

THE DEVELOPMENT OF MEMS MASS SPECTROMETERS

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ABSTRACT

Lab-on-a-chip devices have had a major impact on analytical chemistry. Integration allowed manipulation of minute quantities of reagent, reduced dead volumes and minimised peak broadening. Integrated electrospray nozzles allowed analysis immediately after separation. By comparison, the effort devoted to mass spectrometers has been small. Although attempts were made to miniaturise most common filters, fabrication involves the formation of complex electrodes that generate precise electric fields. Consequently, mass resolution has been poor. High voltages also limited mass range, while poor sample introduction limited sensitivity. Consequently, little progress was made until recently in miniaturizing the electrospray mass spectrometer. These difficulties have been overcome. Greatly improved filters have been developed, vacuum interfaces have been constructed and bench-top ESI-MS is commercially available. This paper summarizes recent developments.

KEYWORDS

Mass Spectrometer, Mass Filter, Electrospray

INTRODUCTION

Mass spectrometry (MS) has evolved over more than a century into a highly developed field. Until recently, all mass spectrometers were bulky and expensive, apart from a small number of portable systems constructed for applications outside the laboratory, such as space exploration, explosives and drug detection, pollution monitoring and volcanology. These systems were fabricated individually, using the best available conventional workshop technologies. In the last 20 years, a sustained effort has been made to develop mass-production techniques for bench-top laboratory instruments using micro-electro-mechanical systems (MEMS) technology. In contrast to lab-on-a-chip systems, which easily outperformed conventional fluid handling by virtue of their high speed and low dead volume, the performance of early MEMS MS was poor, and unlikely to provide a challenge to state-of-the-art instruments. However, recent progress has been remarkable, and instruments with useful performance are now available commercially, placing immediate analysis within reach of every laboratory chemist. The aim of this paper is to review the development of MEMS mass spectrometers.

MASS SPECTROMETER SYSTEMS

The principles of mass spectrometry are well-established [1]. A sample whose composition is to be determined (and which might exist in the solid, liquid or gas phase) is first prepared. The sample is ionized, and passed through a filter that separates the ions in time or in space, according to their mass-to-charge ratio. The separated ions are then detected using a charge counter, allowing a mass spectrum to be obtained. Mass filtering

and detection are performed at low pressure, to avoid collisions, but ionization can take place in vacuum (Fig. 1a) or at atmospheric pressure (Fig. 1b).

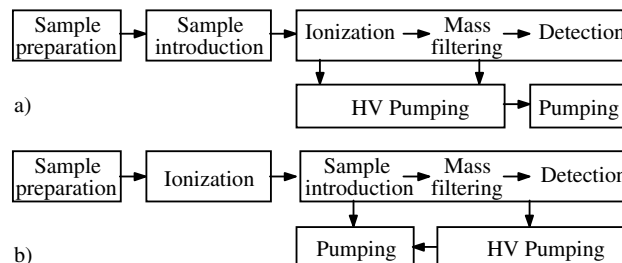


Figure 1. MS systems with ionization a) under vacuum and b) at atmospheric pressure.

Separation processes are often used to make it easier to identify the different constituents of a multi-component system, especially with gases (gas chromatography) or liquids (liquid chromatography or capillary electrophoresis) (Fig. 2a). The component of interest may also be concentrated before injection. Neutral samples may be introduced into the vacuum system using a capillary, a permeable membrane or by solid-phase micro-extraction (Fig. 2b), and ions formed by API using a capillary, nozzle or skimmed free-jet expansion (Fig. 2c).

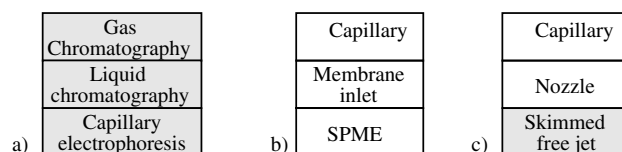


Figure 2. a) Separation methods, b) and c) sample introduction methods for neutrals and ions.

Ionization methods differ, depending on the pressure regime. In vacuum, they include hot- and cold-cathode electron-impact ionization (EI), capacitively and inductively coupled plasma ionization, photoionization (PI), and laser desorption ionization (LDI) and its matrix-enhanced derivative (MALDI) (Fig. 3a). At atmospheric pressure, available methods include electrospray ionization (ESI), LDI/MALDI and corona discharge (Fig. 3a). ESI is extensively used for liquids, because of its ability to ionize large molecules without fragmentation.

