

# Silicon microcontact printing engines

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## Abstract

A method of self-aligned, microcontact printing that avoids the need for dedicated alignment and stamping equipment is demonstrated. Complete miniature print engines combining elastically supported print heads with alignment structures that mate with corresponding features on etched substrates to allow mechanical registration are constructed from silicon parts. The impression can be transferred manually or using an in-built mechanism such as electrostatic actuation. 10 mm × 10 mm prototypes are fabricated using microelectromechanical systems technology, using a wafer-scale process based on deep reactive ion etching of either bulk silicon or bonded silicon-on-insulator wafers to form all mechanical parts and polydimethylsiloxane spray coating of etched surfaces to form soft stamps. Electromechanical characterization is performed and manual and electrostatic microcontact printing are both demonstrated through 1-hexadecanethiol ink transfer onto gold-coated surfaces over a 5 mm × 5 mm area with a minimum feature size of  $\approx 2 \mu\text{m}$ .

## 1. Introduction

Since the demonstration by Kumar and Whitesides of microcontact printing ( $\mu\text{CP}$ ) in 1993 [1], many new possibilities for sub-micron patterning and structuring have been opened up. Figure 1 shows a schematic of the process. The starting point is a soft stamp, formed by replica moulding from an etched master. In the most widely characterized chemistry, the stamp is soaked in an ethanol solution of alkanethiol ink and dried to remove most of the ethanol. It is then contacted against a gold-coated substrate, where the alkanethiol forms a self-assembled monolayer (SAM) after binding its terminal thiol ( $-\text{SH}$ ) group to the Au [2].

Alkanethiols have the general form  $\text{CH}_3(\text{CH}_2)_n\text{SH}$ . Extensive surface characterization has shown that alkanethiols chemisorb on Au and rapidly self-organize to form 2–3 nm thick, highly ordered quasi-crystal monolayers, with the alkyl chains  $(\text{CH}_2)_n$  extending from the (1 1 1) Au surface and tilted at  $\approx 30^\circ$  [3]. For  $n > 11$  this layer is closely packed and significantly defect-free. While SAMs are not sufficiently durable to act as a hard mask during reactive ion etching, they can act as a resist to a selective wet etch, for example allowing

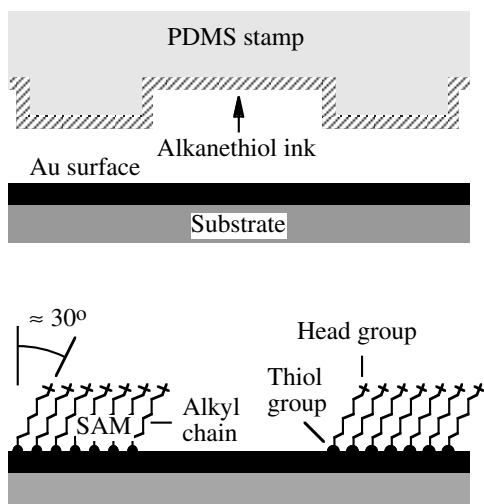
the pattern to be transferred through the Au layer using a ferricyanide etch [4].

Resolution is limited by stamp deformation and ink diffusion to  $\approx 100$  nm. Stamps are formed from the compliant elastomer polydimethylsiloxane (PDMS) [5]; however, stamp deformation is known to affect dimensional stability [6], and improved pattern transfer is obtained using composite stamps [7]. Stamps can be re-used, and the ability to obtain multiple prints without re-inking is controlled by bulk diffusion of ink in the PDMS to the surface of the stamp [8]. Stamps cannot be completely cleaned of ink, although surface residues can be removed. Consequently, stamp fabrication must be of low cost.

Hexadecanethiol ( $n = 15$ ), octadecanethiol ( $n = 17$ ) and eicosanethiol ( $n = 19$ ) are all suitable alkanethiols for printing on Au. They have been extensively compared, and it has been shown that the 'best' choice (octadecanethiol) is a compromise between the need to limit lateral diffusion of ink during printing and to provide a defect-free SAM for protection during etching. Alkanethiols with lower molecular weight diffuse too rapidly, while those with larger molecular weight self-organize less well [8].

To pattern other substrates, inks must be identified that can form a SAM on the surface concerned and withstand subsequent processing. Alkanethiols have been used to

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**Figure 1.** Microcontact printing on Au using a PDMS stamp and alkanethiol (after Whitesides).

pattern many other metals such as Ag [10], Cu [11] and Pd [12], and alkanephosphonic acids have been used for Al [13]. Printed patterns have been transferred from Au to semiconductors such as Si [14] and GaAs [15] by reactive ion etching, and Si has been patterned directly using siloxane SAMs on Si-OH surfaces [16] or alkoxy SAMs on Si-Cl surfaces [17]. Siloxane SAMs have been used to pattern SiO<sub>2</sub> [18], trichlorosilane SAMs to pattern oxides such as Al<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> [19] and alkanephosphonic acids to pattern ITO [20]. Reviews of ink/substrate combinations can be found in [21, 22], and there is interest in inks that are less specific to particular substrates [23]. Reviews of selective etchants are also available [24].

Microcontact printing has been adapted to curved surfaces [25], and large-area patterning has been demonstrated with a purpose-built aligner [26]. Applications have been proposed in microelectronics [27] and organic electronics [28]. However, because the surface properties (such as the hydrophobicity) of the SAM can easily be modified, by changing the head group, there is much greater interest in applications in biochemistry and biology than in microfabrication. Particularly, patterning of proteins has been used to localize and control cells [29, 30]. In one approach,  $\mu$ CP is first used to pattern an Au-coated substrate with a hydrophobic SAM. Immersion of the substrate in a different alkanethiol terminated in ethylene glycol groups (e.g. HS(CH<sub>2</sub>)<sub>11</sub>(OCH<sub>2</sub>CH<sub>2</sub>)<sub>n</sub>OH(EG)<sub>n</sub>, with  $n = 2-7$ ) is then used to surround the patterned areas with a hydrophilic SAM. The hydrophobic areas rapidly and irreversibly adsorb proteins such as fibronectin, laminin vitronectin, heparin and collagen, and hence can provide an extracellular matrix for *in vitro* experiments on cells that are bound to the surface pattern, while the hydrophilic SAM resists protein adsorption [31].

Many applications involve printing on microstructured surfaces [32, 33], and there is particular interest in *in vitro* studies of neurons [34–36], which require alignment to electrodes [37, 38]. At present, alignment is carried out using customized systems that combine three-axis stages with an optical microscope. These differ from conventional

mask aligners in avoiding any use of an initial contact to set parallelism and separation. Methods of nanoscale patterning such as nanoimprint lithography [39] and step-and-flash imprint lithography [40] have received considerable investment, and commercial alignment systems have been developed in each case. In contrast, little attention has been paid to equipment for  $\mu$ CP, although arguably it would be too expensive outside the microelectronics industry. There is therefore a case for developing low-cost solutions for aligned  $\mu$ CP.

