Imperial College London

DEM, dams and dikes

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DEM8 recognises dikes

The boy with his finger in the dike

Kinderdijk

742 years old

To drain the polder, a system of 19 windmills was built around 1740

UNESCO world heritage site

https://www.kinderdijk.com/

Kinderdijk

Dutch Dikes

- Total land area Netherlands: 41,528 km²
- 26% below mean sea level (NAP)
- 66% of the area is flood prone
- 9 million people live in these low areas
- 70% of GNP is earned in flood-vulnerable area
- The Dutch dike network extends for over 22,000 kilometres

http://dutchdikes.net/dike-map/

Dikes near here

Strengthening of Twente Canal (Twentekanaal)

https://www.destentor.nl/zutphen/ingenieuze-techniek-moet-dijkverzakking-twentekanaa bij-zutphen-voorkomen-br-br~ab84c24e/?referrer=https://www.google.com/

The International Levee Handbook - 2013

The International **Levee Handbook**

de l'Écologie du Dévelo **US Army Corps** durable of Engineers et de l'Éner

• Joint research project of CIRIA (UK), French Ministry for Ecology and US Army Corps of Engineers

• Funding from France, UK, USA, Ireland and the **Netherlands**

International perspective: US

30,000 documented miles of levee in the US

Breach in 17th Street Canal levee in New Orleans, Louisiana, on August 31, 2005

American River Levees California http://www.watereducation.org/tour/bay-delta-tour-2018-0

International perspective: United Kingdom

UK Environment Agency responsible for 9,000 km of flood embankment

https://www.bbc.co.uk/news/uk-england-lincolnshire-48646801 https://www.bbc.co.uk/news/uk-england-lincolnshire-48707396

Engineers are now working on a more permanent solution to the breach in the River Steeping

LINCOLNSHIRE POLICE

Design issues requiring a particulate perspective

1. Base – filter compatibility 2. Internal instability / suffusion

After Slangen and Fannin

(FEMA, 2011)

Filters: Dikes

Retrofit of existing levee

(International Levee Handbook, 2013)

Filters: Embankment dams

- Dams can be over 100 m high
- Water seeps through dam continuously
- Seeping water can preferentially erode fines
- In the UK about 2,500 dams retain reservoirs exceeding 25,000 $m³$
- In the US there are about 90,580 dams

Filters: Embankment dams

Paraperios dam - May 26 2010

Role of filters

Filters are designed and constructed to achieve specific goals such as preventing internal soil movement and controlling drainage (FEMA, 2011)

Five filter functions govern the capability of providing control for internal erosion.

- 1. Retention.
- 2. Self Filtration or stability.
- 3. No cohesion
- 4. Drainage.
- 5. Strength. ICOLD (2015)

Filter layers help to promote filtration, by preventing soil from migrating especially from the impervious core. (International Levee Handbook, 2013)

Role of filters

Filters are designed and constructed to achieve specific goals such as preventing internal soil movement and controlling drainage (FEMA, 2011)

Five filter functions govern the capability of providing control for internal erosion.

- 1. Retention.
- 2. Self Filtration or stability.
- 3. No cohesion
- 4. Drainage *(permeability).*
- 5. Strength. ICOLD (2015)

Filter layers help to promote filtration, by preventing soil from migrating especially from the impervious core. (International Levee Handbook, 2013)

Retention

Empirical rules used in design:

The voids in the filter should be sufficiently small to prevent erosion of the base soil (ICOLD,2015)

- Consider particle size distribution
- Terzaghi's filter rule / Sherard & Dunnigan (1989)
	- *D15F* of filter
	- D_{85B} of base
	- For retention D_{15F} < 4 D_{85B}
- Controlling constriction size largest particle that can pass through filter

(ICOLD,2015)

Filter particle size distribution

15% of particles by mass are smaller than D_{15}

85% of particles by mass are smaller than D_{85}

Filter retention D_{15} *< 4* D_{85B}

Research questions: Filter retention

- What is the relationship between the size of constrictions and D_{15F} ?
- Does particle scale analysis support use of the ratio D_{15F} / D_{85B} in design?