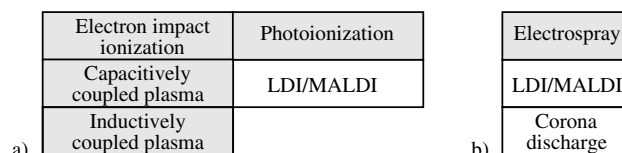


Figure 3. Methods for ionization a) under vacuum and b) at atmospheric pressure

The necessary components for EI include hot- and cold cathode electron emitters, while plasma sources require plasma chambers at intermediate pressure.

Electrospray and its successor nanospray require fluidic channels and nozzles (Fig. 4a). Depending on whether the ions are separated in time or space, detection can involve single- or multi-channel ion counters. Simple Faraday cups may be used, or devices involving secondary electron multiplication from discrete or continuous dynodes (Fig. 4b). Scintillators and avalanche photodiodes are also used as detectors, but less often.

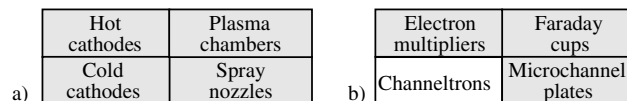


Figure 4. Components for a) ion sources and b) ion detectors.

Mass filtering involves the use of magnetic (Fig. 5a) or electric fields (Figs. 5b and 5c). However, because of the size and weight of magnets the emphasis (in both conventional and MEMS MS) is overwhelmingly on the latter. Electric fields may be static, pulsed or harmonic; components based on the first and second are used for ion focusing or gating, while the last allow quadrupole lenses, ion guides, ion traps and collision cells to be constructed.

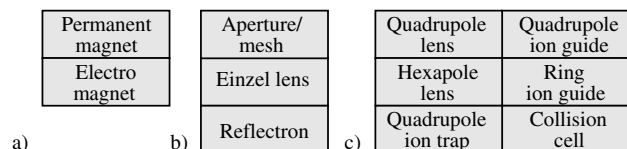


Figure 5. Components for filters: a) magnetostatic, b) electrostatic and c) electrodynamic.

These components can be arranged to form a wide variety of mass filters, based on static fields and mixtures of pulsed and harmonically varying fields. The first include magnetic sector, electric sector and crossed-field types (Fig. 6a), the second time-of-flight (TOF) filters (Fig. 6b), and the third quadrupole filters, travelling wave filters, Fourier transform ion cyclotron resonance filters (Fig. 6c), and cylindrical, toroidal and linear ion traps (Fig. 6d). Each has different characteristics. Avoidance of any form of magnet reduces system size and weight. The use of harmonic electric fields allows guiding and trapping in two- and three-dimensional space. The quadrupole mass spectrometer allows continuous ion sampling, while TOF and trap filters only allow sampling with a low duty cycle. However, the TOF-MS allows a high mass-range to be achieved very easily.

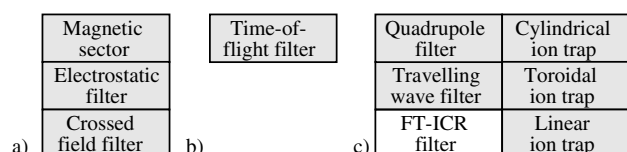


Figure 6. Mass filtering based on a) static fields, b) pulsed fields, and c) harmonically varying fields.

Filters can be combined with other components to form more complex analytical systems, in which a parent ion is selected using a first filter and then fragmented in a collision cell. The daughter ions are then examined in

detail in a second filter. Provision of a detailed mass spectrum of each ion enables its precise identification, a process known as tandem-MS or MS-MS. Such systems may be based entirely on quadrupoles (the triple quadrupole or QQQ) (Fig. 7a). However, many combinations of quadrupoles, ion traps and time-of-flight filters have been developed (Fig. 7b).

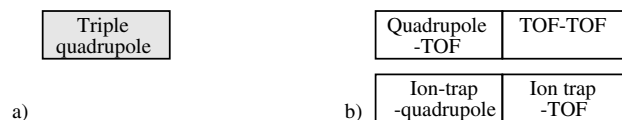


Figure 7. MS-MS systems based on a) quadrupoles, b) combinations of quadrupoles, traps and TOF filters.