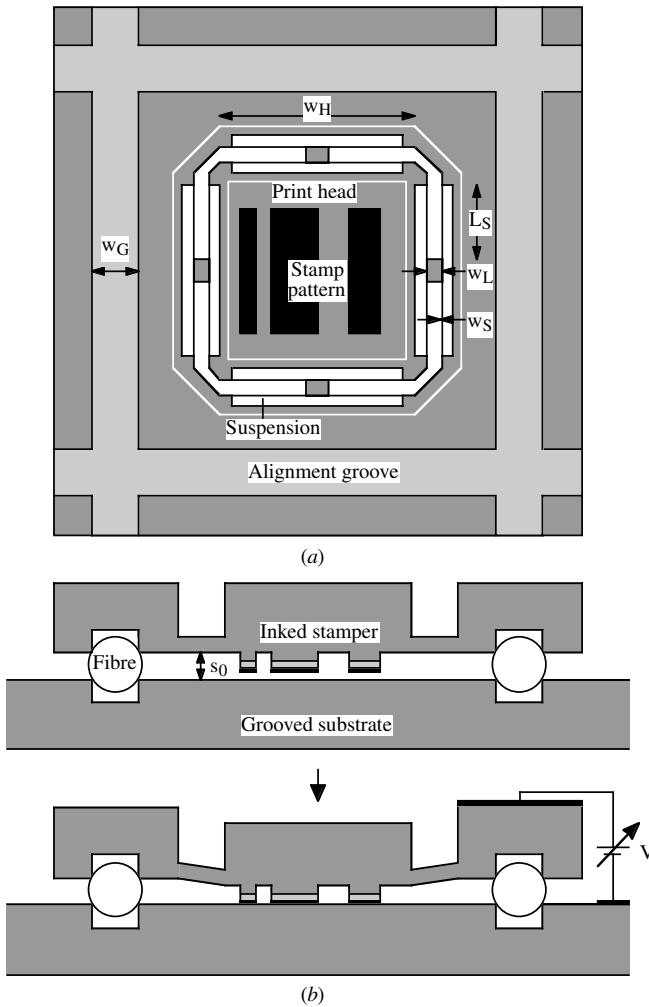
Microelectromechanical systems (MEMS) technology has the potential to combine much of what is required in silicon. MEMS have evolved considerably over the last 30 years. A key early development was the discovery of anisotropic etching down (1 1 1) planes of crystalline silicon [41]. Since then, new techniques such as deep reactive ion etching (DRIE) of bonded silicon-on-insulator (BSOI) [42] have vastly increased capabilities, allowing robust high-aspect ratio features to be formed in multilayers without restrictions from crystal orientation. A wide range of micro-actuators have also been developed [43]; these typically combine an elastic suspension with an electrostatic, electrothermal, electromagnetic or piezoelectric drive.

In this paper, we use silicon MEMS to integrate features for printing that have already been demonstrated separately (such as a flexure suspension, an actuation mechanism and alignment features) with soft stamps in a complete miniature microcontact printing engine. Some of these concepts have already been applied in a pneumatic ‘smart stamp’ for nanoimprint lithography [44]. The alignment features mate with corresponding features on substrates to allow self-alignment with a defined separation, and an elastic suspension allows controlled motion of a print head. Actuation may currently be manual or electrostatic. A key issue is the provision of a soft stamp comparable to those formed by PDMS casting, so that the ink transfer process will still operate. Here, soft stamps are formed by spray coating etched silicon surfaces with PDMS after completion of the MEMS fabrication.

Section 2 outlines the concept and presents design rules for an electromechanical system for microcontact printing. Section 3 describes wafer-scale fabrication of prototype print engines in both silicon and bonded silicon-on-insulator materials, with an overall size of 10 mm  $\times$  10 mm and a 5 mm  $\times$  5 mm printing area. Section 4 presents the results of initial characterization and demonstrates microcontact printing using hexadecanethiol on Au-coated Si with microscale resolution. Both manual and electrostatic printing of single layer patterns are described, and manual multilayer printing is demonstrated. Suggestions for ways to improve print quality and reduce cost and complexity are presented in section 5.

## 2. Concept and design

In this section, we introduce the concept of a silicon-based microcontact printing engine, and present example design rules for an electrostatically driven system.

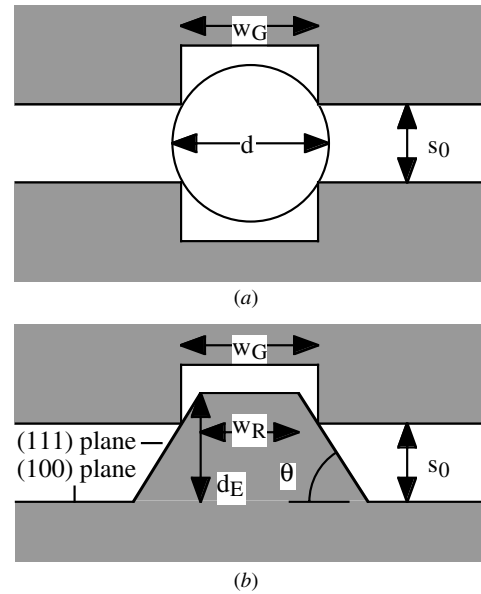


**Figure 2.** (a) Plan and (b) section view of a silicon microcontact printing engine.

### 2.1. Concept

Figure 2(a) shows a microcontact printing engine, which consists of a small die containing a print head carrying a raised pattern and supported on an elastic suspension allowing out-of-plane deflection. The engine's surround is grooved to mate with a rail pattern arranged on a grid. The device may easily be constructed in single crystal silicon or BSOI. Parts requiring mechanical strength (the print head and surround) are formed in the substrate and flexible parts (the suspension) in a thinner layer. The stamp pattern itself is ideally formed in or coated with PDMS. Figure 2(b) shows operation. After coating the stamp with alkanethiol, the engine is placed over a substrate containing compatible alignment features that define its position, parallelism and height  $s_0$ . The head is deflected down to print, and finally retracted.

Several possibilities exist for actuation, including manual, pneumatic, piezoelectric or (as shown here) electrostatic methods. Each has advantages and disadvantages. Manual operation is simple and can be used with any substrate, but may result in uncontrolled printing. Piezoelectric actuation can also be used with any substrate but requires specialized materials that over-complicate what must be a low-cost



**Figure 3.** Alignment structures based on (a) optical fibres in etched grooves and (b) etched rails.

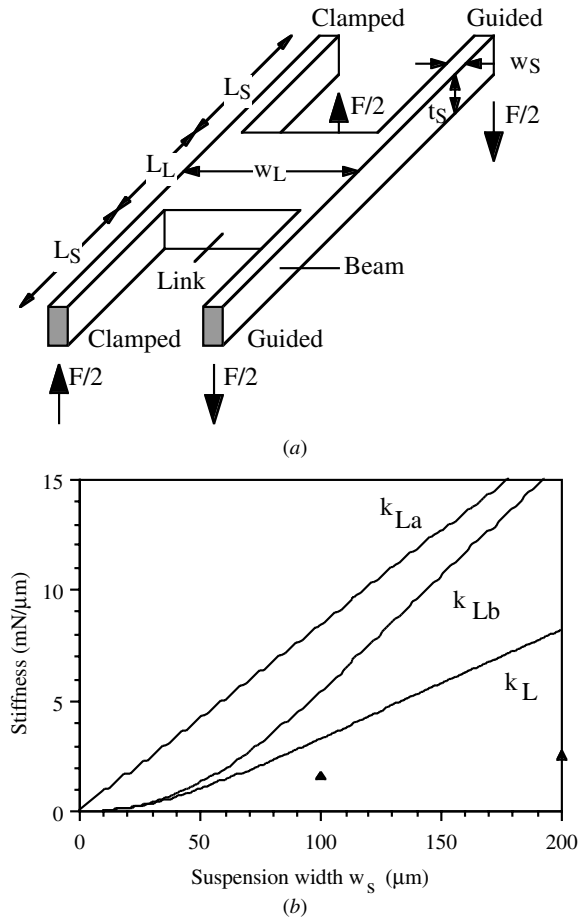
device. Pneumatic actuation is again substrate independent, but requires a membrane suspension and a reliable seal to a plenum chamber above the stamper. In contrast, electrostatic actuation merely requires a conducting device and can be used with any suspension, but requires a conducting substrate and measures to prevent discharge. Because a conducting layer is inherent in alkanethiol-Au microcontact printing, we focus here on manual and electrostatic actuation.