Retention

Void Constriction

Retention

Fine core particles get trapped in constrictions

 $\overline{\mathsf{G}}$

ˇ

Quantifying constriction size & frequency

- Ability to image pore space is a recent advancement
- Pore space topology is complex
- Pore space is continuous
- Division between individual voids / pores is subjective

Analytical approach to quantify constriction sizes

Real constrictions from microCT data

Taylor et al. Géotechnique (2019)

Determining constriction size distribution

Generate particle scale data

Apply void partitioning algorithm

Calculate Constriction Size **Distribution**

Samples considered to study retention

Micro Computed Tomography DEM Simulations

Do not consider possibility of filters containing fines

Coefficient of uniformity, C_u

10% of particles by mass are smaller than D_{10}

60% of particles by mass are smaller than D_{60}

Cumulative distribution by volume / mass

Samples considered to study retention

Micro Computed Tomography DEM Simulations

Do not consider possibility of filters containing fines

DEM simulations

- LAMMPS (Plimpton, 1995; Sandia National Laboratories)
- Development and testing by Dr. Kevin Hanley (formerly Imperial College, now Edinburgh)
- Validation using lattice packings / Benchmarking against PFC
- Periodic boundaries, Hertz-Mindlin contact model
- Used Imperial College HPC clusters
- Isotropic compression of to 60,000 spherical particles

DEM constrictions: Triangulation method

Particle

Particle Constriction Void Triangulation of particle centres weighted by particle radii

Tetrahedra faces define void boundaries

Constrictions located on tetrahedra faces

Al Raoush et al. (2033); Reboul et al. (2010)

DEM constrictions: Triangulation method

Delaunay triangulation based on particle centroids

Identify spheres tangent to particles forming Delaunay cell

Where tangent spheres overlap Delaunay cells are merged

User decides magnitude of overlap

Experimental approach **Resin Feed lines** to the base of specimen **Suction Drainage** line from top cap

PhD Research of Dr. Howard Taylor

Experimental approach

Géotechnique

Micro Computed Tomography (microCT)

Voxel size \approx 10x10x10 μ m³

Cu3 - Glass Beads $e = 0.46$ (medium→dense)

Cu3 – Sand $e = 0.51$

Segmentation of void space

Threshold to identify gray level differentiating void space and particles

Distance map Centre locations Watershed boundary

Performed using "Avizo Fire" software

Identifying constrictions in µCT

Comparison of constriction size distributions

DEM Sample

Voxelized DEM Sample:

Constriction diameter, microns

Shire et al. (2016)

Fluid flow simulations

Comparison of CFD and permeameter data

Taylor et al. (2018)

Particle Size Distributions

Constriction Size Distributions (DEM)

Cumulative distribution by volume Cumulative distribution by number

Constriction Size Distributions (DEM)

Constriction Sizes µCT

Controlling constriction size

Dc* = controlling constriction diameter = largest particle that can pass through filter

Filtration – Constriction Density / Spacing

Research questions: Filter retention

- What is the relationship between the size of constrictions and D_{15F} ?
- Does particle scale analysis support use of the ratio D_{15F} / D_{85B} in **design?**

Retention of Base

(FEMA, 2011)

Retention – Network model

Can't judge a filter's effectiveness simply by visual comparison of the CSD of the filter and the PSD of the base material to be retained

CSD – cumulative distribution by number

PSD – cumulative distribution by volume

PhD Research of Dr. Thomas Shire

- Network model lattice topology
- Nodes = individual voids
- Edges = inter void connections
- Edge diameters = constriction diameters

- Simulates migration of finer base particles through network
- Fluid flow not explicitly considered
- Simple algorithm means up to 400 million base particles could be considered on a desktop pc

- Network model lattice topology
- Nodes = individual voids
- Edges = inter void connections
- Edge diameters = constriction diameters

Area based random walk

per void

constriction area

"Random walk" of base particles through network

Base particle moves through constriction

Base particle retained + constriction blocked

Base particle retained in void

Cu Filter = 1.5 and 3.0

• Network model that considers only constriction sizes and not full void space topology confirms experimental observation that filter characteristic diameter (D_{15F}) controls filtration

Dense filter $\qquad \qquad$ **•** Cu Filter = 1.5 and 3.0

• Network model that considers only constriction sizes and not full void space topology confirms experimental observation that filter characteristic diameter (D_{15F}) controls filtration

Research questions: Filter retention

- Normalization of constriction size distributions by D_{15F} gives a narrow set of curves, supporting idea that D_{15F} is indicative or representative of the constrictions sizes in a filter.
- Network analyses support use of D_{15F} $/D_{85B}$ to judge retention capacity. Analyses also support idea that effective retention requires D_{15F} < 4 D_{85B} in line with recent ICOLD documents.