MEMS MASS SPECTROMETERS

Construction of MEMS mass spectrometer systems typically involves replacement of conventional sample introduction systems, ion sources, mass filters or ion detectors with smaller and more precise micro-fabricated components. Although MEMS vacuum pumps have been investigated, it is safe to say that both the pumping system and vacuum enclosure are likely to remain conventional devices for the foreseeable future.

The range of MEMS fabrication processes that has been used includes methods for patterning and material deposition (Fig. 8a) and etching, large-scale structuring and assembly (Fig. 8b). The challenge has been to adapt these processes to the particular requirements of MS systems, which typically involve some combination of large size, high precision, high RF voltage, high DC voltage, and gas-tight or liquid-tight assembly. The most successful developments have used 'three-dimensional' processes such as wafer bonding, deep reactive ion etching and assembly, in addition to 'two-dimensional' processes such as lithography, deposition and etching.

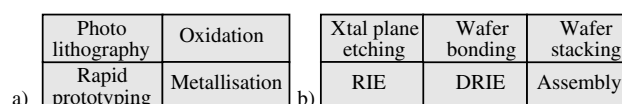


Figure 8. MEMS fabrication: a) patterning and deposition, b) structuring and assembly.

Out of all the components needed for a miniature MS system, attention has overwhelmingly been paid to the electrospray source. The obvious explanation is that this represented the simplest fabrication problem, because almost any open-ended fluidic channel with a suitable electrical contact can emit a spray. This convenient property placed its construction within the capability of most chemistry departments. Furthermore, useful results (such as analysis immediately after separation) could be obtained directly, and the potential for combination with lab-on-a-chip components was obvious. Consequently, papers on this topic number several hundred [2-7].

The focus on miniaturization of ESI sources did, however, ignore an inconvenient truth: by far the largest component of the analytical chain was the mass spectrometer itself, often involving extremely large floor pumps in addition to the visible instrument. Progress in this area was much slower. Possible explanations include

the lack of a viable strategy for miniaturization, the complexity of complete system development, and a market structure based on relatively small numbers of instruments with very high performance.

Miniaturization of all major mass filter types has now been attempted, except FT-ICR-MS. Examples include:

1. Magnetic filters [8-10]
2. Electrostatic filters [11-12]
3. Crossed field filters [13-16]
4. Time-of-flight filters [17-19]
5. Travelling wave filters [20-21]
6. Quadrupole filters [22-25]
7. Cylindrical ion traps [26-31]
8. Toroidal ion traps [32-34]
9. Linear ion traps [35-40]

Most designs involve complex electrode arrangements to control ion motion over long paths, and hence occupying (by MEMS standards) relatively large volumes. Consequently, the main difficulty has been to develop processes that can define suitably large electrodes with sufficient precision. Often, approximations to the desired structure are used. These either include replacement of an exact equipotential surface with an approximation, or with a set of planar electrodes held at controlled potentials.

In addition, many micro-fabricated sources and detectors have been developed, including:

10. EI sources with hot cathodes [17]
11. EI sources with cold cathodes [41-45]
12. Plasma ion sources [46-50]
13. Photoionization sources [17]
14. Array-type ion detectors [51-54]

The above are described in several reviews [55-57].

MEMS QUADRUPOLES

MEMS quadrupole development at Imperial College began in 1995 with a collaboration with Liverpool University. At the time, many common microfabrication methods were less developed, and construction was based on the older crystal plane etching techniques used to form optical fibre connectors. Etching and re-oxidation of entire Si wafers was used to batch-fabricate 30 mm long dies containing V-grooves capable of mounting 0.5 mm dia cylindrical electrode rods made of metallised glass, together with larger spacer rods (Fig. 9a,b) [58-61]. Mass spectra were obtained using EI (Fig. 9c), providing valuable proof that sufficient ion flux could be obtained from such a small device.

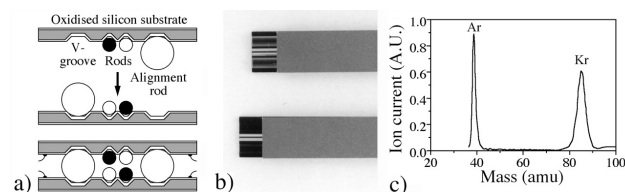


Figure 9. Quadrupole filter fabricated by crystal plane etching: a) schematic, b) device and c) mass spectrum.