### 2.2. Self-alignment

Since the process is self-aligning, patterns can be located and overlaid. Many different passive alignment features may be used, including V-grooves and rails formed by anisotropic wet chemical etching, U-grooves formed by DRIE, and optical fibres. Most have been used for optical [45] or electrical [46] connectors. For example, figure 3(a) shows U-grooves loaded with sections of optical fibres. This approach allows grooves to be formed in the print engine at the same time as the suspension, and the fibres to provide electrical isolation. In this case, the separation  $s_0$  between stamp and die is determined by in-plane geometry. Using U-grooves of width  $w_G$  on each part and spacers of diameter  $d$ , we get

$$s_0 = d\{1 - (w_G/d)^2\}^{1/2}. \quad (1)$$

For example, using grooves of width  $w_G = 120 \mu\text{m}$  and single-mode fibre diameter  $d = 125 \mu\text{m}$ , we obtain  $s_0 = 35 \mu\text{m}$ . Smaller separations are, however, hard to achieve due to the limited availability of different fibres and poor tolerance ( $ds_0/dw_G$  tends to infinity as  $s_0$  tends to zero). Other alignment structures that have faces with constant slope, such as rails formed by crystal plane etching, may be more appropriate for small separations, but restrict use to Si. Figure 3(b) shows a mounting based on a rail of width  $w_R$  formed in (1 0 0) Si. In this case, the separation is determined



**Figure 4.** (a) Detail of the suspension system; (b) theoretical variation of the stiffness terms  $k_{La}$ ,  $k_{Lb}$  and  $k_L$  with the suspension width  $w_S$  for a suspension thickness  $t_S = 80 \mu\text{m}$ , suspension length  $L_S = 1.6 \text{ mm}$  and link length  $w_L = 1 \text{ mm}$ . Discrete points show experimental data.

from the etch depth  $d_E$  as

$$s_0 = d_E - 0.5\{w_G - w_R\} \tan(\theta). \quad (2)$$

Here  $\theta$  is the angle between the (100) and (111) planes and  $\tan(\theta) = \sqrt{2}$ . In this case, small separations may be achieved provided  $d_E$  may be suitably controlled.

### 2.3. Mechanical design

Suitable elastic suspensions include beams, torsion bars and membranes. Here, we consider the example in figure 4(a), which consists of four beams of length  $L_S$ , width  $w_S$  and thickness  $t_S$  on each side of a square print head of dimension  $w_H$ . Within each set, the bars are arranged in two pairs, connected by a rigid link bar of length  $w_L$ . The stiffness of this arrangement is simple to estimate. Deflection will arise from a combination of bending and torsion. Provided the material is linear, and the effects of bending and torsion do not interact, the deflections may be found separately and summed [47]. For example, through bending alone, each bar will deflect as a clamped-guided beam with stiffness [48]

$$k_{L1a} = 12EI_S/L_S^3 \quad (3)$$

Here  $E$  is the Young's modulus of the beam material, and  $I_S$  is the second moment of area of the cross-section, given by  $I_S = w_S t_S^3/12$ . Each set of four bars is arranged as a series-parallel combination, so their total stiffness is again  $k_{L1a}$ . For all four suspensions together, the combined stiffness is  $k_{La} = 4k_{L1a}$ .

The contribution of torsion to deflection may be found in a similar way. For a rectangular section measuring  $w_S = 2a$  by  $t_S = 2b$ , the torsion constant is [48]

$$k_T = ab^3\{16/3 - 3.36(b/a)[1 - b^4/12a^4]\} \quad \text{for } a \geq b \quad (4)$$

The torque  $T$  required to twist one bar through an angle  $\theta$  is  $T = Gk_T\theta/L_S$ , where  $G$  is the shear modulus of the beam material. If the torque is generated by a force  $F$  at a distance  $w_L$ , we may write  $F = T/w_L$ , and if the twist results in a linear displacement  $\Delta s$  of the force we may put  $\theta = \Delta s/w_L$ . The effective linear stiffness arising from the twist is then  $k_{L1b} = F/\Delta s = Gk_T/L_S w_L^2$ . In torsion, all bars act in parallel, so the total stiffness is  $k_{Lb} = 16k_{L1b}$ .

If bending and torsion are considered together, the overall stiffness  $k_L$  can be found from  $1/k_L = 1/k_{La} + 1/k_{Lb}$ . Because of the dependence of  $k_{Lb}$  on the link bar length  $w_L$ , the relative contribution of bending and torsion to deflection can vary, and if  $w_L$  is sufficiently large, torsion dominates. The lines in figure 4(b) show the variations of  $k_{La}$ ,  $k_{Lb}$  and  $k_L$  with the suspension beam width  $w_S$ , assuming a typical elastic layer thickness of  $t_S = 80 \mu\text{m}$ , a suspension beam length of  $L_S = 1.6 \text{ mm}$  and a link bar length of  $w_L = 1.0 \text{ mm}$  for comparison with later experimental results. A Young's modulus of  $E = 168 \times 10^9 \text{ N m}^{-2}$  and a shear modulus of  $G = 61.7 \times 10^9 \text{ N m}^{-2}$  are also assumed, for (100) Si [49]. For the total stiffness  $k_L$ , the curve slowly varies, with a typical stiffness of a few tens of mN per  $\mu\text{m}$ . The discrete points are data obtained from experimental devices, as described later.

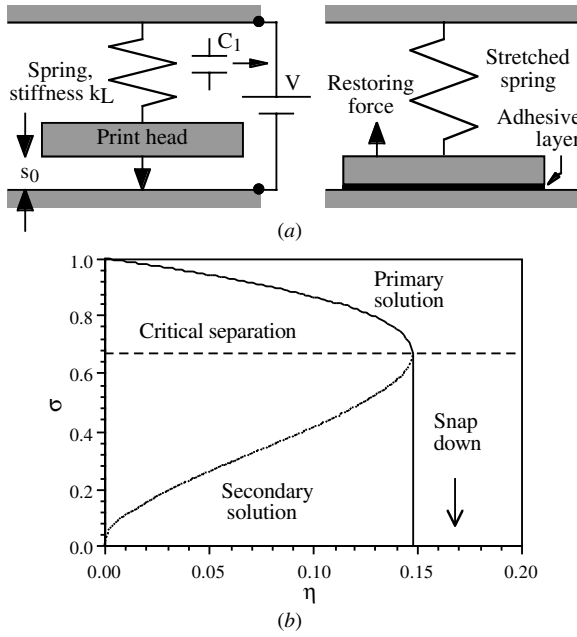
Although this analysis is approximate, we have verified the basic conclusions by finite element methods, using the commercial software Abaqus 6.8-1. The numerical results showed the estimate of stiffness to be too high by around 50%, largely due to neglect of link bar deformation. They also showed the stiffness to be almost constant (10% variation) for deflections up to  $100 \mu\text{m}$ , suggesting that bending and torsion do not interact significantly.

### 2.4. Electrostatic operation

To analyse electrostatic operation, we consider the simplified model shown in figure 5(a), which in the snap-down phase obeys the well-known analysis of a MEMS varactor [50]. Ignoring the stamp profile, the electrostatic force between the print head and the substrate at voltage  $V$  and separation  $s$  is  $F = 1/2 \text{ d}C/\text{d}s V^2$ , where  $C = \epsilon_0 A/s$  is the capacitance,  $A = w_H^2$  and  $\epsilon_0 = 8.85 \times 10^{-12}$ . This force is balanced against the force of the suspension when

$$(\epsilon_0 A/2s^2)V^2 = K_L(s_0 - s). \quad (5)$$

With some manipulation, equation (5) can be written as the cubic  $\eta = (1 - \sigma)\sigma^2$ , where  $\sigma = s/s_0$  is a normalized separation and  $\eta = \epsilon_0 A V^2/2k_L s_0^3$  is a normalized force. For low  $\eta$ , there are three solutions, of which only the largest is physically realistic. Figure 5(b) shows the variation of  $\sigma$  with



**Figure 5.** (a) Simplified mechanical model of an electrostatically actuated print engine and (b) variation of the normalized separation  $\sigma$  with the normalized electrostatic force  $\eta$ .