Suffusion

- **suffusion** is the selective erosion of the fine particles from the matrix of coarse particles under the action of a hydraulic gradient
- **suffusion** is sometimes associated with lack of volume change, **suffosion** associated with volume change Dm>0 died
- **internally unstable soils** are susceptible to suffusion / suffusion **internal instability** general term

m - mass V - volume k - hydraulic conductivity

Dm>0 $DV/V=0$ Dk>0

Instability without volume change

 $Dm>0$ DV/V<0 Dk>0

Instability with volume change

After Slangen and Fannin

Skempton and Brogan Permeameter Experiments

Skempton and Brogan Permeameter Experiments

Skempton and Brogan (1994)

Internal Instability

Robert Negri MSc

MSc student photo of internal instability

Flood embankments

https://www.npr.org/2017/10/02/554994446/flood-prone-communities-struggle-to-meet-post-katrina-standards?t=1550590982035

WAC Bennett Dam

- Located in British Columbia, Canada
- Owned by BC Hydro
- High as a 60-storey building and two kilometres wide
- Holds back 360 kilometres of Williston Lake, the largest reservoir in North America

Bennett dam transition

BC Hydro as cited by Muir Wood (2007)

> https://www.imperial.ac.uk/media/imperial-college/faculty-ofengineering/civil/public/geotechnics/Fannin_1Sept17_London.pdf

WAC Bennett Dam

1996 Sinkhole at WAC Bennett Dam (BC Hydro as cited by Muir Wood, 2007)

Factors influencing internal instability risk

Venn diagram concept proposed by Fannin and Gardner

Factors influencing internal instability risk

Empirical Filter Criteria: Kézdi (1979)

Microcomputed Tomography (µCT)

PhD Research of Dr. Howard Taylor

Internal Instability: µCT study materials

3 scan samples for each grading

- WG Kézdi ratios 1.54-1.62
- G1 Kézdi ratios 3.3 4.66
- \cdot G2 Kézdi ratios 4.01 4.29

Post-doctoral Research of Dr. Joana Fonseca
Internal Instability: µCT study materials

Post-doctoral Research of Dr. Joana Fonseca

Coordination number

 N_c = Coordination number

No of contacts per particle

Leighton Buzzard Sand Blue particle 20 contacts

Glass beads Blue particle 50 contacts

Leighton Buzzard Sand Blue particle 2 contacts

Not kinematically constrained

Kinematically

constrained

Images from H. Taylor

Variation in Coordination No. with Kézdi Ratio

Fonseca et al. (2014) Géotechnique Shire and O'Sullivan (2013) Acta Geotechnica

Discrete element method simulations

Spherical particles

Simple contact models

Isotropic samples

Gravity neglected

Shire and O'Sullivan (2013) Acta Geotechnica

Variation in Coordination No. with Kézdi Ratio

Factors influencing internal instability risk

Stress Partition - α

- Hypothesis to explain erosion at low hydraulic gradients
- Based on observations of permeameter tests
- Coarse matrix transfers most of stress
- Finer grains carry reduced effective stress:

$$
\sigma'_{\text{fines}} = \alpha \times \sigma'
$$

$$
\alpha = i_{\text{crit}} / i_{\text{crit(heave)}}
$$

Skempton and Brogan (1994) Géotechnique

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Skempton and Brogan (1994) Géotechnique

PhD Research of Dr. Thomas Shire

Skempton and Brogan Permeameter Experiments

Skempton and Brogan (1994) Géotechnique

DEM Simulations to Investigate Instability

- DEM code granular LAMMPS with periodic boundaries
- Isotropic compression at to $p' = 50kPa$
- Sample density controlled using inter particle friction (μ) :

 μ = 0.0 (Dense) μ = 0.1 (Medium dense) μ = 0.3 (Loose)

α – DEM Calculations

$$
\alpha = \frac{p'_{fine}}{p'}
$$

- p'=overall mean effective stress
- p'_{fine} =mean effective stress in finer fraction
- p' and p'_{fine} can be directly obtained from a summation of contact forces in DEM