However, difficulties were identified with RF heating of the semiconducting substrate, which caused the electrodes to detach. Consequently, the mass range was limited to around 100 atomic mass units (a.m.u.). The substrate also acted as a nearby ground plane, distorting

the local electric field. The mass resolution was therefore several a.m.u., preventing exact elemental determination. Although quadrupole arrays (Fig. 10a) and quadrupoles with entrance and exit optics in the form of 1D einzel lenses (Fig. 10b, c) were also constructed, [62] the technique was abandoned. However, results were encouraging enough to form a spin-out at Imperial, Microsaic Systems.

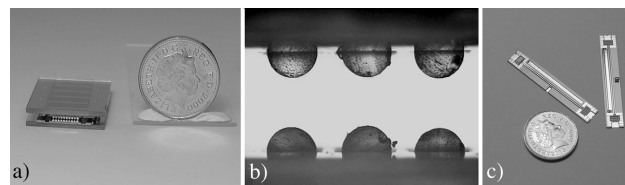


Figure 10: V-groove etched components: a) array-type QMS, b) einzel lens, c) quadrupole with integrated lens.

At the time, bonded silicon-on-insulator (BSOI) was becoming available and appeared to offer the solutions to electrical isolation and rod mounting. New designs involving pairs of stacked substrates were therefore developed (Fig. 11a,b). These incorporated entrance and exit optics and sprung mounts for metal electrodes (again, derived from optical fibre components). Greatly improved spectra were obtained, with a mass range increased to 400 a.m.u and a resolution approaching 1 a.m.u. (Fig. 11c) [63]. This performance was high enough to allow development of two MS systems with MEMS quadrupoles (portable and bench-top mass spectrometers, respectively, with EI sources and SPME interfaces) [64].

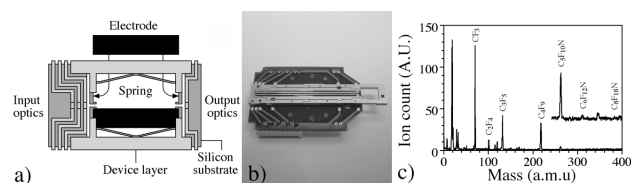


Figure 11. BSOI MEMS quadrupole: a) schematic, b) device and c) mass spectrum of PFTBA.

Once again, careful study revealed intrinsic limitations: residual RF heating (due to the limited thickness of oxide interlayer) and distortion of the RF field (due to fringe fields of the highly-constrained and asymmetric entrance and exit optics) [65]. A third-generation design was therefore developed, based on pairs of substrates formed using anodically bonded silicon-on-glass. This process was much more flexible, and allowed incorporation of RF-only (Brubaker) prefilters to improve input and/or output coupling (Fig. 12 a,b). Mass range was immediately improved to 1200 a.m.u. (Fig. 12c), comparable to conventional quadrupoles. The mass resolution of early devices again approached 1 a.m.u. and has since been improved [66].

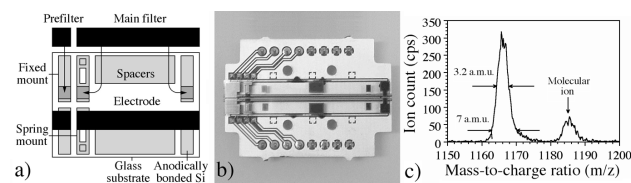


Figure 12. Silicon-on-glass quadrupole: a) schematic, b) device and c) spectrum of tris(perfluoroheptyl)-s-triazine.

MEMS ESI-MS

The availability of improved quadrupole filters suggested the possibility of constructing more advanced mass spectrometer systems such as an ESI-MS. However, two additional components were required: a nanospray ion source and a device for ion transport from atmospheric pressure into vacuum.