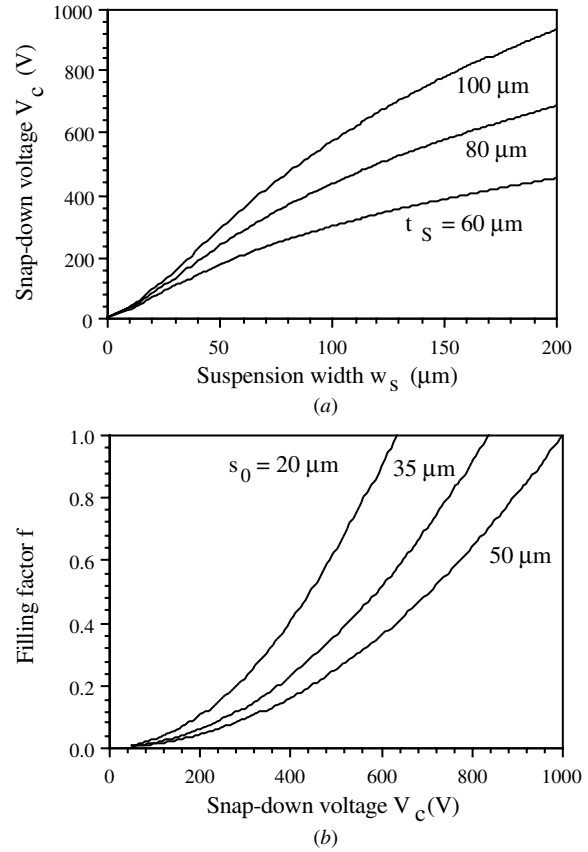
$\eta$ , for the two positive roots. When  $\eta$  rises to a critical value  $\eta_c$ , these two roots are repeated, so equation (5) reduces to  $(\sigma - \alpha)^2(\sigma - \beta) = 0$ . At this point, the elastic force can no longer counter the electrostatic force and snap down occurs. Expanding and equating coefficients, we obtain  $\alpha = 2/3$ ,  $\beta = -1/3$  and  $\eta_c = \alpha^2\beta = 4/27$ . Snap down therefore occurs at a critical separation  $s_c$  and a critical voltage  $V_c$  given by

$$s_c = 2s_0/3 \quad (6)$$

$$V_c = (8k_L s_0^3 / 27\epsilon_0 A)^{1/2}$$

Voltagcs are reduced by an increase in the printable area  $A$  and increased by a rise in separation  $s_0$  or suspension stiffness  $k_L$ , which are needed to detach larger stamps. Figure 6(a) shows the variation of  $V_c$  with suspension width  $w_s$ , for a print head width  $w_H = 5$  mm, a suspension beam length  $L_S = 1.6$  mm and a link bar length  $w_L = 1.0$  mm, a separation  $s_0 = 35 \mu\text{m}$  and different values of the elastic layer thickness  $t_s$ .  $V_c$  rises to several hundred volts over the range shown, and larger values of  $w_s$  and  $t_s$  lead to prohibitive operating voltages.

The high electric fields arising at small separation are likely to cause breakdown in the air gap. One solution may be capacitor stabilization, proposed by Seeger to extend the travel range of parallel plate actuators [51]. A capacitor  $C_1$  is simply placed in series with the actuator, as shown in figure 5(a). In this case, it can be shown that snap down does not occur if  $C_1$  is chosen correctly compared with the static capacitance  $C_0$  of the actuator. Furthermore, the voltage dropped across the actuator tends to zero as snap down is approached. However, since the overall operating voltage is increased significantly, further work is required to establish if there is a direct benefit when discharges are likely.



**Figure 6.** (a) Theoretical variation of the snap-down voltage  $V_c$  with the suspension width  $w_s$ . Parameters are as shown in figure 4, with a print head width  $w_H = 5$  mm and a separation  $s_0 = 35 \mu\text{m}$ . (b) Variation of the patternable fraction  $f$  of the stamp with  $V_c$ , for different  $s_0$ .

### 2.5. Stamp retraction

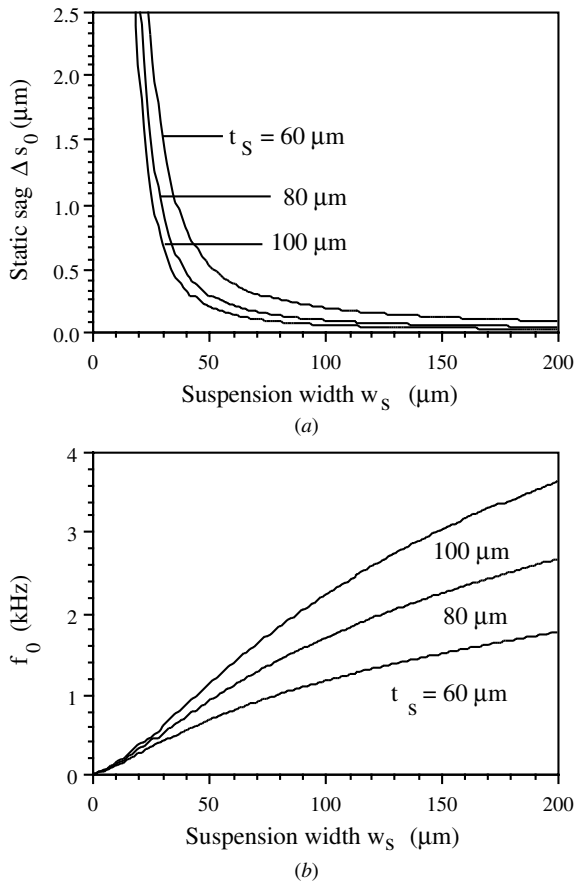
After the stamp has contacted the die, the voltage may be removed. The print head will retract if the suspension can overcome the adhesion of the alkanethiol. This stick-down problem is common in micromachining [52] and has led to methods for determining the work of adhesion  $W$  in microstructures [53]. Assuming that the patterned fraction of the stamp is  $f$ , the work required to detach the stamp is  $fAW$ . The stamp will detach if the suspension has sufficient stored elastic energy, which requires

$$k_L s_0^2 / 2 > fAW. \quad (7)$$

Larger spring forces are needed to detach stamps with larger printable areas. Equation (7) may be combined with equation (6) to yield an expression for the maximum filling factor as

$$f = 27\epsilon_0 V_c^2 / 16s_0 W. \quad (8)$$

The work of adhesion of alkanethiols on Au has been studied by atomic force microscopy [54] and is roughly independent of the chain length  $n$ , at  $W \approx 300 \text{ mJ m}^{-2}$  [55]. Figure 6(b) shows the variation of  $f$  with  $V_c$ , assuming the same parameters but different  $s_0$ . High filling factors are achievable, but larger factors can be used at lower voltages for small separations.



**Figure 7.** Theoretical variation of (a) the static sag  $\Delta s_0$  and (b) the resonant frequency  $f_0$  with the suspension width  $w_s$ . Parameters are as shown in figure 6, with a substrate thickness  $t_{\text{SUB}} = 500 \mu\text{m}$ .

