in Article 7(5) of Regulation (EU) 511/2014. To indicate that information is confidential, please tick the relevant box and provide an explanation at the end of the declaration. Although essential confidential information will not be shared with ASBCH by the Office for Product Safety and Standards, it may be passed onto competent authorities within the provider country.

*Please tick or complete the appropriate box(es) below.*

|  |  |  |  |
| --- | --- | --- | --- |
| 1. Contact details[[1]](#footnote-1) | | | |
| Name | |  | |
| Address | |  | |
| Email | |  | |
| Telephone | |  | |
| Website (where available) | |  | |
| **Confidential** | | |  |
| 1. I declare that I have fulfilled the obligations under Article 4 of Regulation (EU) No 511/2014. I am making this declaration for the utilisation of (Please check one or both if appropriate) | | | |
| Genetic resources | | |  |
| Traditional knowledge associated with genetic resources | | |  |
| **Confidential** | | |  |
| 1. Source of the genetic resource[[2]](#footnote-2) | | | |
|  | | | |
| **Confidential** | | |  |
| 1. Subject matter or genetic resources collected or received[[3]](#footnote-3) | | | |
|  | | | |
| **Confidential** | | |  |
| 1. Short description of the information relevant to the utilization of genetic resources, including the type of use[[4]](#footnote-4). | | | |
|  | | | |
| **Confidential** | | |  |
| **Research Grant Funding** | |
| The research grant is funded by the following sources (please check one or both if appropriate). | |
| Private |  |
| Public |  |
| N/A |  |
| **Confidential** |  |
|  | |
| 1. Information on exercise of due diligence | | | |
| An internationally recognised certificate of compliance was issued for the genetic resources and / or associated traditional knowledge to which I have been granted access. | | |  |
| Where this box is ticked, please indicate the unique identifier of the internationally recognised certificate(s) of compliance and proceed to Part B on Confidentiality[[5]](#footnote-5) | | | |
|  | | | |
| **Confidential** | | |  |
| Where there is no internationally recognised certification of compliance, please submit the following information | | | |
| Date of access[[6]](#footnote-6) | |  | |
| **Confidential** | | |  |
| Identifier of access permit or its equivalent[[7]](#footnote-7) | |  | |
| **Confidential** | | |  |
| Person or entity **that granted** prior informed consent[[8]](#footnote-8) | |  | |
| **Confidential** | | |  |
| Person or entity **to whom** the prior informed consent was granted[[9]](#footnote-9) | |  | |
| **Confidential** | | |  |
| Reference or evidence of establishment of mutually agreed terms including benefit sharing:[[10]](#footnote-10) | |  | |
| **Confidential** | | |  |

## PART B

|  |  |
| --- | --- |
| **Confidentiality** | |
| If you have declared that some information is confidential please state the reasons for each piece of information for which you have declared that confidentiality applies | |
|  | |
|  | |
| Date |  |
| Signature of person legally responsible for research funding stage: |  |

The completed form should be sent to Defra at [abs@defra.gov.uk](mailto:abs@defra.gov.uk)

With a copy to [researchoffice.mta@imperial.ac.uk](mailto:researchoffice.mta@imperial.ac.uk)

1. This information allows the provider of the genetic resource to check whether the person or entity to whom the Prior Informed Consent (PIC) was granted is the same person or entity providing evidence of PIC and Mutually Agreed Terms (MAT) at the checkpoint. For the purposes of the ABS Clearing House this information can be confidential. [↑](#footnote-ref-1)
2. Enter the country/ies which is /are the source of the genetic resource. The country/ies will be the ones receiving the Check Point Communique issued from the information registered. If marked as confidential the information will not be made public on the clearing house but the National Focal Point of the provider country will be notified. [↑](#footnote-ref-2)
3. Please provide details on the subject-matter or genetic resources relevant to the information collected or received by the checkpoint. This could include biota at any taxonomic rank, which may carry a taxonomic name. It may also include a locality of collection of the material. It may also be possible to identify the genetic resource through reference to a voucher specimen or field notes held in an identified archive or collection. [↑](#footnote-ref-3)
4. This could include information on utilization of genetic resources at, inter alia, any stage of research, development, innovation, pre-commercialization or commercialization. The information provided will allow the provider of the genetic resource to check whether the use of the genetic resource is in conformity with PIC and MAT and that benefits are shared in accordance with MAT. [↑](#footnote-ref-4)
5. Links to internationally recognized certificate(s) of compliance (IRCC) that relate to this communiqué. [↑](#footnote-ref-5)
6. Date of access means the point at which users obtain the physical genetic resource from the provider country. This is typically when researchers are in the provider country and sample / collect the material. If a genetic resource is obtained from a third party (e.g. from a collection / biobank or similar), the time of access would still be considered as the point at which the initial material was sampled / collected in the provider country. [↑](#footnote-ref-6)
7. This field is to provide information on PIC. This includes information on any national reference or identifiers that may aid countries to search and retrieve information related to PIC, or the permit or its equivalent in their national files. [↑](#footnote-ref-7)
8. Full details of person or entity plus contact telephone number, address and email. [↑](#footnote-ref-8)
9. Full details of person or entity plus contact telephone number, address and email. [↑](#footnote-ref-9)
10. This field is to provide information on MAT. This includes information on any national reference or identifiers that may aid users to search and retrieve information related to MAT, the permit or its equivalent in their national files. Please refer to and include attachments if appropriate. [↑](#footnote-ref-10)