Although many integrated nanospray sources have been developed, their planar format often introduces difficulties in coupling to other equipment, which is typically capillary-based. Furthermore, such sources often offer limited ion current, due to the lack of a nebuliser, while the use of the mass spectrometer as the extraction electrode renders the spray highly unpredictable. A more reliable source based on a standard nanospray capillary needle and with all the features necessary for spray generation, gaseous nebulisation and desolvation was therefore developed. A stacked, two-chip assembly was again used. To sustain the high voltages (≈ 1 kV) needed for spray generation, the substrate was a thick-layer of photopatterned SU-8 epoxy resist, while the capillary mount, coaxial nebuliser and ion extraction electrode were formed using a combination of crystal plane and deep reactive ion etching (Fig. 13a, b). Spray could then be obtained entirely independently of any mass spectrometer, with the source effectively acting as a stand-alone ion gun (Fig. 13c) [67].

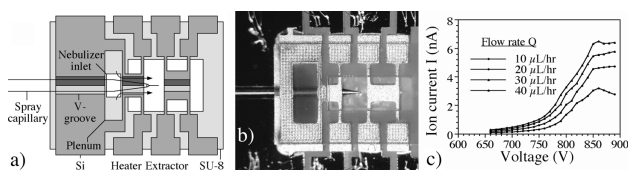


Figure 13. MEMS nanospray source: a) schematic, b) device and c) I-V characteristics at different flow rates.

To couple the ion stream into the vacuum system, a differentially pumped silicon interface was developed. This structure was formed from two stacked BSOI dies, which were in turn attached to a flange for mounting on the vacuum chamber. The outer die provided an entrance capillary and an internal electrostatic lens, while the inner die provided an exit capillary, an intermediate vacuum chamber and pumping ports (Fig. 14a,b). The combination approximates the skimmed free-jet expansion used in cluster beam and mass spectrometer systems. The intermediate chamber is relatively shallow, however, so that only a low Mach number is attained. Despite this, the three components above (the nanospray source, vacuum interface and quadrupole) allow ESI-MS spectra to be routinely obtained using MEMS parts for the three steps of ion generation, transport into vacuum and mass filtering (Fig. 14c) [68].

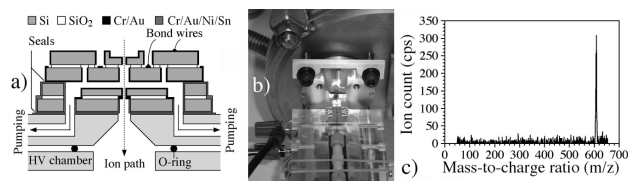


Figure 14. MEMS vacuum interface: a) schematic, b) device under test and c) ESI-MS spectrum of reserpine.

The system was commercialized in 2012 as the Microsaic 3500 MiD, the first MEMS-based ESI-MS. The MEMS components are fabricated in batches (Fig. 15a), and are considered as identical consumables that are directly exchangeable to minimize service downtime. The entire instrument, including all pumps and the computer, is contained in a single enclosure that may conveniently be accommodated at the basis of an instrument stack (Fig. 15b). The system is capable of detecting eluents from a high-performance liquid chromatography (HP-LC) system (Fig. 15c). The limit of detection for reserpine is 5 ng on-column (1 pg of which is passed to the spray source). The mass range is $m/z = 100$ -800 a.m.u., and each spectrum is typically acquired at a rate of 1 scan per second [69].

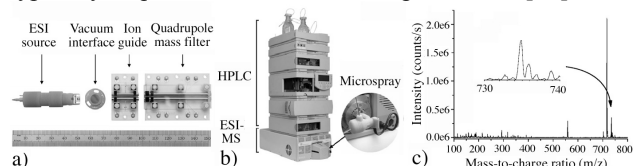


Figure 15. Microsaic 3500 MiD ESI-MS: a) MEMS components, b) system and c) mass spectrum of erythromycin.

CONCLUSIONS

Despite a long gestation, MEMS-based mass spectrometry is now a reality. While there are necessarily trade-offs in sensitivity, mass range and mass resolution involved firstly in miniaturization and secondly in approximation of the structures used for ion control using available materials and fabrication methods, it is now possible to obtain mass spectra of sufficient quality for many purposes using MEMS components. This advance may be transformative. For decades, size and cost have limited the use of mass spectrometry, particularly in the pharmaceutical industry. Laboratory chemists have been forced to submit samples to a centralized service for analysis. The wider availability of benchtop instruments at much lower cost will allow considerably more rapid feedback, particularly on the progress of crucial reactions, and the availability of mass-produced, identical 'plug-and-play' replacement components will allow a reduction in the downtime and expense of servicing.

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