### 2.6. Static sag

The suspension must support the print head without excessive sag due to self-weight. For a block of thickness  $t_{\text{SUB}}$  and area  $A$  formed in a material of density  $\rho$ , the static sag  $\Delta s_0$  is

$$\Delta s_0 = A^2 t_{\text{SUB}} \rho g / k_L. \quad (9)$$

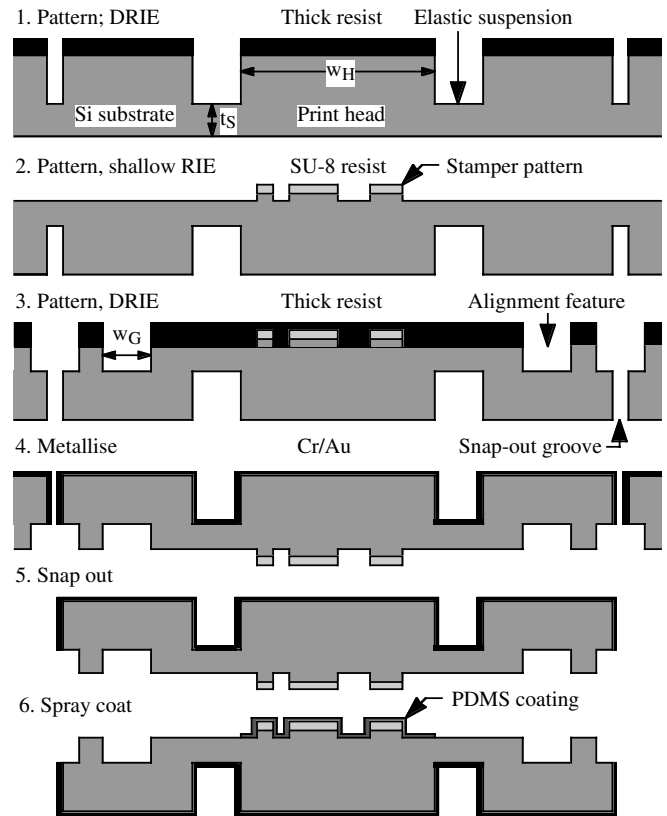
Here,  $g = 9.81 \text{ m s}^{-2}$ . Figure 7(a) shows the variation of  $\Delta s_0$  with  $w_s$  for the parameters above, assuming (again, for comparison with later experiments in Si) that  $t_{\text{SUB}} = 500 \mu\text{m}$  and  $\rho = 2330 \text{ kg m}^{-3}$ . For  $w_s > 50 \mu\text{m}$ , the static sag is sub-micron and may be neglected.

### 2.7. Dynamic oscillations

After the stamp has been detached, it will oscillate on its suspension. The arrangement shown has linear, rocking and twisting modes. Because of the high mass of the print head, oscillation frequencies are likely to be low. For the primary linear mode, the resonant frequency is

$$f_0 = 1/2\pi (k_L / \rho t_{\text{SUB}} A)^{1/2}. \quad (10)$$

Figure 7(b) shows the variation of  $f_0$  with  $w_s$  for the suspension and print head above. The frequencies rise as the suspension stiffness increases, but are likely to lie in the kHz range. Damping could be controlled using methods developed



**Figure 8.** Process flow for device fabrication in single crystal silicon.

for accelerometers [56]. Together, these results suggest that an electrostatically driven microcontact print engine may be constructed with the general dimensions given. Design rules may clearly be constructed using similar arguments for other suspension layouts and actuation modes.

## 3. Fabrication

In this section, we describe processes for wafer-scale fabrication of prototype microcontact printing engines in silicon-based materials.

### 3.1. Materials and layout

Prototypes have been fabricated in both single-crystal silicon and bonded silicon-on-insulator. Bare silicon is obviously preferable on the grounds of cost. However, BSOI allows accurate definition of the thickness  $t_s$  of the elastic layer (here,  $80 \mu\text{m}$ ) and hence more controllable operation. In each case, 100 mm diameter (100) orientated wafers were used. BSOI wafers were obtained from Icmos, Belfast, UK, with a bonded layer thickness of  $80 \mu\text{m}$  and a buried oxide thickness of  $2 \mu\text{m}$ . Prototypes were constructed with the layout of figure 2(a), an overall die size of  $10 \text{ mm} \times 10 \text{ mm}$  and the dimensional parameters of the previous section. Each wafer contained 37 devices.

### 3.2. Process flow

Figure 8 shows the process flow for bulk silicon. The rear side of the substrate is first patterned with  $8 \mu\text{m}$  thickness

of Shipley AZ9260 resist to define the overall layout, and this pattern is transferred to a set depth ( $400\ \mu\text{m}$  for  $t_S = 150\ \mu\text{m}$ ) by deep reactive ion etching (step 1). Etching is carried out using a Surface Technology Systems inductively coupled plasma etcher, using a cyclic etching process based on  $\text{SF}_6$  and  $\text{C}_4\text{F}_8$  [57]. The resist is then removed, and the substrate is turned over. The front side is then patterned to define the stamp, using a through-wafer mask-aligner. However, front-to-back alignment is not critical. The stamp pattern is formed by optical exposure of  $2\ \mu\text{m}$  thickness of SU-8 2002 epoxy photoresist (Microchem) [58], followed by further  $10\ \mu\text{m}$  deep reactive ion etching (step 2). The front side is then patterned again with  $8\ \mu\text{m}$  thickness of Shipley AZ9260 resist to define the elastic suspension and alignment grooves. Registration of the two front-side patterns is important since it defines the relative position of the stamp and the alignment grooves. This final pattern is transferred down to meet the original backside etch, using a  $5\text{--}10\ \mu\text{m}$  thick layer of electroplated Ni (not shown) as an etch stop (step 3).

Similar processing was carried out on BSOI, with the buried oxide defining the mechanical layer thickness. Additional steps were required to remove the etch stop in each case. Remaining resist is then removed, and  $300\ \text{\AA}$  of Cr and  $1000\ \text{\AA}$  of Au are sputtered over the rear of the wafer for electrical contact (step 4). Individual engines are removed for use by breaking small silicon tabs (step 5). To allow the adsorption of alkanethiols, the stamp is spray coated with PDMS through a stencil (step 6), as described in section 4.

### 3.3. Prototype devices

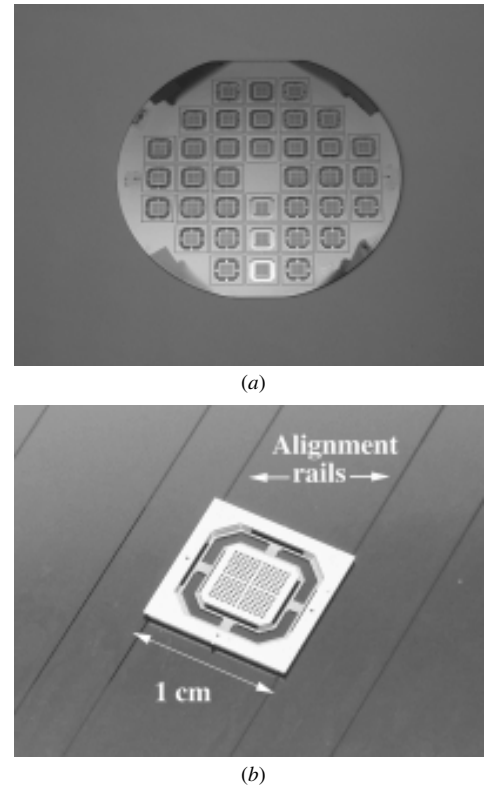
Figure 9(a) shows the rear side of a completed  $100\ \text{mm}$  wafer. Although fragile, the wafer is robust enough for handling provided the shock is avoided. Figure 9(b) shows a detached device mounted on an etched rail system of the type in figure 3(b). Figure 10(a) shows a SEM view of the front of a detached engine. The print head is at the centre and carries a simple bar pattern consisting of parallel lines of decreasing width down to  $2\ \mu\text{m}$ . Figure 10(b) shows the rear of the suspension and print head. The latter carries a waffle pattern to reduce mass.

## 4. Experimental characterization

In this section, we present characterization results for electrostatically operated devices, further details of stamp fabrication and initial demonstrations of microcontact printing.

### 4.1. Self-alignment

In addition to the use of an etched rail system as shown in figure 9(b), self-alignment was demonstrated using substrates carrying grooves formed by DRIE, with standard  $125\ \mu\text{m}$  diameter telecoms optical fibre as a spacer. Vertical positions were determined using an optical microscope equipped with a Mitutoyo height gauge. For both types of device, the separation between stamp and substrate was  $s_0 \approx 25\ \mu\text{m}$ . This value is close to but smaller than the design height ( $35\ \mu\text{m}$ ),



**Figure 9.** (a) Completed wafer; (b) contact printing engine mounted on etched alignment rails.

suggesting that the grooves had widened during processing, and confirms the poor tolerance mentioned earlier. There was no discernable static sag.