Skempton and Brogan Sample A: comparison of α values

 $\alpha_{\text{experiment}}$ =0.18

Experimental sample placed moist with no densification

Link between α and particle size distribution

Looked at a range of gap graded materials

Density varied for all samples

Variation in α with Fines Content (F_{fine})

$$
\sigma'_{\text{fines}} = \alpha \times \sigma'
$$

α is proportion of stress carried by finer fraction

Variation in α with Fines Content (F_{fine})

- Critical fines content where fines just fill voids: F_{fine} =24-29%
- Finer fraction separates coarse fraction particles: F_{fine} =35%
- Confirms hypotheses of Skempton and Brogan (1994)

Variation in α with Fines Content (Ffine)

Variation in α with stress anisotropy

Factors influencing internal instability risk

- PFC 3D Coupled with CCFD
- Circa 30,000 particles
- Di Felice drag expression
- Particle assembly: 6.1 mm cube
- Fluid cell size: 1.2 mm

MPhil research of Kenichi Kawano

Virtual permeameter test samples

- Combination of DEM (PFC3D) and CFD (CCFD)
- DEM for soil particles
- CFD for water seepage

Coarse grid method proposed by Tsuji

 \mathcal{P}_{max} (Tsuji et al., 1993, Xu and Yu, 1997)

Create non-contacting Compress to 50kPa, cloud of spheres Apply gravity

Create fluid mesh, Fix boundaries, Fix particle positions, Apply pressure gradient

Steady state fluid, Release particles, Monitor response

- Applied pressure differential across sample (Δp)
- Increased hydraulic gradient (i) in steps
- As samples small

•
$$
i = \frac{\Delta h}{\Delta z} \approx \frac{\Delta p}{\gamma_w \Delta z}
$$

- Δ h=head drop across sample
- γ_w = unit weight of water
- Simulation gives permeability $k \approx 5x10^{-3}$ m/s

Kawano et al. (2017) Soils and Foundations

Particle displacements – for *i* = 1

Kawano et al. (2017) Soils and Foundations

Particle displacements – for *i* = 1

Particle displacements – for *i* = 1

Particle displacements – for *i* = 1 Gap 25 Loose

$$
\alpha_{particle} = \frac{\sigma_{particle}}{\sigma_{overall}}
$$

 $\sigma_{particle}$ = average stress in a particle

 $\sigma_{overall}$ = overall sample stress

Kawano et al. (2017) Soils and Foundations

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- Particle assembly: 6.1 mm cube
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MPhil research of Kenichi Kawano

Immersed boundary method (IBM)

Allows simulation of fluid flow in void space (fixed, regular, Eulerian grid)

Fluid-particle interaction force can be determined

MultiFlow – B. van Wachem et al.

PhD research of Chris Knight

Verification simulations

 ϕ = solids fraction = V_{solids} / V_{total} b = radius retraction parameter (retraction = $b\Delta x$) K = fluid particle interaction force / Stokes drag

Verification simulations

Dense monodisperse sample Low Re

Body fitted mesh

Particles a boundary to fluid flow

IBM Simulations – linear gradings

Simulation – configuration

Samples subject to laminar flow

Wide range of packing densities

Variation in drag force with ϕ

 $\overline{F_d}$ = drag force normalized by Stokes drag

Assessment of semi-empirical expressions

 $\overline{F_d}$ = drag force normalized by Stokes drag – total for sample

Method A/B – approach used to extract buoyancy

Assessment of polydispersity correction

 $\overline{F_d}$ = drag force normalized by Stokes drag – total for sample

Fluid particle interaction force : local void ratio

Cu=2.5, Solids fraction 0.701

Knight (2018)

Network based approach to determine forces

Force calculated using pore network model

Sufian, Knight, et al. (2019)

Seepage Induced Instability

Discrete element method

Transparent soil at the University of Sheffield simulations at Imperial College London

Conclusions

- Considerations of filter compatibility and internal instability are important in dam and embankment design and maintenance
- Geometry / particle scale topology of materials; stress state and fluid:particle interaction determine behaviour
- Particle-scale simulation can improve understanding leading to more robust design guidance

Conclusions

- Simulations with gap-graded materials are challenging – large numbers of particles are needed and low strain rates are required.
- Significant research effort needs to be put into developing accurate drag expressions to enable unresolved DEM-CFD to be used with confidence in geomechanics applications where polydispersity is always an issue.
- Combining network based approaches with DEM datasets can overcome some of the challenges associated with CFD-DEM

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Key references

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