### 4.2. Electromechanical characterization

Suspension stiffnesses were determined by measuring the deflection obtained with calibrated weights on the print head, again using a microscope. Figure 11(a) shows load–deflection characteristics for BSOI devices with  $t_S = 80\ \mu\text{m}$  and different suspension widths  $w_S$ . Each point is the mean of six measurements, and data are shown for two devices for each  $w_S$ . The characteristics are linear, and there was no difficulty in obtaining deflections up to  $60\ \mu\text{m}$ . The stiffnesses for  $w_S = 100\ \mu\text{m}$  and  $200\ \mu\text{m}$  were obtained from the inverse slope as  $1633\ \mu\text{N}\ \mu\text{m}^{-1}$  and  $2615\ \mu\text{N}\ \mu\text{m}^{-1}$ , respectively. These values are superimposed in figure 4(b) and are slightly lower than estimates, presumably due to bending of other parts of the structure. Bare silicon devices had considerably deeper ( $150\ \mu\text{m}$ ) and stiffer suspensions, whose values were hard to measure accurately due to the difficulty of interposing large masses between the device and the microscope objective.

Electrostatic operation was quantified by placing a print engine at a calibrated height above a gold-coated substrate and by applying a voltage between the two. Figure 11(b) shows the variation of separation  $s$  with voltage, for a BSOI device with  $100\ \mu\text{m}$  wide suspension. The initial separation  $s_0$  has been increased to  $60\ \mu\text{m}$  using insulated spacers, to improve measurement accuracy. The qualitative agreement with figure 5(b) is excellent. However, snap down occurs at

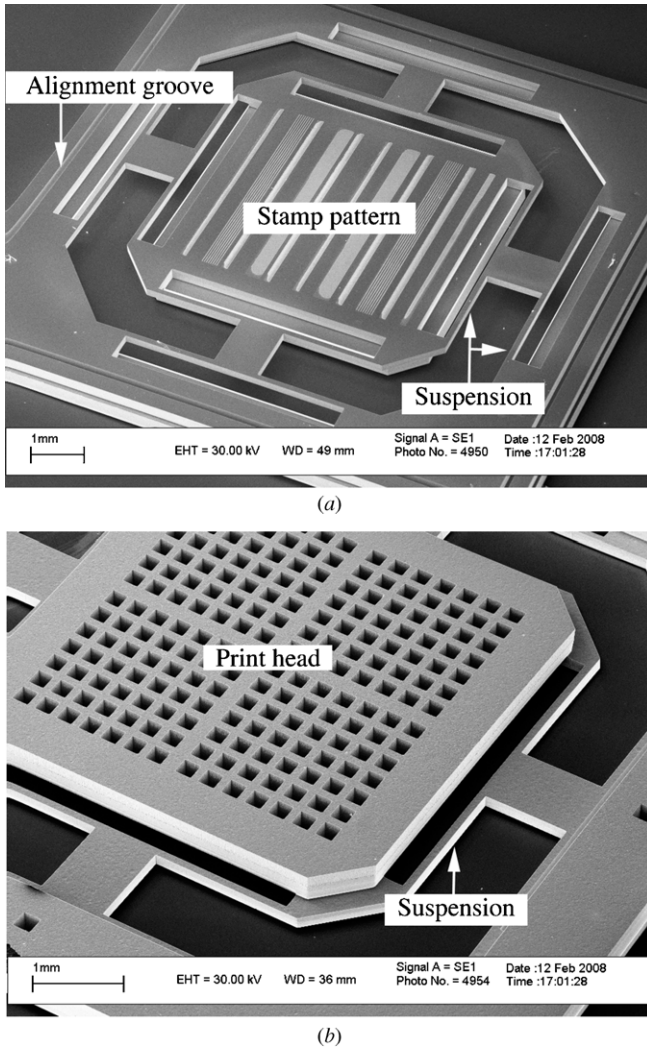


Figure 10. SEM view of (a) completed print engine and (b) rear side of print head and suspension.

$V_C = 370$  V, which agrees only approximately with the prediction of equation (5) ( $V_C = 690$  V), suggesting that the print head may have tilted as it deflected.

Figure 11(b) also shows the voltage–deflection characteristic for a bare silicon device with similar suspension width. Here the stiffness is so large that  $V_C = 800$  V is required to achieve snap down even when  $s_0$  is reduced to  $25 \mu\text{m}$ . Such high voltages are unusable for electrostatically actuated printing, since a dc discharge inevitably occurs during snap down. Although a series resistor was used to limit the current flow, serious damage was then caused to the gold surface. Consequently, low voltages (which imply weak suspensions and small initial separations) were required. This aspect is described in more detail later on.

#### 4.3. Soft stamp fabrication

The conventional route to fabricating a soft stamp, namely to form an embossed PDMS layer at the outset, was incompatible with the subsequent steps used to form the print engine because some raised the temperature above the recommended working range of the material. Under these conditions, the PDMS did

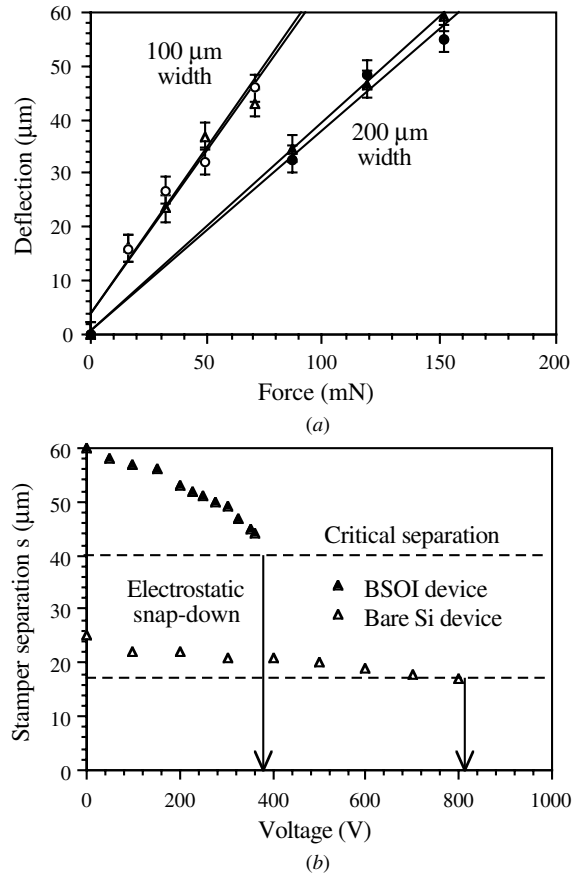


Figure 11. (a) Load-deflection characteristics of print engines with different suspension widths  $w_s$ ; (b) voltage-deflection characteristics of engines formed in different substrates.

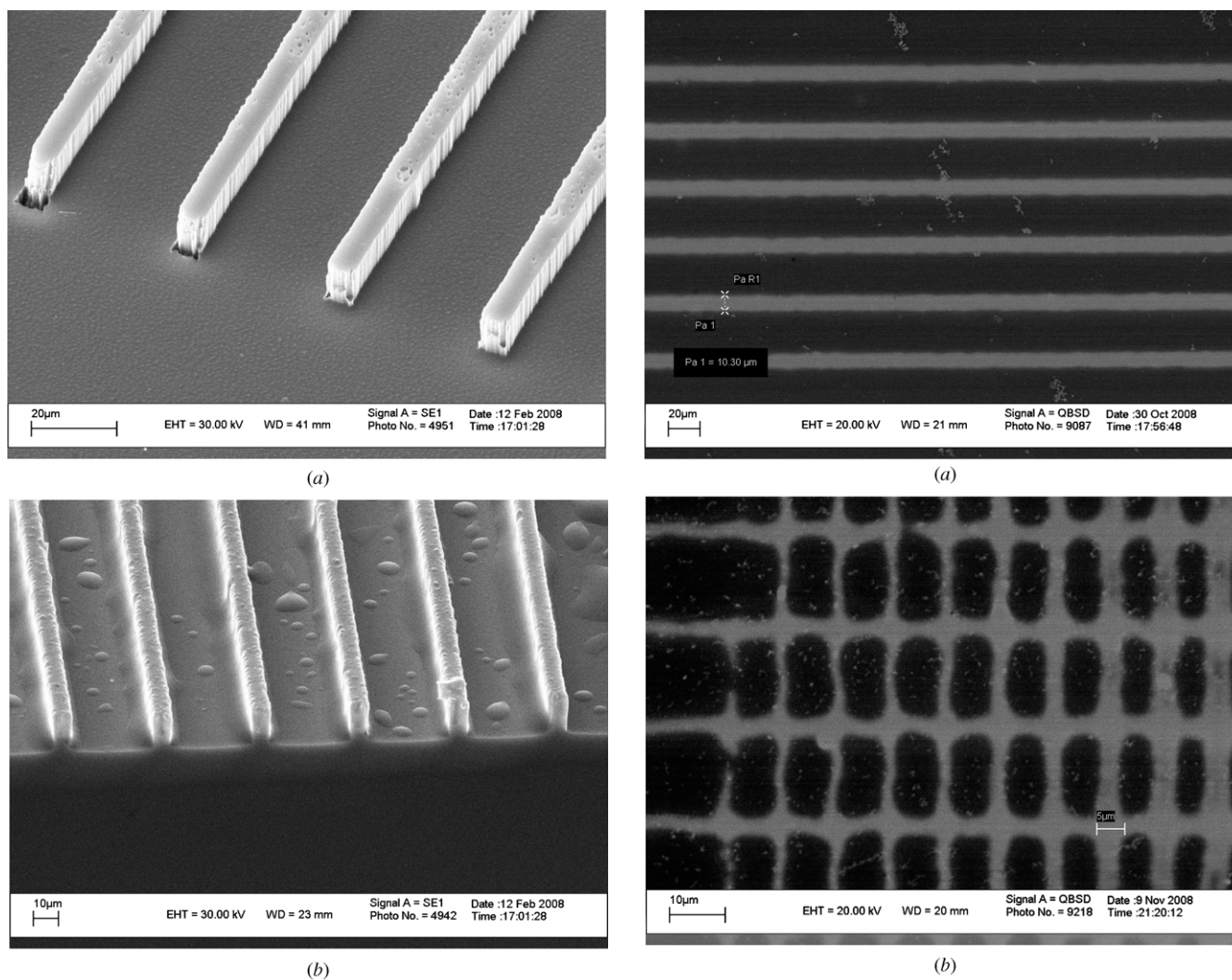
not appear to adsorb alkanethiols. Embossing at the end of the process was also impractical because of the heavily terraced and fragile nature of the completed wafer.  $\mu\text{CP}$  was therefore demonstrated with photopatterned stamps, formed by DRIE of silicon using SU-8 as a hard mask. For example, figure 12(a) shows a simple pattern of parallel bars, with  $5 \mu\text{m}$  linewidth. The surface and sidewall qualities are both only moderate, due to poor lithography and slight over-etching.

To allow alkanethiol adsorption, the stamps were spray coated with a thin layer of PDMS, using a stencil to localize the coating. Mixtures of commercially available components from Dow Corning were used to create a PDMS solution with a low enough viscosity for spray coating. Sylgard 184 [59] (which has a very high kinematic viscosity,  $412 \times 10^3$  cSt) was first mixed with 184 curing agent and 200 Fluid 20 cs [60] (which has a much lower viscosity, 20 cSt) in the proportions 1:0.1:1. The solution was applied in  $\text{N}_2$  flow using a modeller’s airbrush. With care, a uniform covering  $\approx 1 \mu\text{m}$  thick could be obtained with a small droplet size and without bridging adjacent features, as shown in figure 12(b). The PDMS was cured at  $100^\circ\text{C}$  for 15 min and washed in ethanol to extract uncured siloxane.

#### 4.4. Microcontact printing

Microcontact printing was carried out using 1-hexadecanethiol, which was first diluted 0.5 mMol in ethanol.



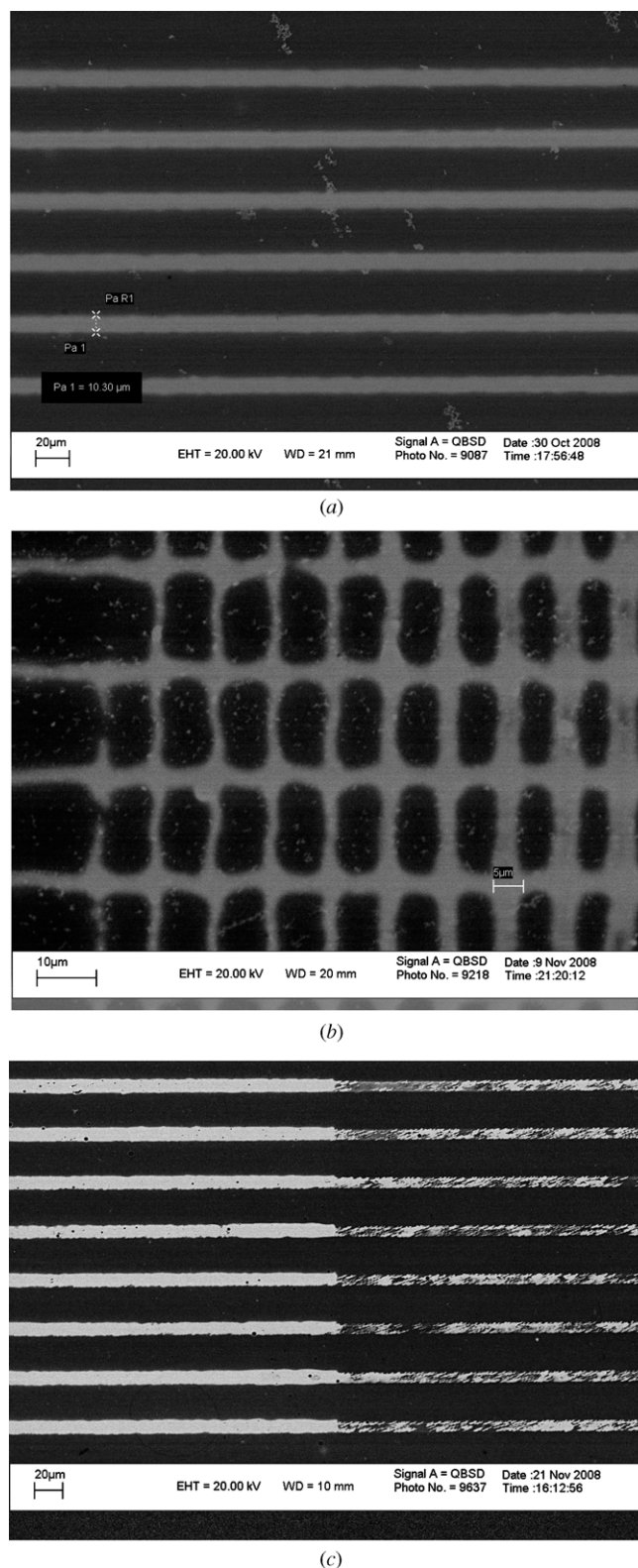


**Figure 12.** (a) Stamp pattern in SU-8 photoresist and Si; (b) pattern after spray coating with PDMS.

Stamps were coated with ink using a brush and then blown dry in  $N_2$ . Pattern transfer was achieved by contacting the inked stamp to Si surfaces coated with 30 nm Au for around 1 min, and stamps were cleaned of ink residues between prints using ethanol. Patterns were transferred into the gold, by etching exposed Au using a solution of potassium thiosulphate ( $K_2S_2O_3$ , 0.1 M), potassium hydroxide (KOH, 1 M) and potassium ferricyanide ( $K_3Fe(CN)_6$ , 0.01 M), an etchant that can achieve a high etch rate ( $\approx 5$  nm/min) without degrading thiol-derived SAMs [4]. The print quality is not currently uniform over the full area of the stamp. However, it is sufficient to demonstrate concepts.

#### 4.5. Manually actuated printing

Manually actuated printing was performed using bare silicon devices since their suspensions were more robust. Inked print engines were placed on a gold-coated substrate carrying a pair of etched alignment rails, as shown in figures 3(b) and 9(b), and a pair of tweezers was used to deflect the print head down to the substrate. Single-layer printing was demonstrated first. SEM imaging was used to verify pattern transfer, with



**Figure 13.** SEM views of transferred pattern in Au, after manually actuated printing and etching, using (a) a single imprint, (b) a two orthogonal imprints, and (c) two shifted imprints.

back-scattered electron detection to improve image contrast. For example, figure 13(a) shows a SEM view of a pattern containing  $10 \mu m$  wide bars, which have been printed reliably and uniformly.

Multilayer printing was then demonstrated. Figure 13(b) shows a pattern formed by printing once, rotating the print engine through  $90^\circ$ , replacing it on the rail and printing a second time. Here, lines of width down to  $2\ \mu\text{m}$  have been transferred, although there is some fragmentation of narrow lines attributed to poor stamp quality. The increased pattern width at line intersections is evidence of ink diffusion. To demonstrate aligned printing, which really requires two stamps with complementary patterns, a single stamp was used. A first imprint was made, the stamp was then shifted laterally by  $\approx 1\ \text{mm}$  and a second imprint was made. The combined pattern was then transferred into the gold. Figure 13(c) shows the result. The boundary between the two imprints is at the centre of the figure. The quality of the second print is unfortunately low, but the overlay error is extremely small.

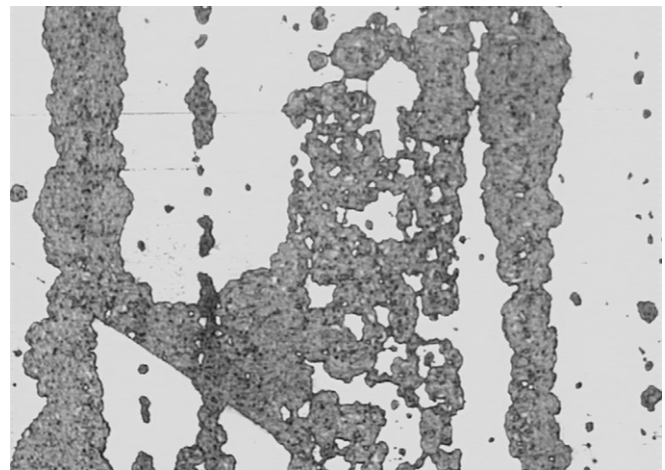
#### 4.6. Electrostatically actuated printing

Electrostatic actuated printing was more difficult to perform because of the discharge effects described earlier. Discharges appeared to originate from proud features in the pattern, which acted as field concentrators. For example, figure 14(a) shows an optical microscope view of a gold surface with a damage pattern roughly matching the stamp (here, rotated by  $90^\circ$  from previous figures). Successful imprints were only obtained with low voltages and limited current flow.

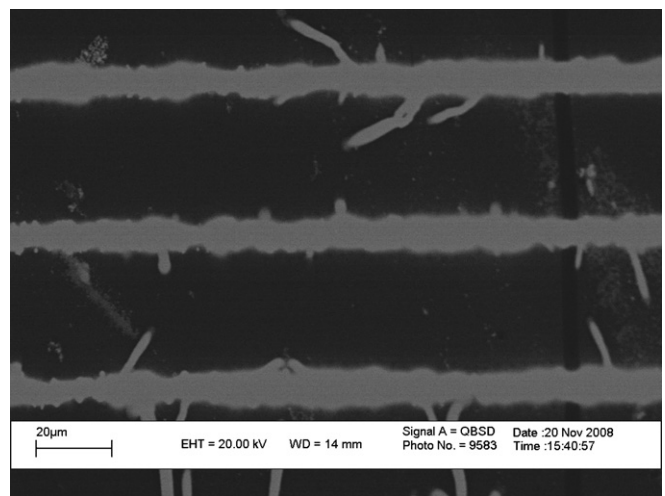
To fix the separation  $s_0$  accurately, a  $25\ \mu\text{m}$  thick polyimide sheet was used to support the print engine rather than an insulated alignment feature. BSOI devices with  $80\ \mu\text{m}$  thickness and  $100\ \mu\text{m}$  wide support beams were used for all successful experiments since these had the weakest suspensions. Devices with shallow ( $4\ \mu\text{m}$ ) etched patterns were also used, to reduce outstanding features. Finally, a  $200\ \text{M}\Omega$  resistor and a  $5\ \text{nF}$  series capacitor were used to limit current flow at snap down and provide a dc current block. Although the latter effectively corresponded to a stabilizing capacitor, its value was large compared with the static actuator capacitance  $C_0$  (a few pF) that capacitor stabilization was not implemented.

Interesting dynamical effects were observed when the snap-down voltage was still too high. After imposing the voltage, the print head deflected down towards the substrate until a discharge initiated. At this point, the print head retracted until the discharge extinguished, only to repeat the cycle with a period of a few seconds that was presumably determined by the RC time constant of the protection components rather than mechanical time constants.

Reliable printing was only obtained when the separation  $s_0$  was reduced to around  $10\ \mu\text{m}$  by placing a small weight on the print head. At this point, the snap-down voltage was  $\approx 200\ \text{V}$ . Figure 14(b) shows an electrostatically printed pattern. The line definition is worse than that obtained by manual printing. There is no evidence of discharge; however, the quality of the transferred print is degraded by small, dendritic features emanating from each side of the lines. These may be due to ejection of ink droplets during snap down, or to field-driven ink migration. Additional investigation is clearly required to establish the exact cause; however, mitigation



(a)



(b)

**Figure 14.** Transferred pattern in Au, formed during electrostatic printing by (a) discharge damage at high voltage (optical view) and (b) electrostatically actuated contact, ink transfer and etching (SEM view).

is likely to involve a further reduction in operating voltage. Despite this, these initial results demonstrate that soft stamps may be integrated with MEMS structures, single- and double-step printing can be performed, and that electrostatic actuation is possible.

## 5. Discussion

MEMS technology has been used to construct a silicon microcontact print engine combining a print head, a pattern, a mechanical alignment system, a flexure suspension and an actuator. Simple design rules have been developed for electrostatically driven devices, and it has been shown that printing over an area of tens of  $\text{mm}^2$  with a realistic fill factor can be achieved using moderate voltages. Self-alignment and electrostatic operation have been demonstrated using devices formed by DRIE of both bulk silicon and BSOI. Microcontact printing has been demonstrated by transfer of 1-hexadecanethiol onto gold using PDMS-coated stamps with  $2\ \mu\text{m}$  minimum feature size. Currently, manual actuation

provides better pattern definition than electrostatic actuation, and problems with discharge at high voltages have been noted. Development is required to reduce feature size, allow properly aligned multilevel printing and extend the printable area. Aspects that require investigation are the alignment accuracy achievable by passive mechanical registration, the maximum die size, the resolution achievable using spray-coated stamps and the merits of electrostatic actuation.

Possible enhancements include integration of features to control discharge and snap down, damp oscillations on release or adjust the stamp position. Potential applications may lie in sensitizing biosensor arrays, where repetitive  $\mu$ CP is required. Similar methods (for example, electrostatic actuation of cantilevers towards the stamp) could be used to transfer some of the complexity to the sensor itself. Other applications may lie in cell biology, where the use of DRIE is likely to be uneconomic and opaque silicon substrates inappropriate. In this case, different materials or alternative fabrication could be used. Wafers could be structured by low-cost crystal plane etching with membrane-suspended print heads. Such wafers would then be robust enough for nanoimprinting of the PDMS stamp. Moulding could also be used to batch fabricate plastic substrates containing alignment features.

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